



SYLVESTER

Comprehensive Cancer Center

The past, present and future of hematopoietic stem cell transplants
OptumHealth Education Conference 2019, Minneapolis

Krishna Komanduri, MD
Kalish Family Chair in Stem Cell Transplantation
Professor of Medicine, Microbiology & Immunology
Chief, Division of Transplantation and Cellular Therapy

Disclosures

Name	Institution	Disclosure
Krishna Komanduri, MD	Sylvester Cancer Center, University of Miami	Ad hoc advisor to Kite/Gilead, Novartis, Juno/Celgene, Incyte, Atara, Helocyte, Kiadis



Goals

- Highlight evolving principles in stem cell transplantation that have increased utilization in the past two decades
- Review current indications and potential new indications
- Discuss scientific approaches that may improve outcomes
- Explore patterns of utilization and barriers to access to therapies

Alfred VelpEAU describes leukemia in 1825



1825-1950: ~1000 publications about leukemia



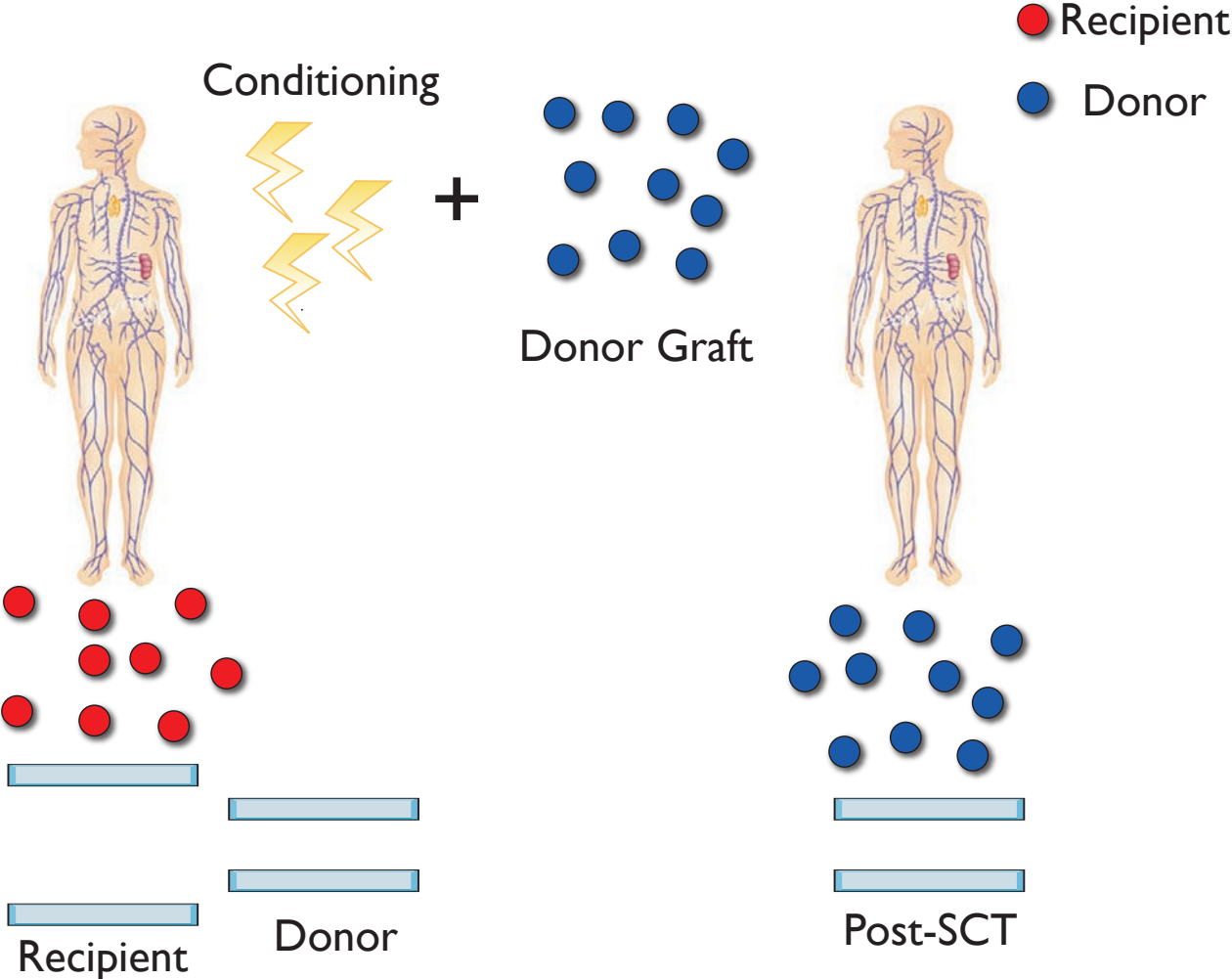
1960s
Combination
chemotherapy +
stem cell transplants



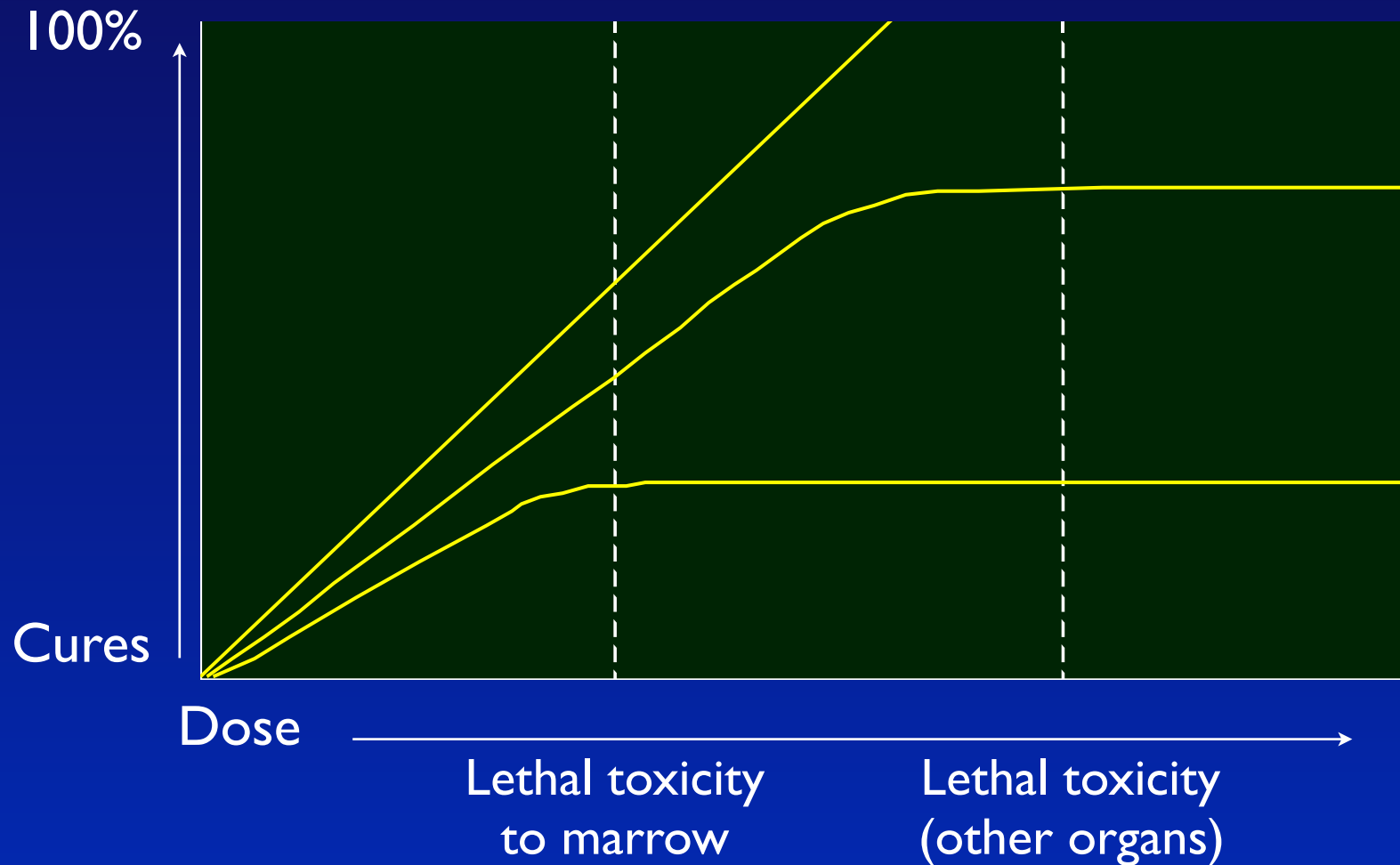
1825
First description
of acute leukemia

1950-2000: ~175,000 publications about leukemia

Traditional Myeloablative Stem Cell Transplant



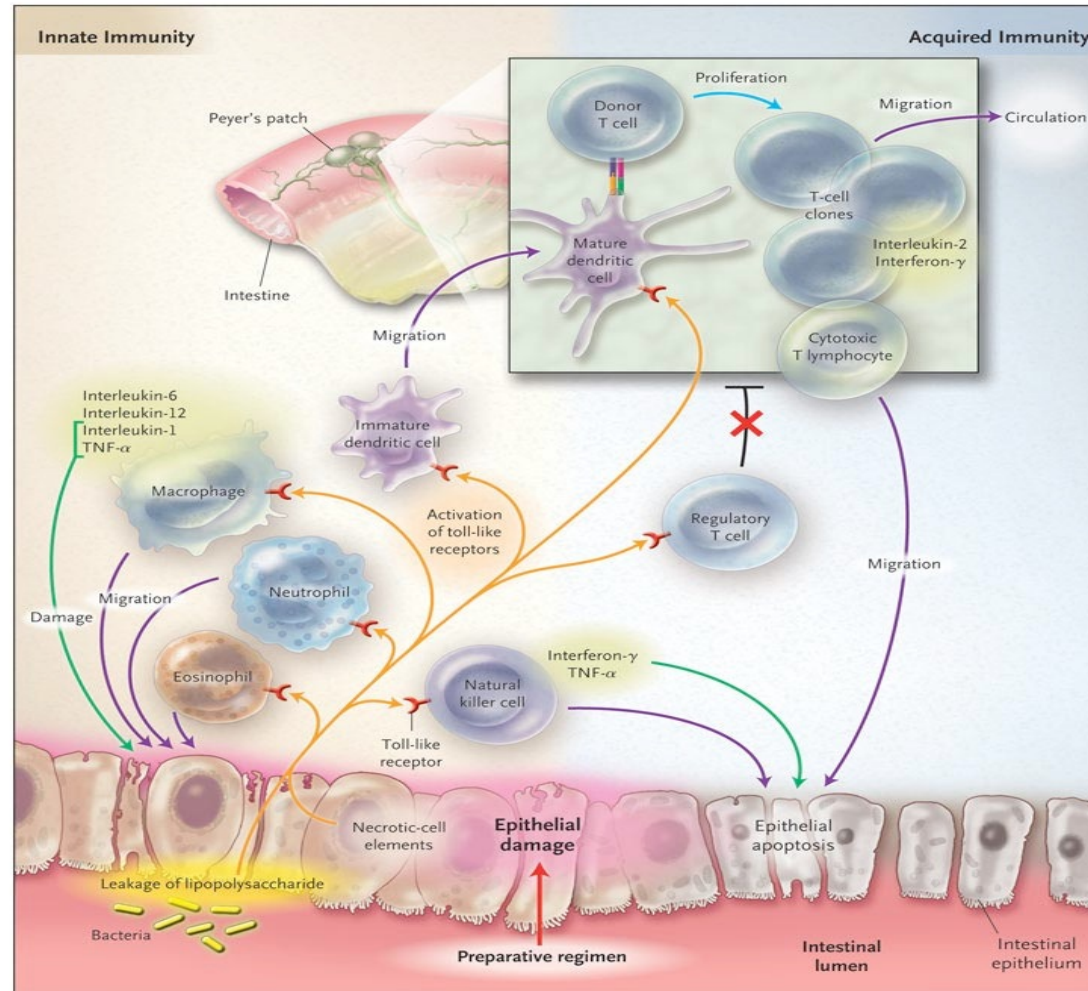
Rationale for high-dose chemotherapy with allogeneic stem cell rescue



adapted from Int'l Bone Marrow Transplant Registry



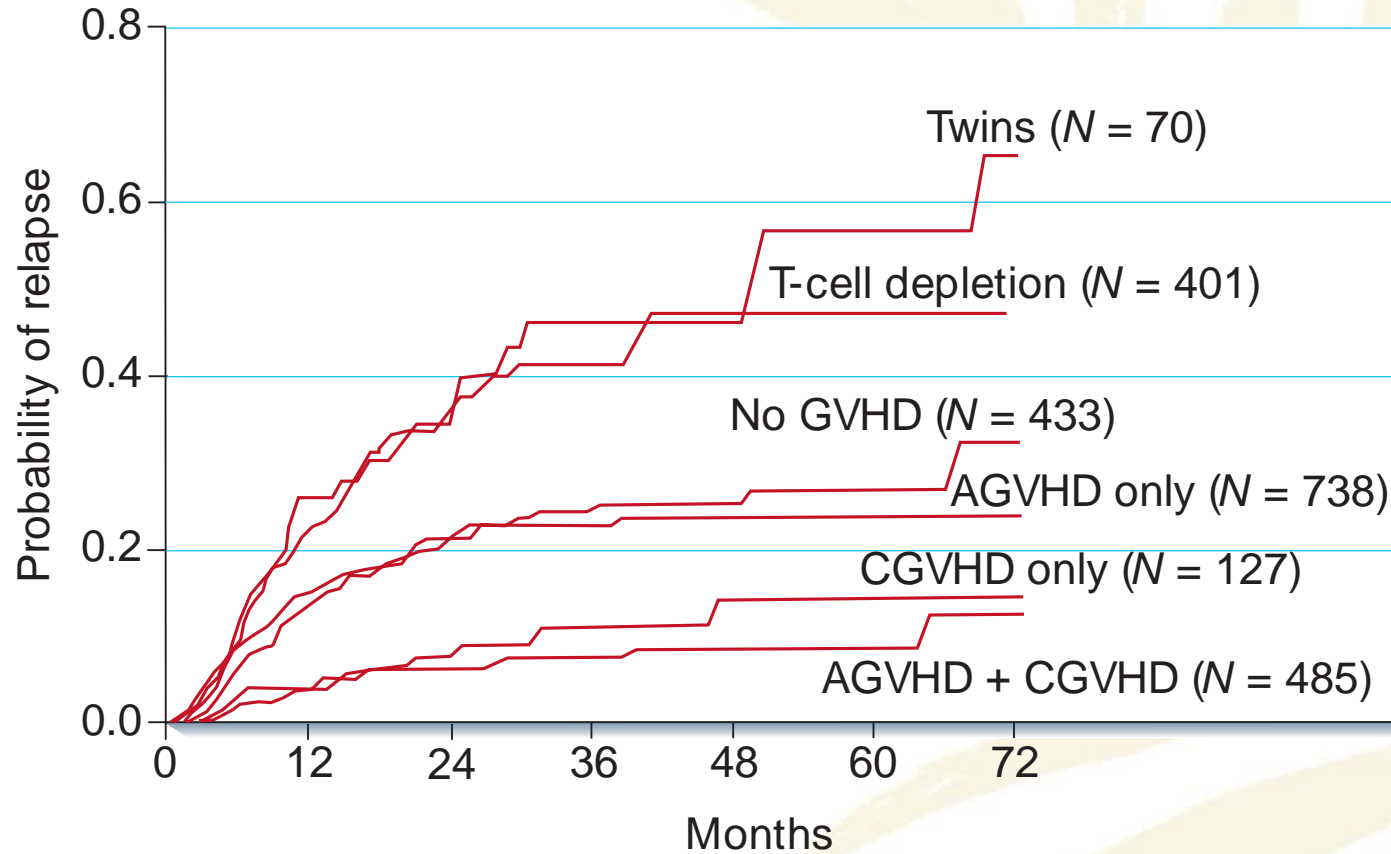
Acute GVHD: Pathophysiology



Copelan E. N Engl J Med 2006;354:1813-1826

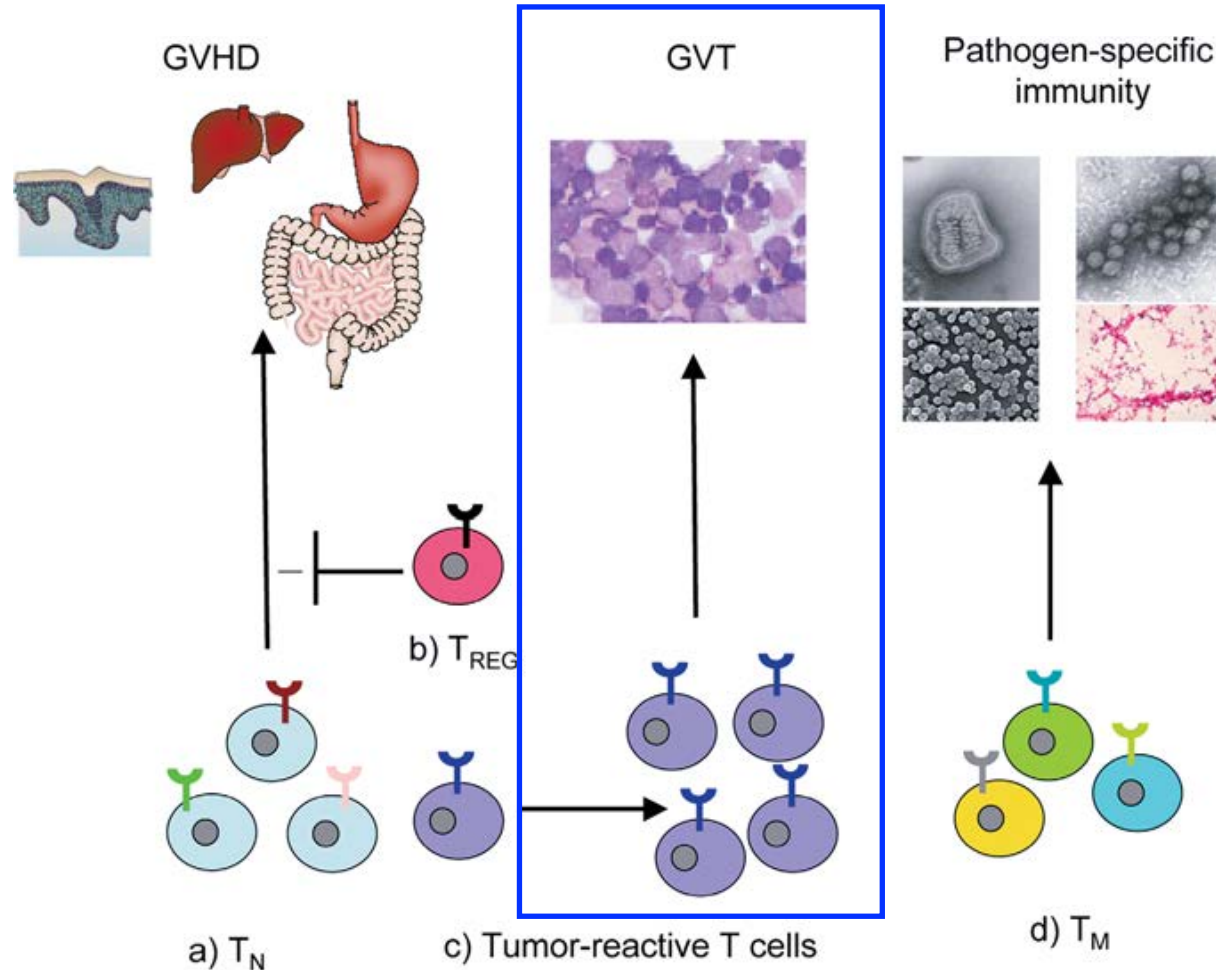


T cell depletion increases relapse risk

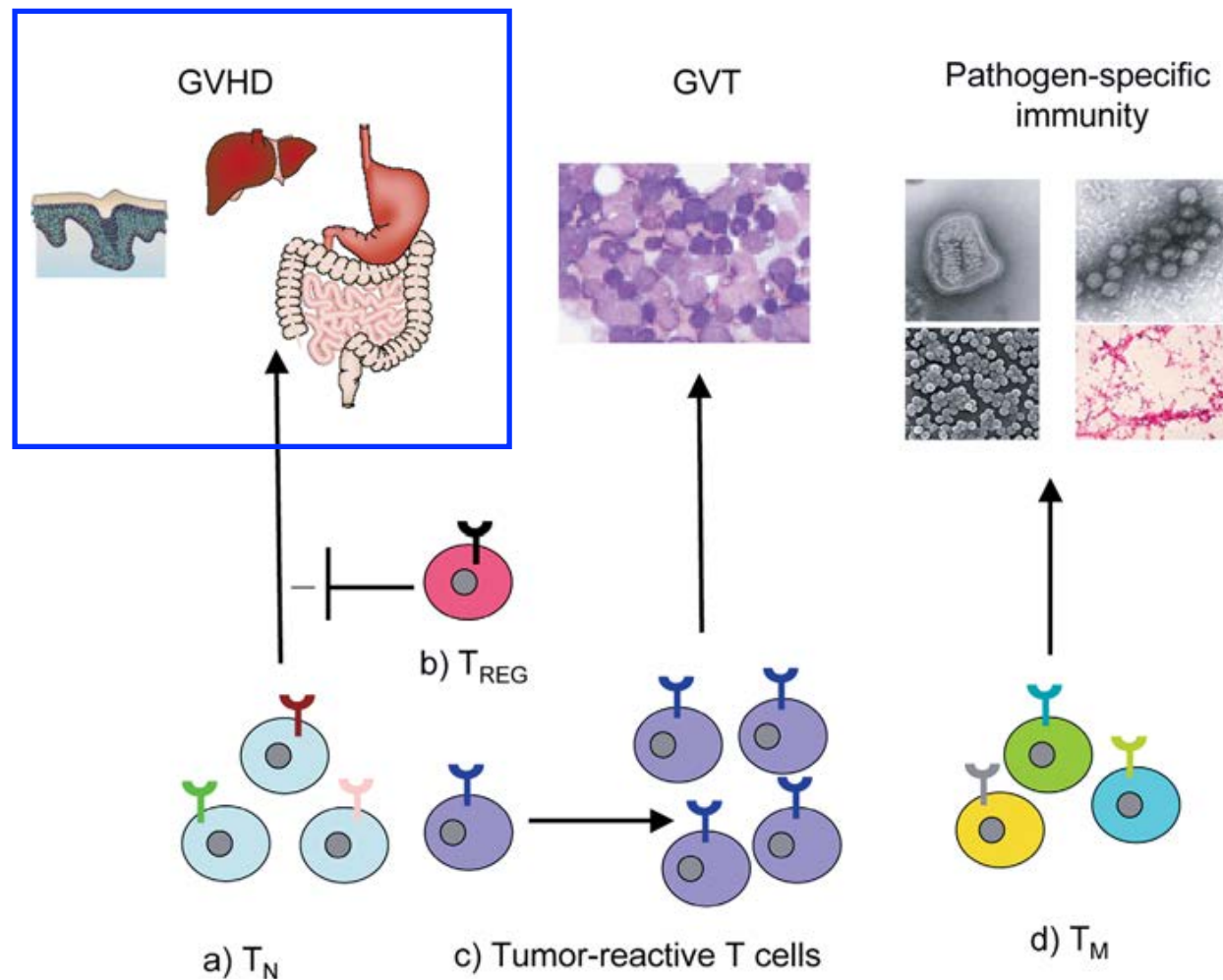


Appelbaum FR. *Nature* 411: 385-389 (2001)
[from original, Horowitz M, *Blood* (1990)]

T cells in donor transplant grafts eliminate residual cancer



...but can also cause GVHD, with morbidity and mortality

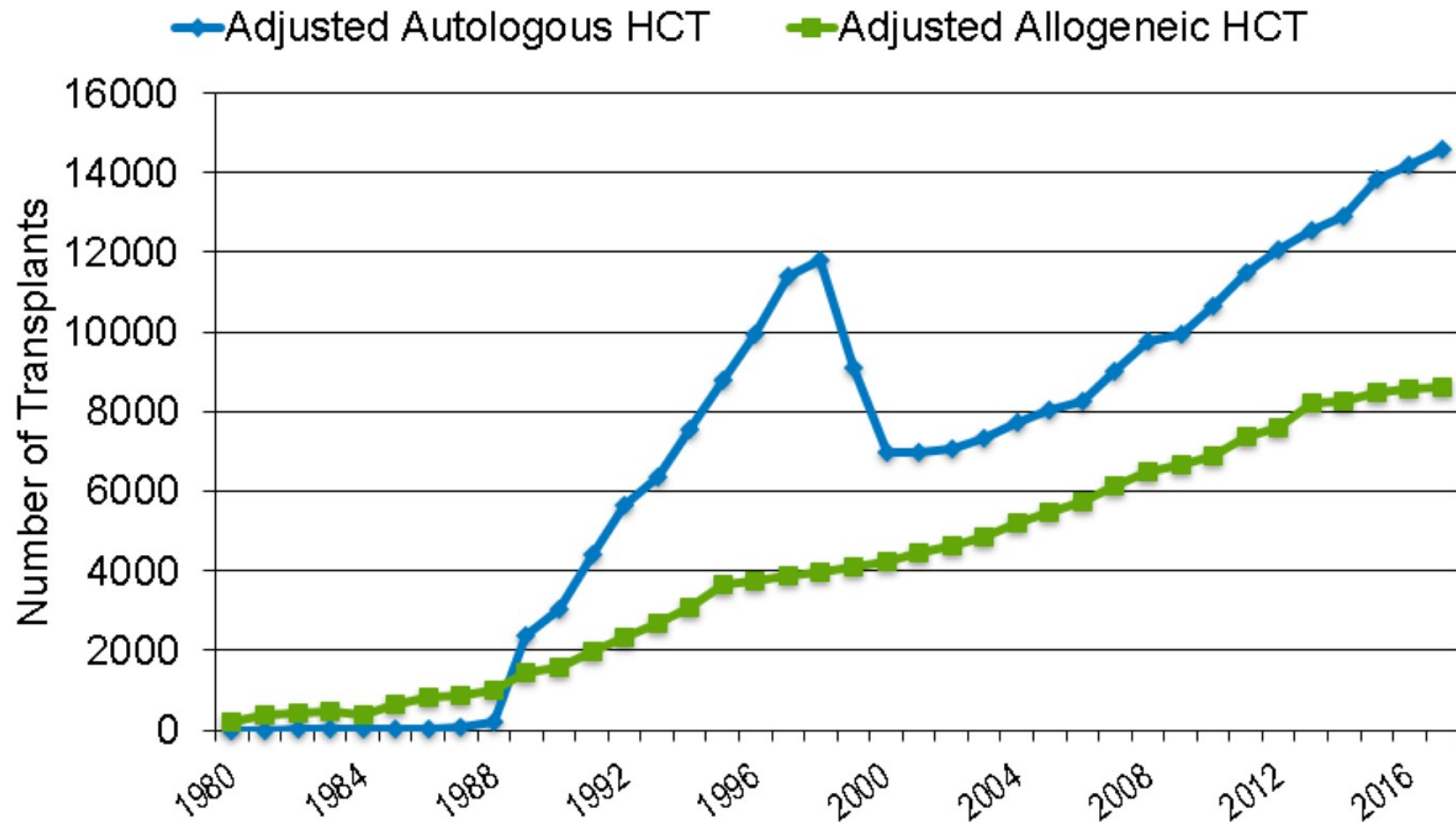


Evolution and Progress in Allogeneic SCT

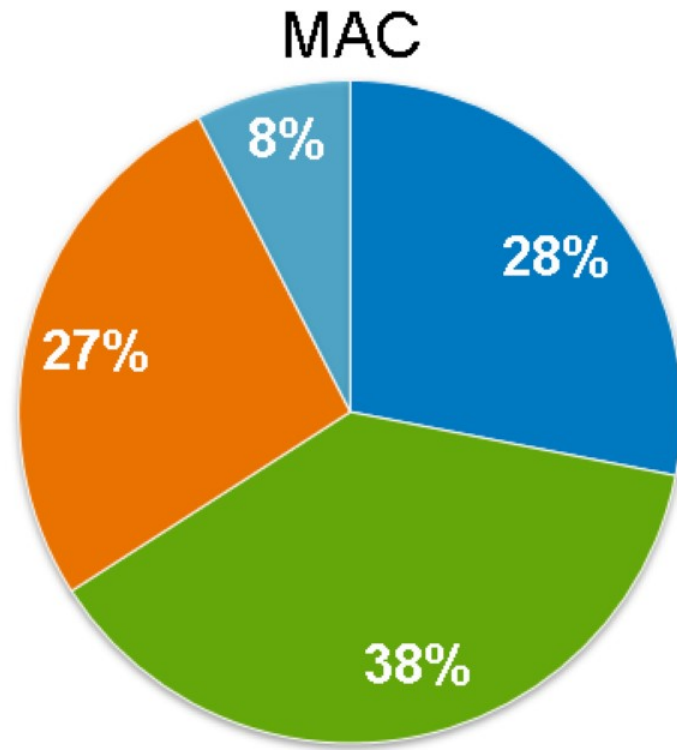
Over the past 20 years:

- A major goal is to maximize T cell effects--/less intense chemotherapy is often used
- Older patients are commonly transplanted (to 75 vs. 55)
- Peripheral blood (vs. marrow) is commonly used as a stem cell source (correct?)
- 100-day mortality now typically 5-10% (from 20-40%)
- Almost all patients now have a donor (sibling, registry MUD, cord or haplo)

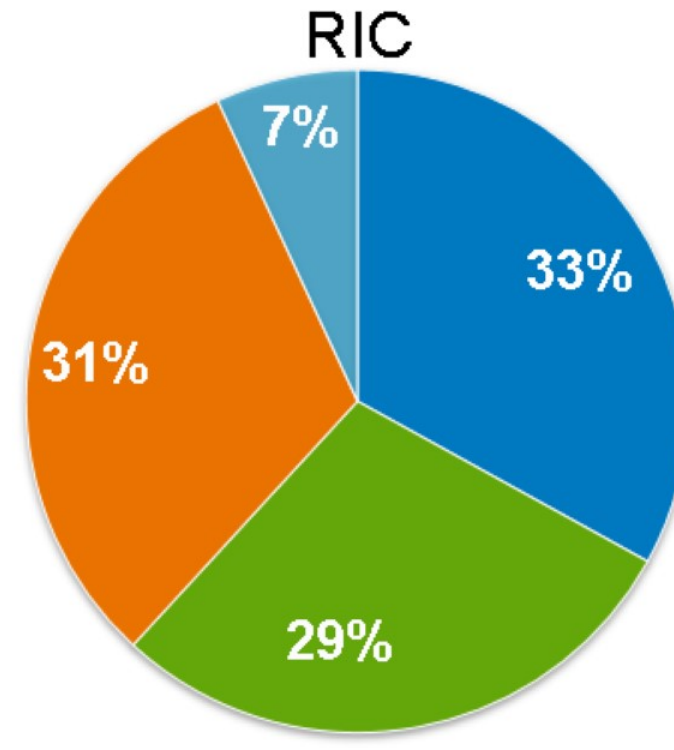
Annual Number of HCT Recipients in the US by Transplant Type



Common Conditioning Regimens in AML or MDS Allogeneic HCT in the US in 2007-2017

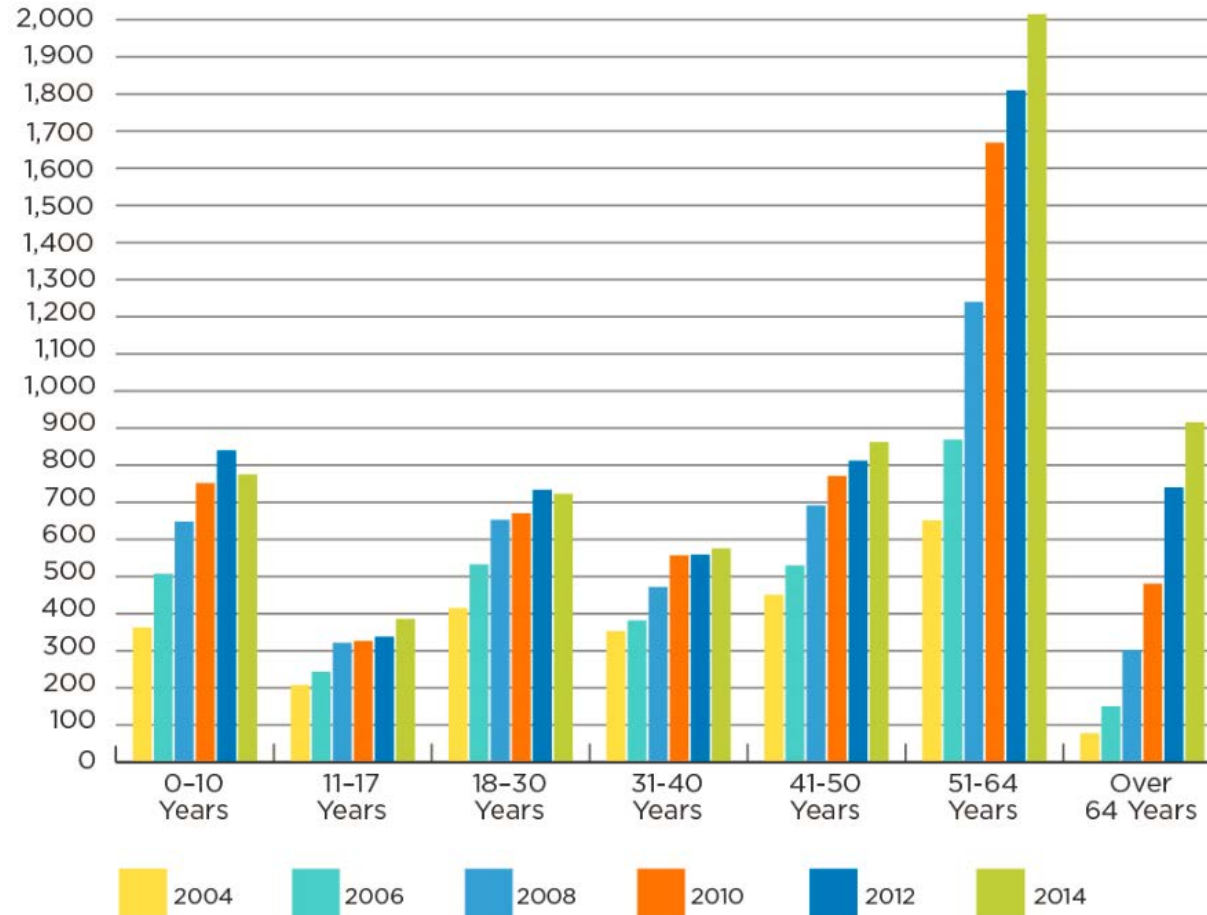


- MA Bu+Cy+/-others
- MA Bu+Flu+/-others
- MA TBI+/-others
- MA Others



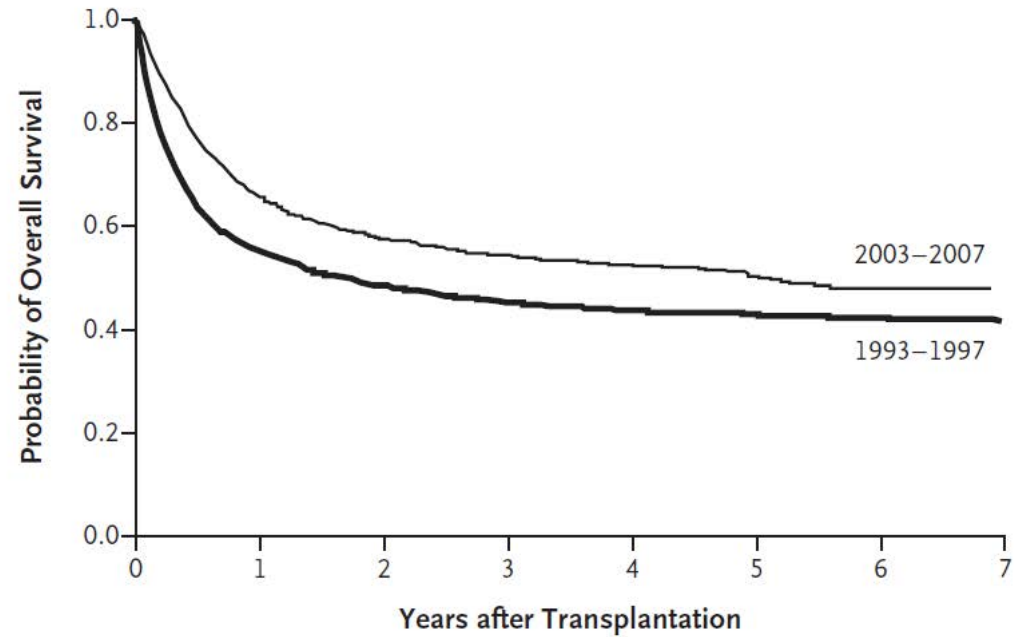
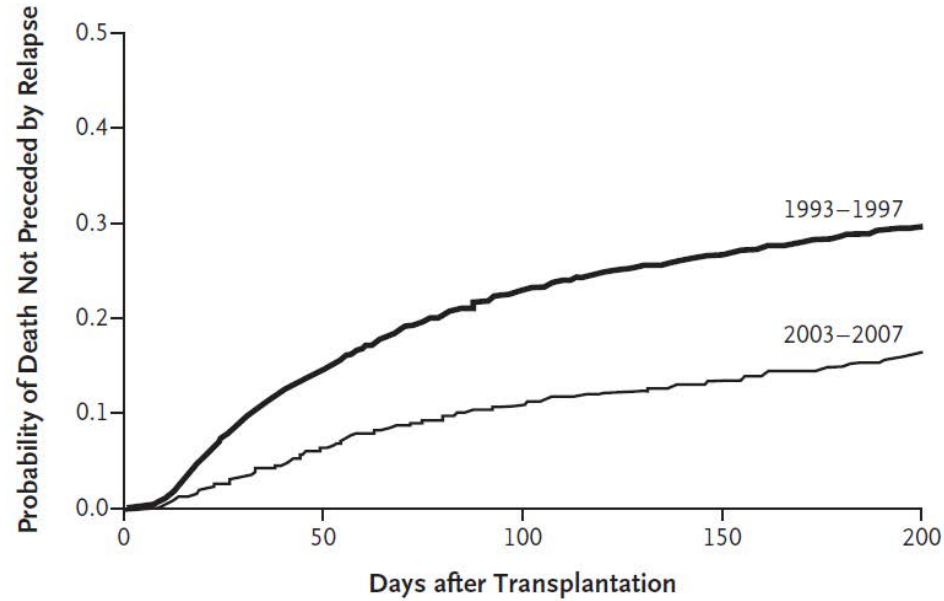
- RIC Bu+Flu+/-others
- RIC Flu+Mel+/-others
- RIC TBI+/-others
- RIC Others

Transplants by Recipient Age



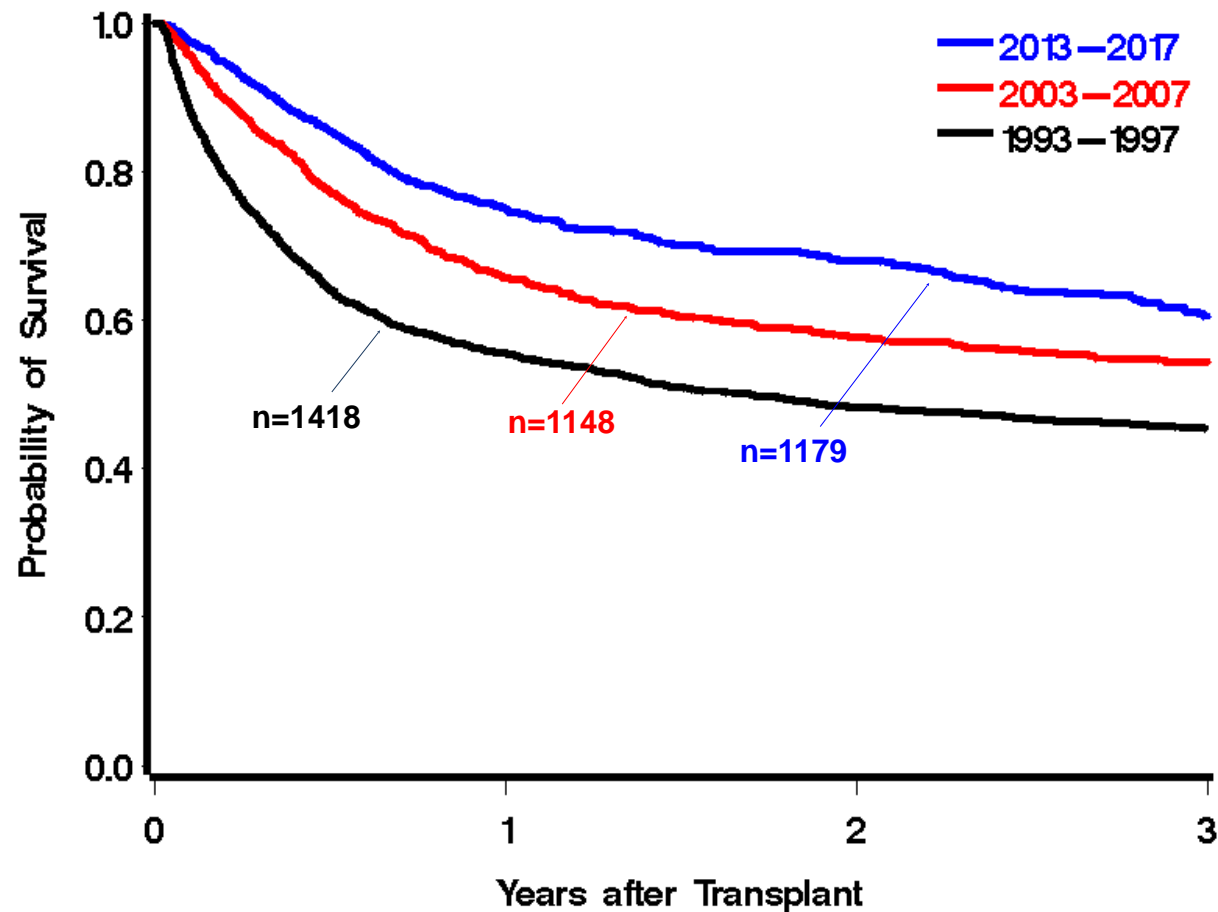
Source: National Marrow Donor Program/Be The Match FY 2014

Reduced Mortality after Allogeneic Hematopoietic Cell Transplant¹

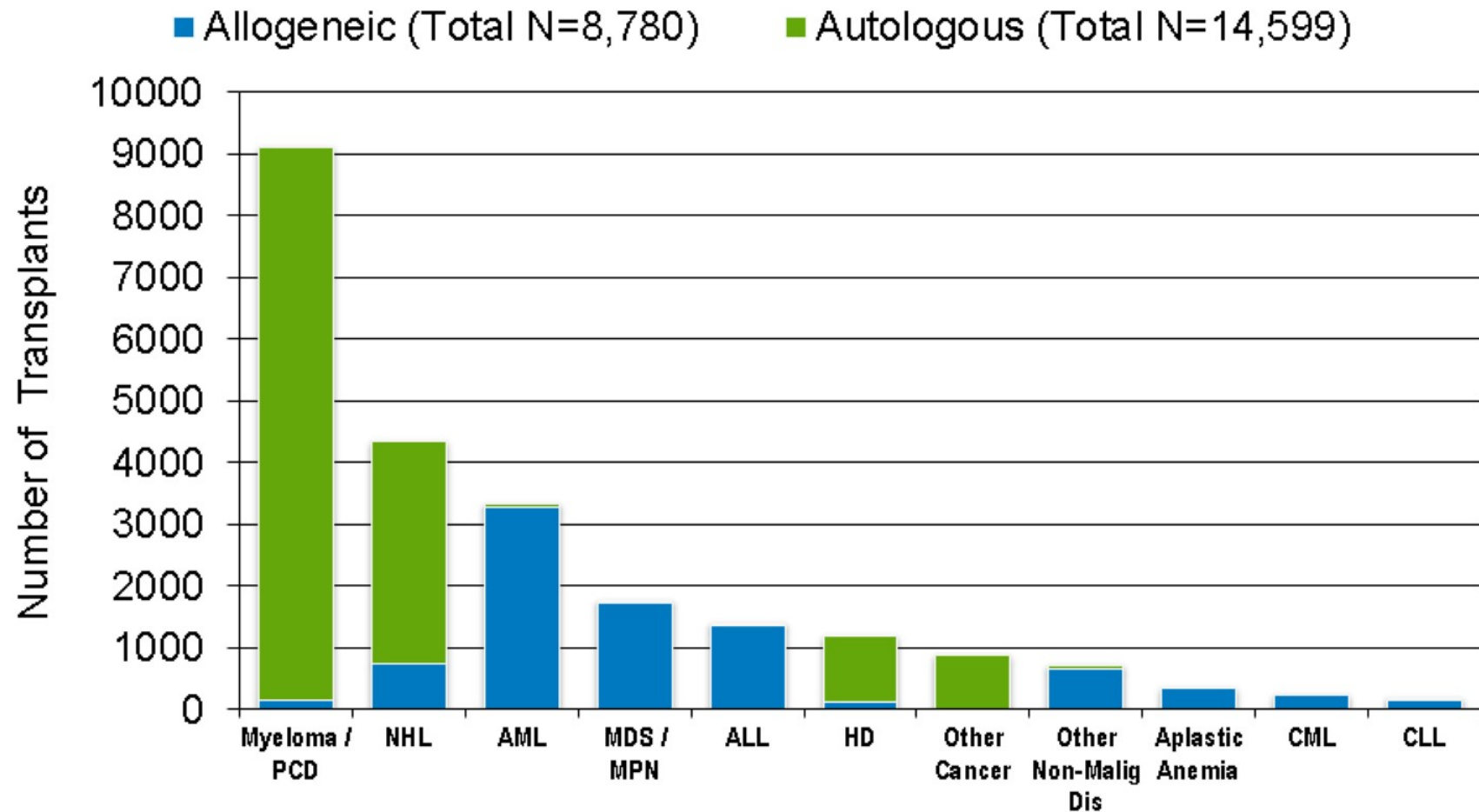


Gooley et al. NEJM 363: 2091 – 2101, 2010

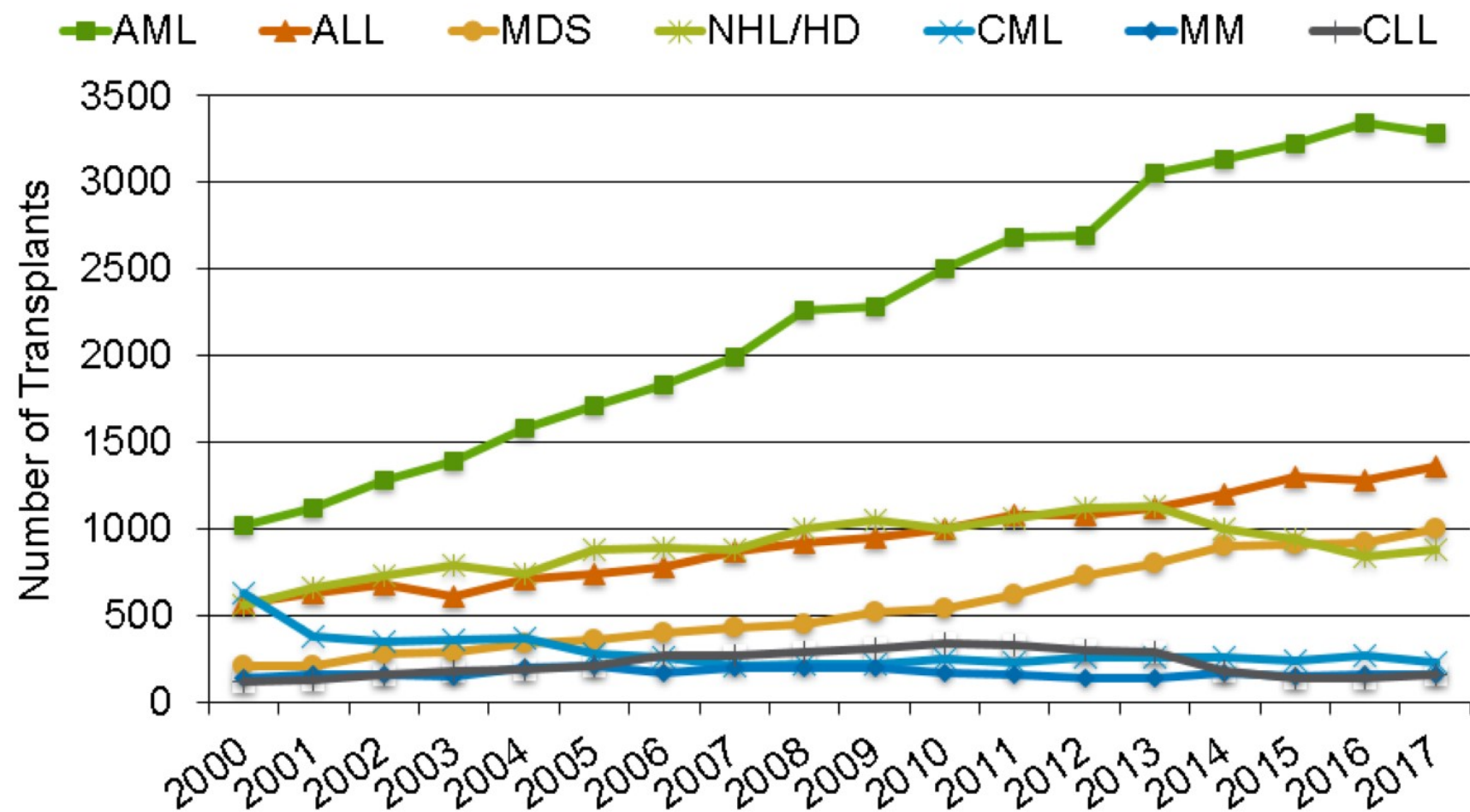
Survival following Allogeneic Hematopoietic Cell Transplantation for Hematologic Malignancies



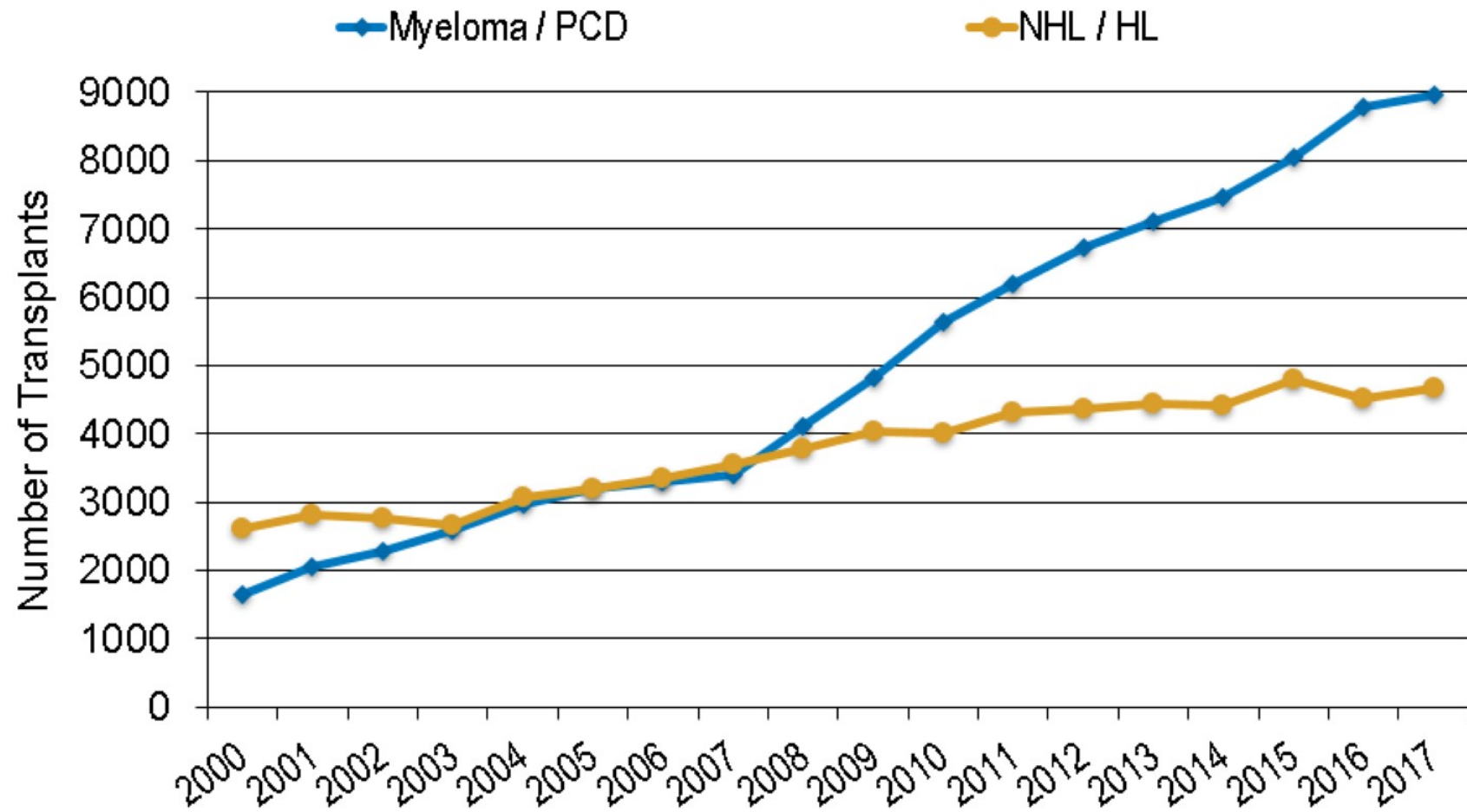
Indications for Hematopoietic Cell Transplant in the US, 2017



Selected Disease Trends for Allogeneic HCT in the US



Selected Disease Trends for Autologous HCT in the US

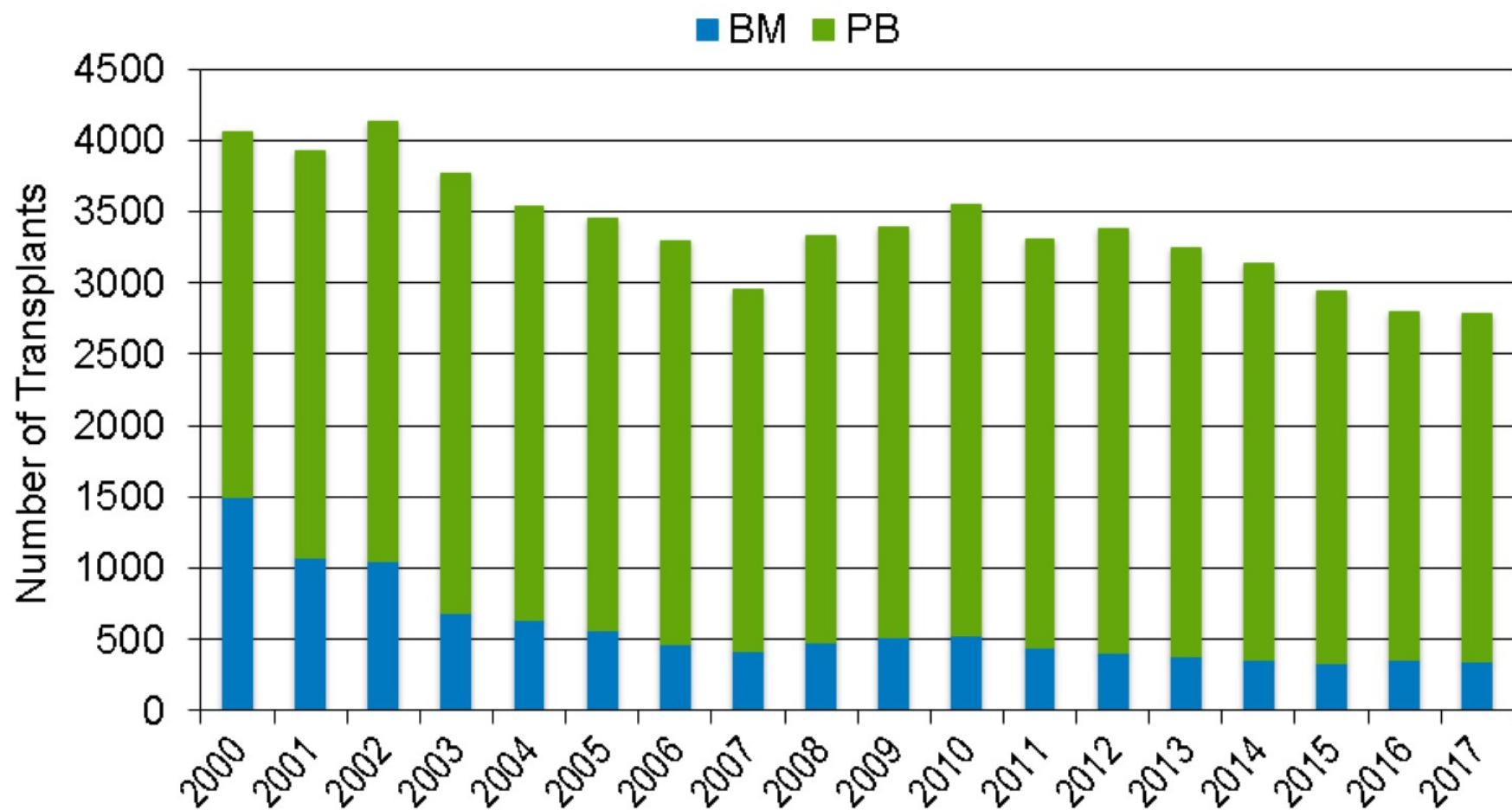




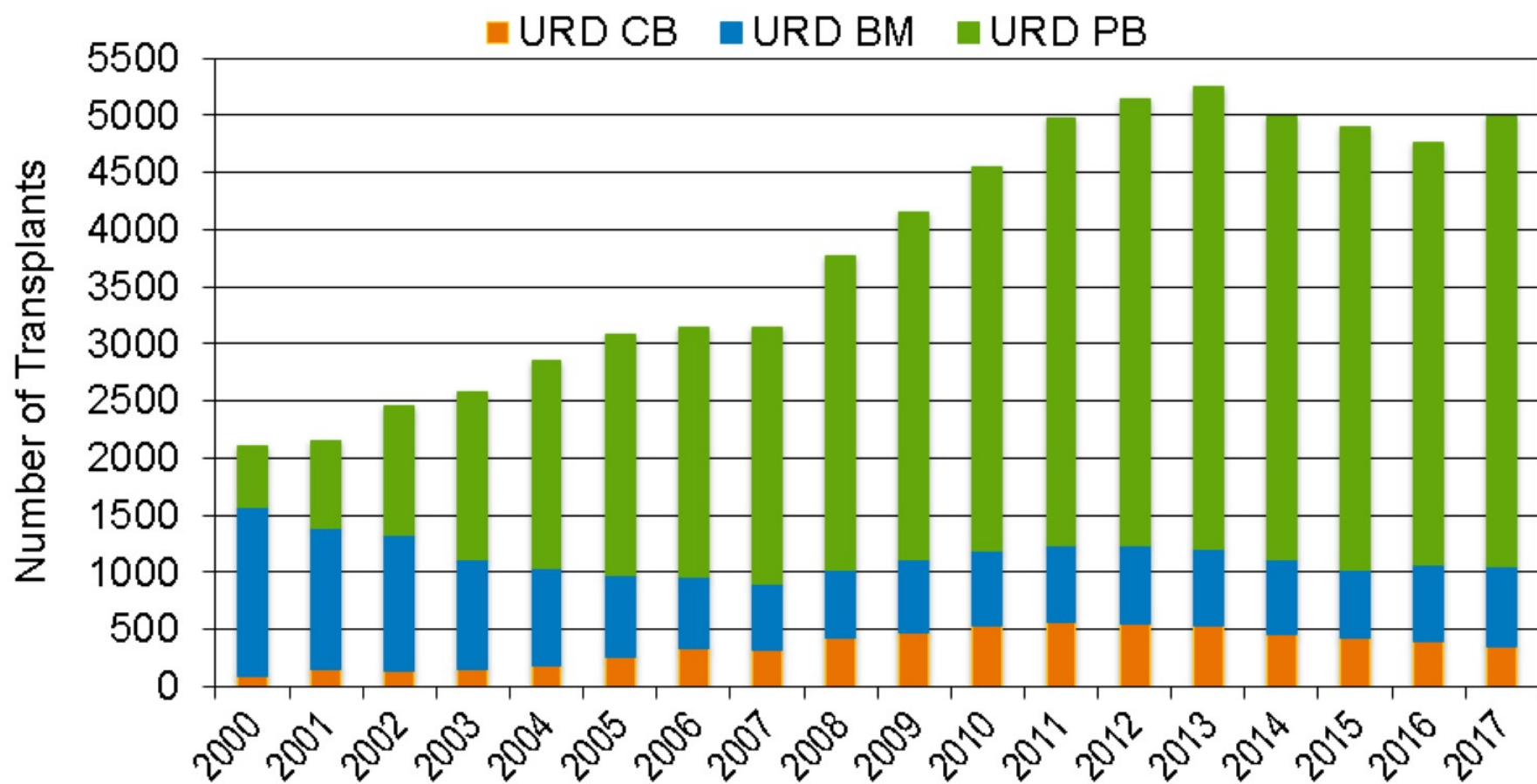
Changes in graft types used for stem cell transplants

- Historically outcomes were much poorer for patients who didn't have matched family donors. *Each sibling has 1 in 4 chance of matching, so a patient with two siblings has ~50% chance of a family match.*
- Increases in size of volunteer donor registries dramatically improved outcomes for unrelated donor transplantation (but less so for underrepresented minorities)
- Rise in cord blood transplantation and, more recently, improvements in haploidentical (half-matched) transplants have improved outcomes for patients lacking family donors (now nearly equivalent!)
- *Nearly everyone has a donor now!*

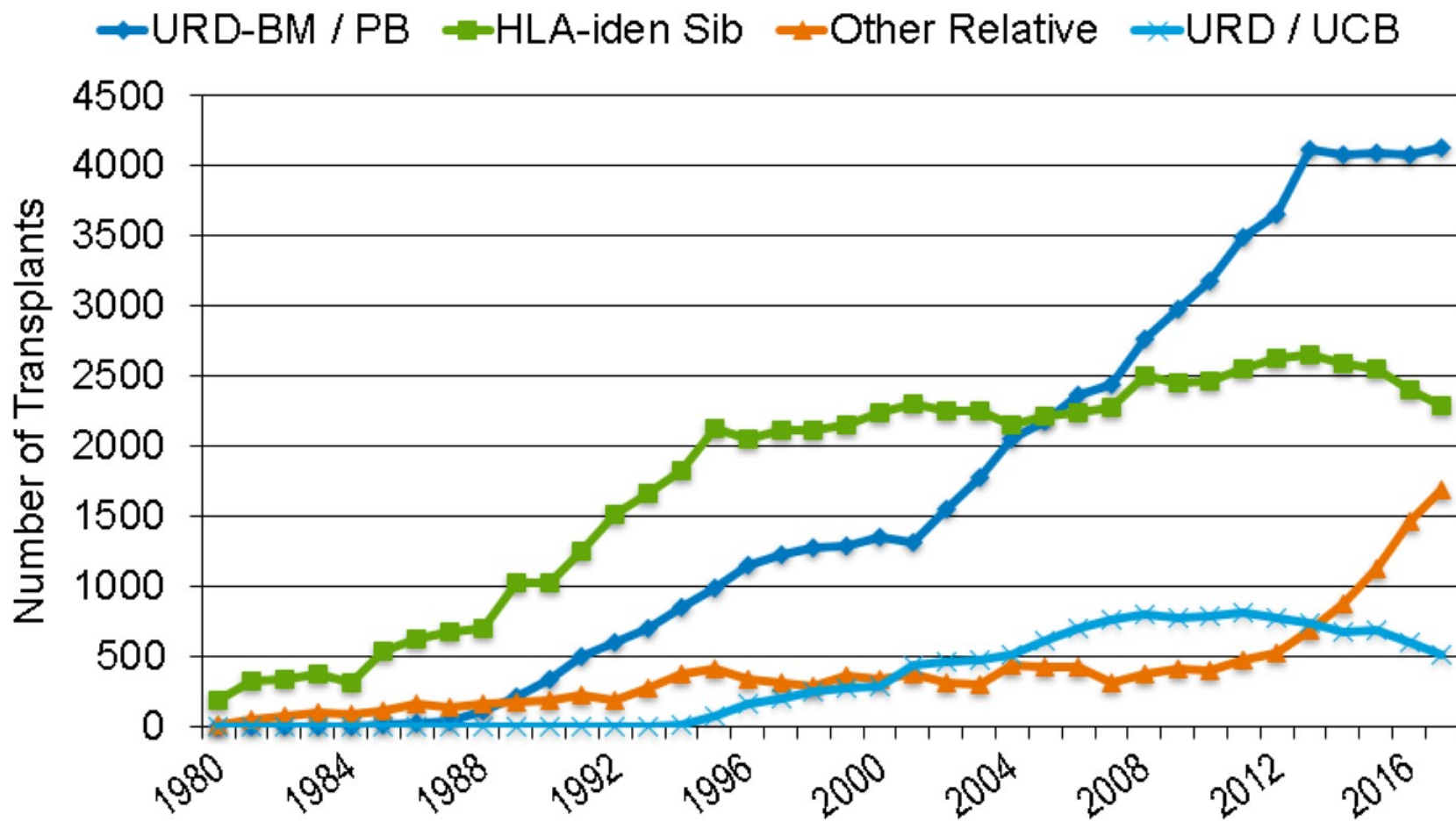
HLA-Matched Sibling Donor Allogeneic HCT in Patients Age ≥ 18 Years



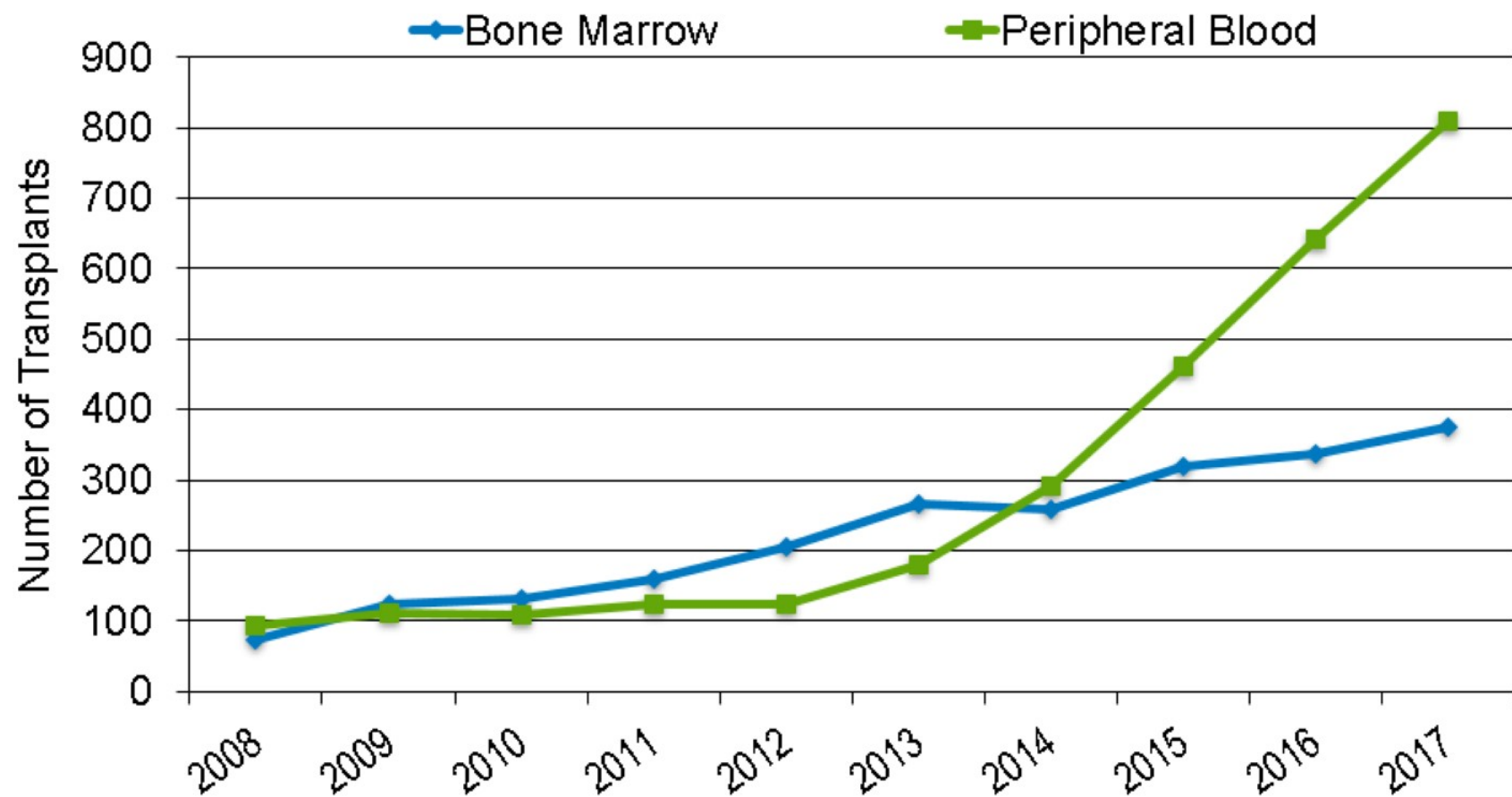
Unrelated Donor Allogeneic HCT in Patients Age ≥ 18 Years



Allogeneic HCT Recipients in the US, by Donor Type



Haploidentical HCT Recipients in the US, by Graft Type





Potential changes in utilization of SCT by disease

- Improvement of non-transplant therapies in myeloma are improving outcomes independent of transplant. *Despite multiple studies demonstrating that transplantation further improves outcomes, there appears to be a plateau in utilization*
- Relapsed and refractory lymphoma respond to CAR-T therapies *Role for earlier use of CAR-T therapies being explored. These studies may either reaffirm the role of autologous SCT or subsets that may do better with CAR-T*
- Acute myeloid leukemia therapy has evolved little over decades, except for subsets that may respond to targeted therapies. *Immunotherapy is likely to still require transplantation due to ablation of healthy bone marrow cells*



Potential new indications

- Hemoglobinopathies (Sickle cell anemia and thalassemia) are major populations that can benefit from curative stem cell transplantation
- Use of allogeneic transplantation has been limited, due to concerns about potentially fatal outcomes for “benign” diseases.
- Emerging gene therapies are exciting, but many involve autologous transplantation of genetically modified cells that produce corrected genes



Autoimmune disease

- Impressive results in well controlled trials have been seen in systemic sclerosis (scleroderma) and multiple sclerosis (Sullivan, NEJM 2018, Atkins, Lancet 2016)
- These “benign” diseases, in subsets of advanced patients, are associated with severe limitation of function and even high rates of mortality. *While utilization is increasing, it remains very limited.*

ORIGINAL ARTICLE

Myeloablative Autologous Stem-Cell Transplantation for Severe Scleroderma

K.M. Sullivan, E.A. Goldmuntz, L. Keyes-Elstein, P.A. McSweeney, A. Pinckney, B. Welch, M.D. Mayes, R.A. Nash, L.J. Crofford, B. Eggleston, S. Castina, L.M. Griffith, J.S. Goldstein, D. Wallace, O. Craciunescu, D. Khanna, R.J. Folz, J. Goldin, E.W. St. Clair, J.R. Seibold, K. Phillips, S. Mineishi, R.W. Simms, K. Ballen, M.H. Wener, G.E. Georges, S. Heimfeld, C. Hosing, S. Forman, S. Kafaja, R.M. Silver, L. Griffing, J. Storek, S. LeClercq, R. Brasington, M.E. Csuka, C. Bredeson, C. Keever-Taylor, R.T. Domsic, M.B. Kahaleh, T. Medsger, and D.E. Furst, for the SCOT Study Investigators*

ARTICLES | [VOLUME 388, ISSUE 10044, P576-585, AUGUST 06, 2016](#)

Immunoablation and autologous haemopoietic stem-cell transplantation for aggressive multiple sclerosis: a multicentre single-group phase 2 trial

[Dr Harold L Atkins, MD](#)   • [Marjorie Bowman, MScN](#) • [David Allan, MD](#) •

[Grizel Anstee, MD](#) • [Prof Douglas L Arnold, MD](#) • [Prof Amit Bar-Or, MD](#) • et al. et al.

[Show all authors](#) • [Show all authors](#)



What hasn't changed much?

- Risk stratification for most diseases (e.g., acute myeloid leukemia, the primary indication for allo SCT) has evolved very little, despite the 'omic' revolution
- In most cases, we still infuse donor grafts as collected, without enrichment or manipulation of cell subsets
- Strategies to prevent and/or treat GVHD have not significantly evolved in over 25 years, despite many attempts and the advent of targeted and biologic therapies
- Beyond conditioning, no therapies have typically been used to reduce relapse risk



What can we do to decrease relapse after SCT?

- Select patients most likely to benefit from allogeneic SCT
- Manipulate the graft (to selectively inhibit GVHD, improve GVL)
- Develop more selective pharmacologic approaches to inhibit GVHD
- Apply post-transplant maintenance therapy



What can we do to decrease relapse after SCT?

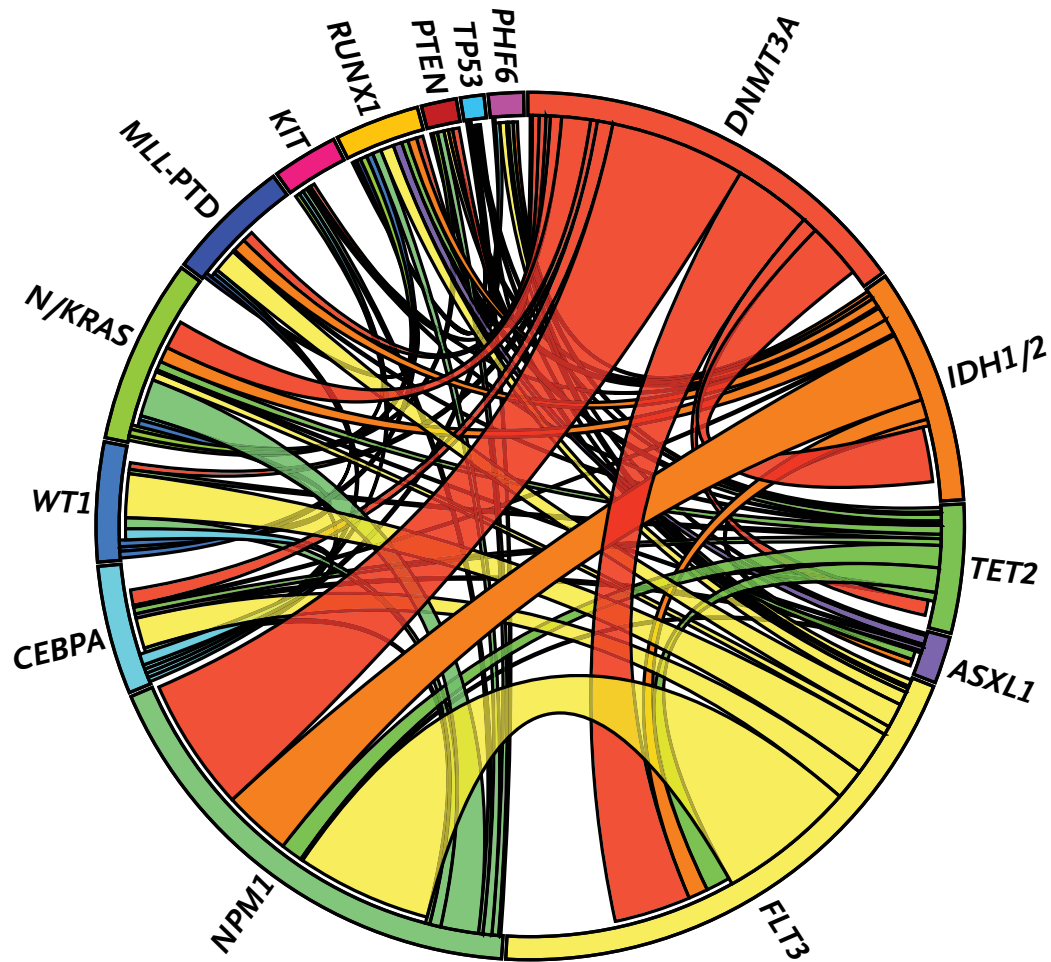
- Select patients most likely to benefit from allogeneic SCT
- Manipulate the graft (to selectively inhibit GVHD, improve GVL)
- Develop more selective pharmacologic approaches to inhibit GVHD
- Apply post-transplant maintenance therapy



Personalized medicine in AML

- Molecular profiling (incompletely done, in most centers) may add significantly to conventional pathology/cytogenetics and predict risk of relapse
- Further studies are needed to determine how new stratification schemes may predict relapse after transplantation, and identify patients most likely to benefit from SCT (*if and when*)
- Targeted therapies will likely be used in a tailored fashion before and after SCT (induction therapy and post-SCT maintenance)

A Total Cohort



Gene	Overall Frequency (%)
FLT3 (ITD, TKD)	37 (30, 7)
NPM1	29
DNMT3A	23
NRAS	10
CEBPA	9
TET2	8
WT1	8
IDH2	8
IDH1	7
KIT	6
RUNX1	5
MLL-PTD	5
ASXL1	3
PHF6	3
KRAS	2
PTEN	2
TP53	2
HRAS	0
EZH2	0

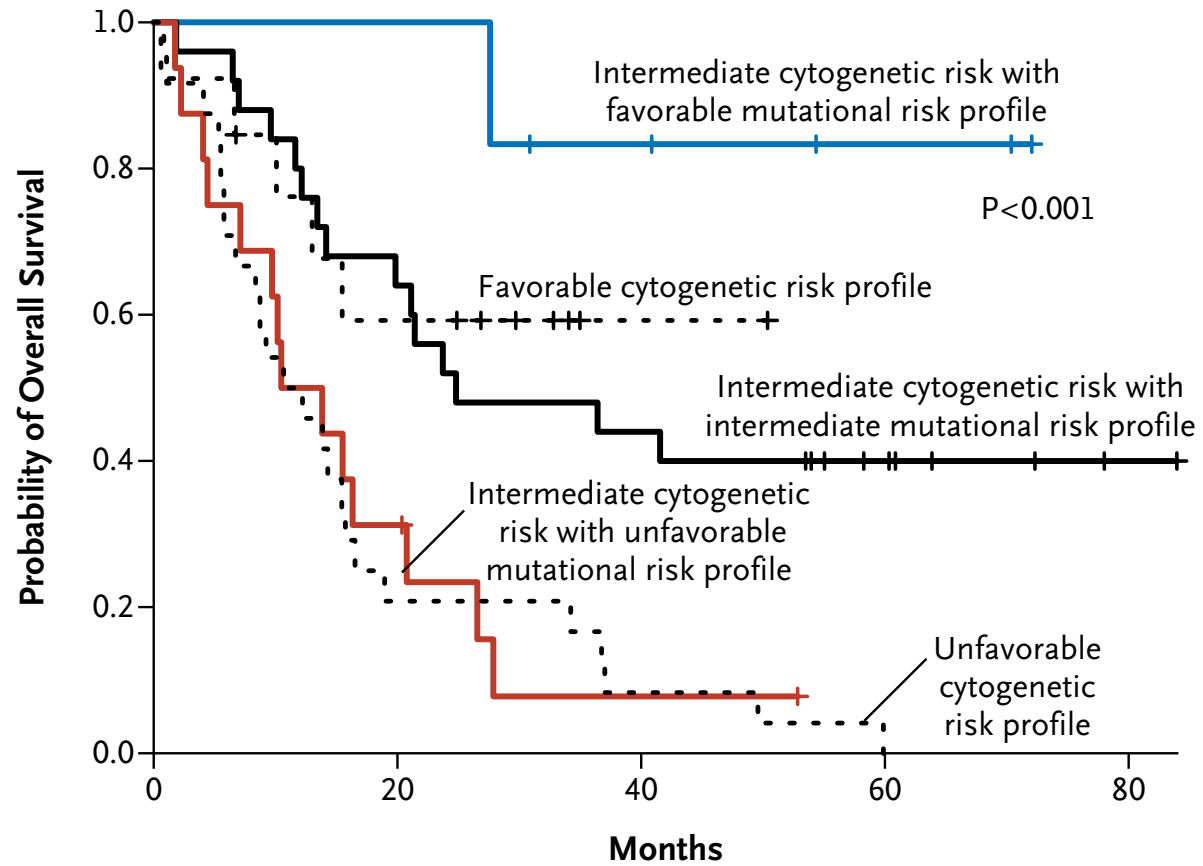
The NEW ENGLAND
JOURNAL of MEDICINE

ESTABLISHED IN 1812

MARCH 22, 2012

VOL. 366 NO. 12

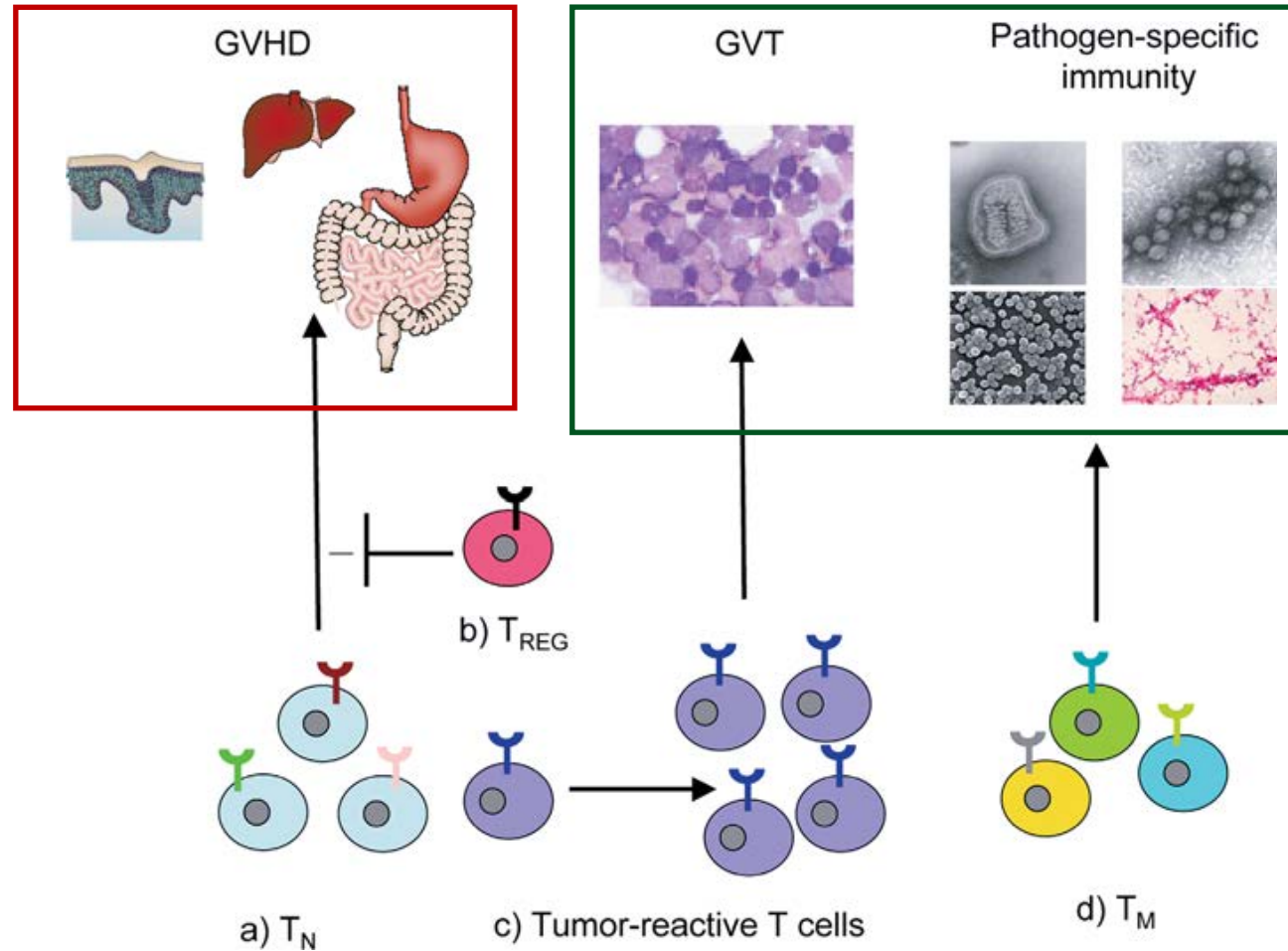
C Validation Cohort



Prognostic Relevance of Integrated Genetic Profiling
in Acute Myeloid Leukemia

Improving immune outcomes of stem cell transplants

Can we selectively inhibit these...



But not these?

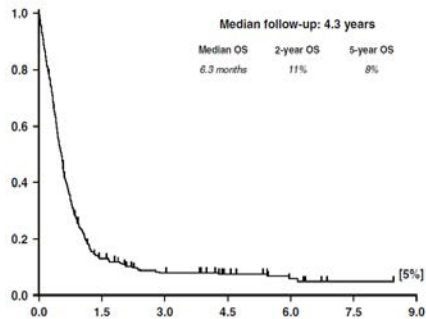


What can we do to decrease relapse after SCT?

- Select patients most likely to benefit from allogeneic SCT
- Manipulate the graft (to selectively inhibit GVHD, improve GVL)
- Develop more selective pharmacologic approaches to inhibit GVHD
- Apply post-transplant maintenance therapy

What are the unmet needs in HCT in 2018?

Disease control pre-HCT

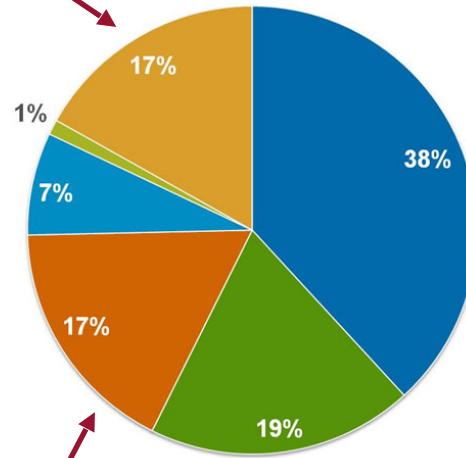


Donor/Access

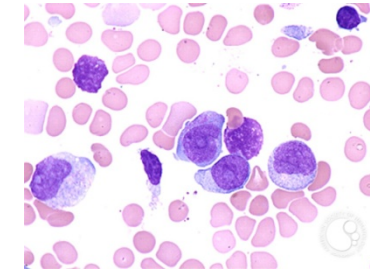


Causes of death after Unrelated donor HCT

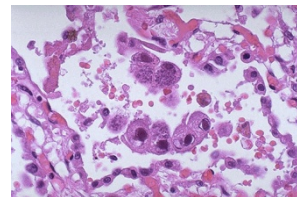
Toxicity



Relapse



Infection



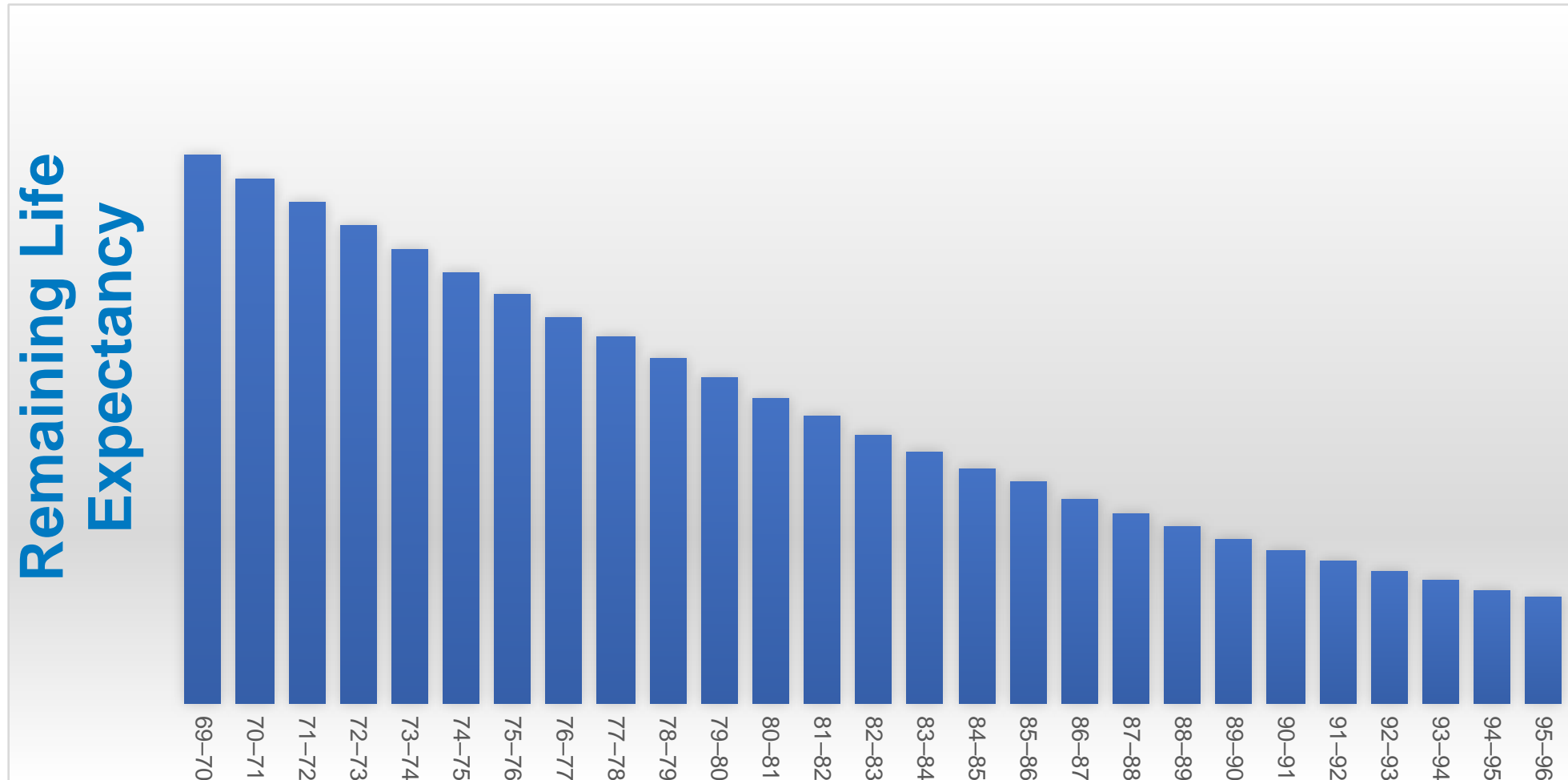
GVHD



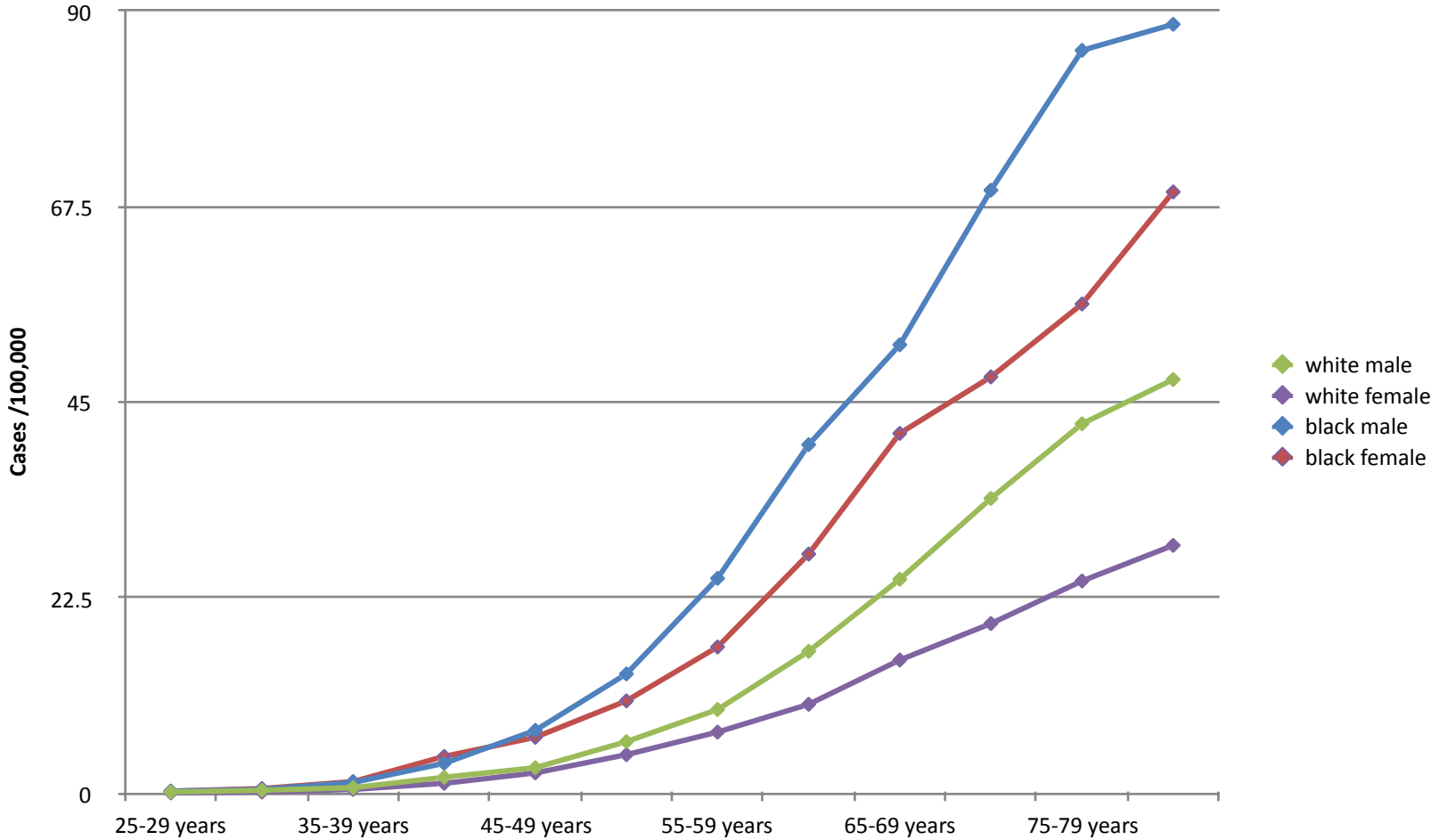
MM provides an optimal setting to understand socio-demographic disparities in transplant utilization

- Most common disease treated with transplantation
- Transplant is SOC as initial therapy
- Vast majority of transplants are autologous, therefore not limited by donor availability
- Higher incidence in Blacks
- Incidence increases with age

2013 US Census Data: Life Expectancy vs Age

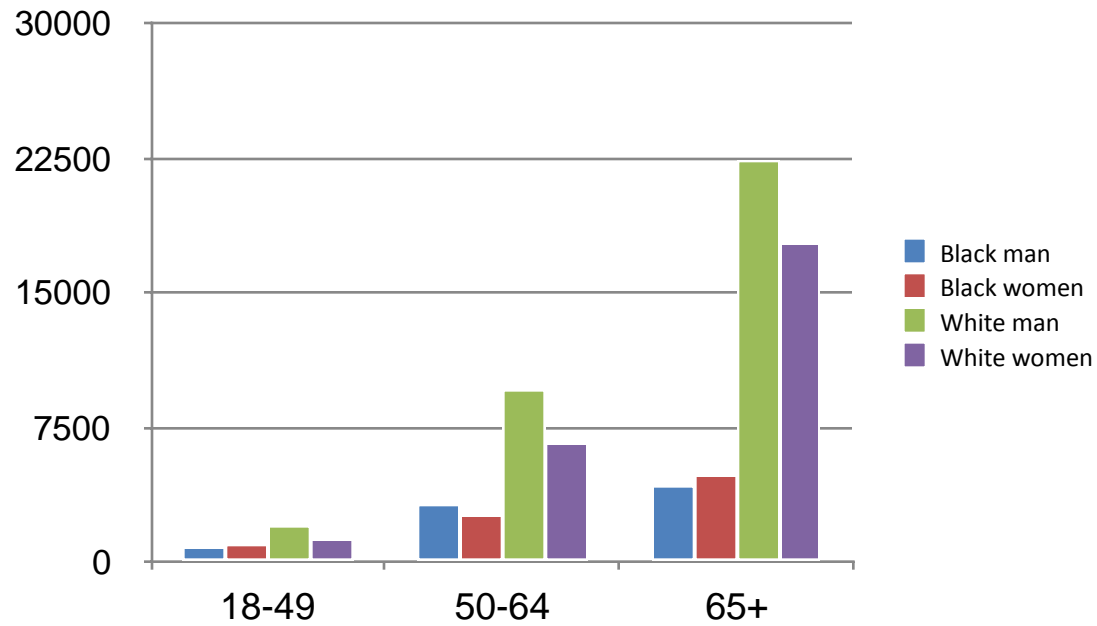


Incidence of Multiple Myeloma

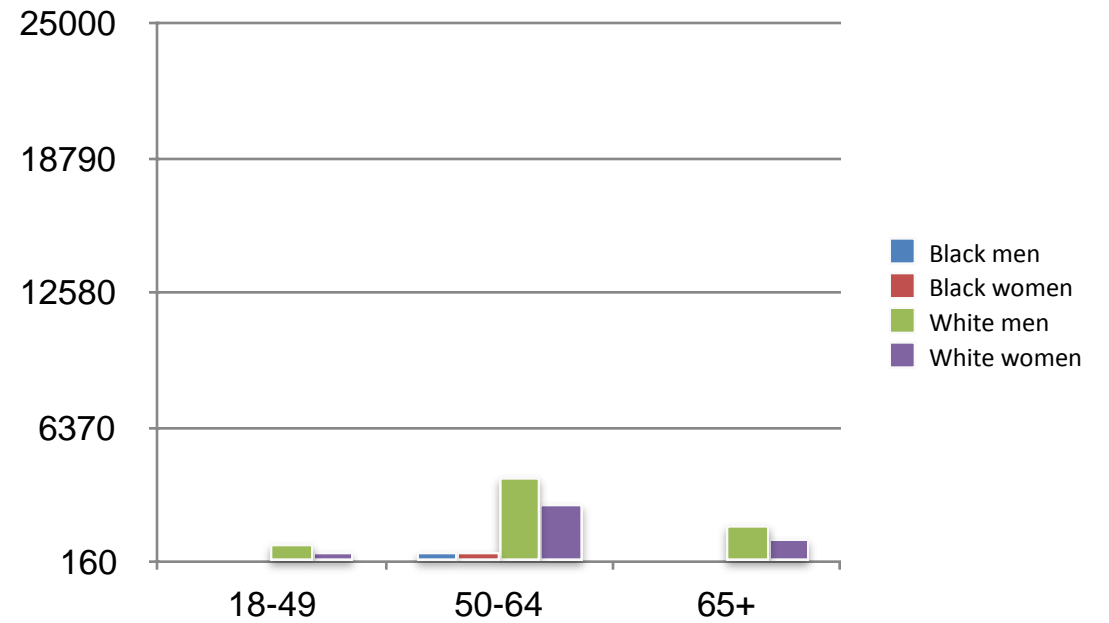


AHPCT utilization in Multiple Myeloma

Number of newly diagnosed cases



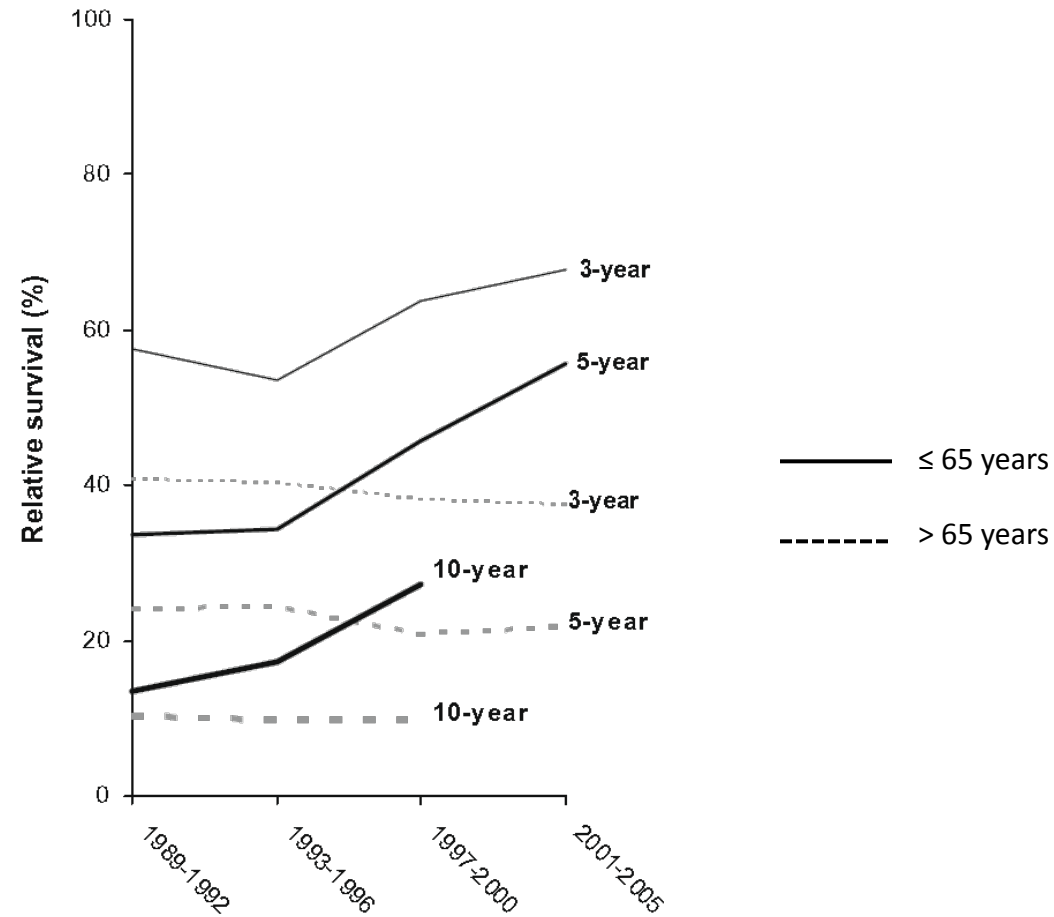
•Number of first AHPCT



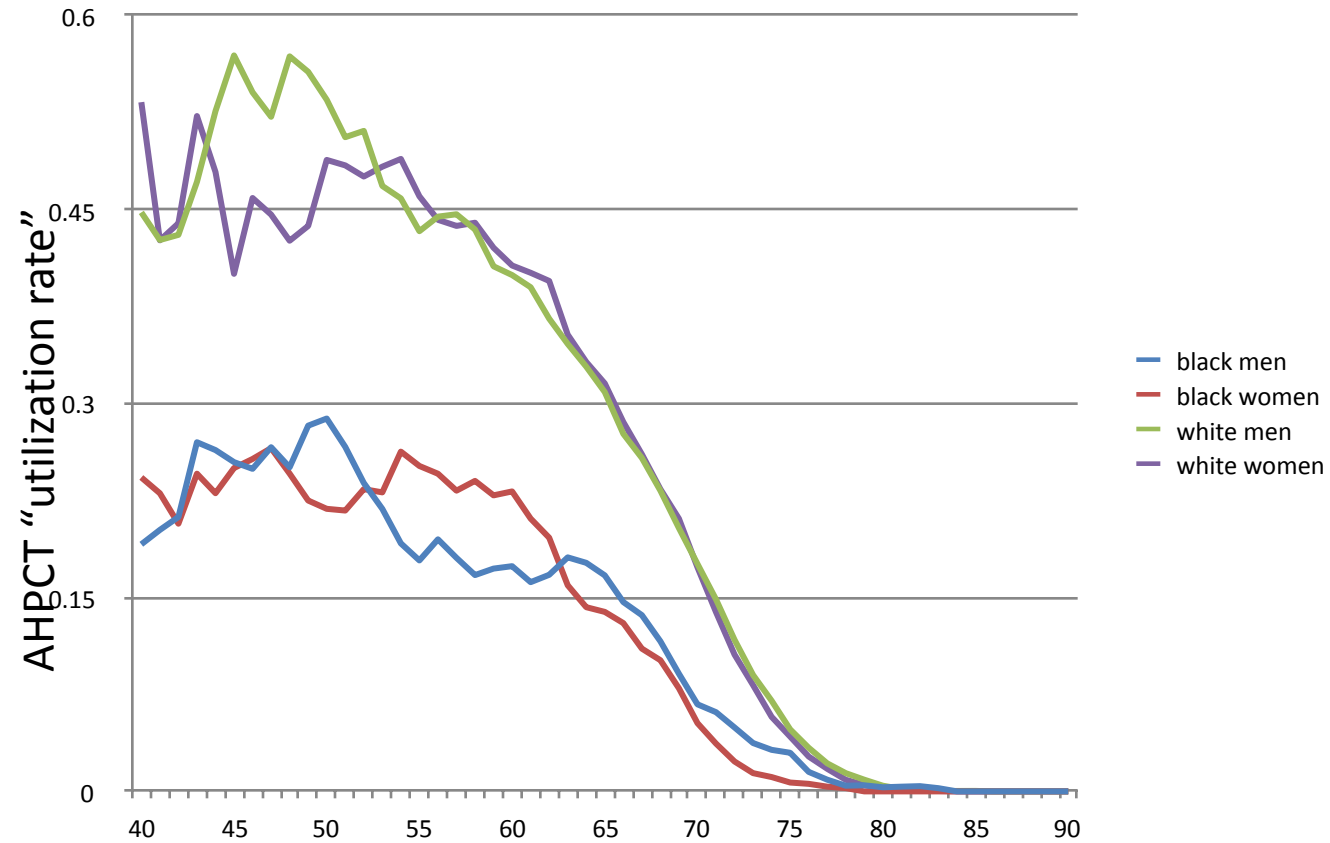
Only ~ 1 in 5 MM patients undergo AHPCT

AHPCT changed MM outcome. Population-level data

Changes in MM relative survival ratio in the Netherlands



AHPCT utilization greatly affected by race, race and sex

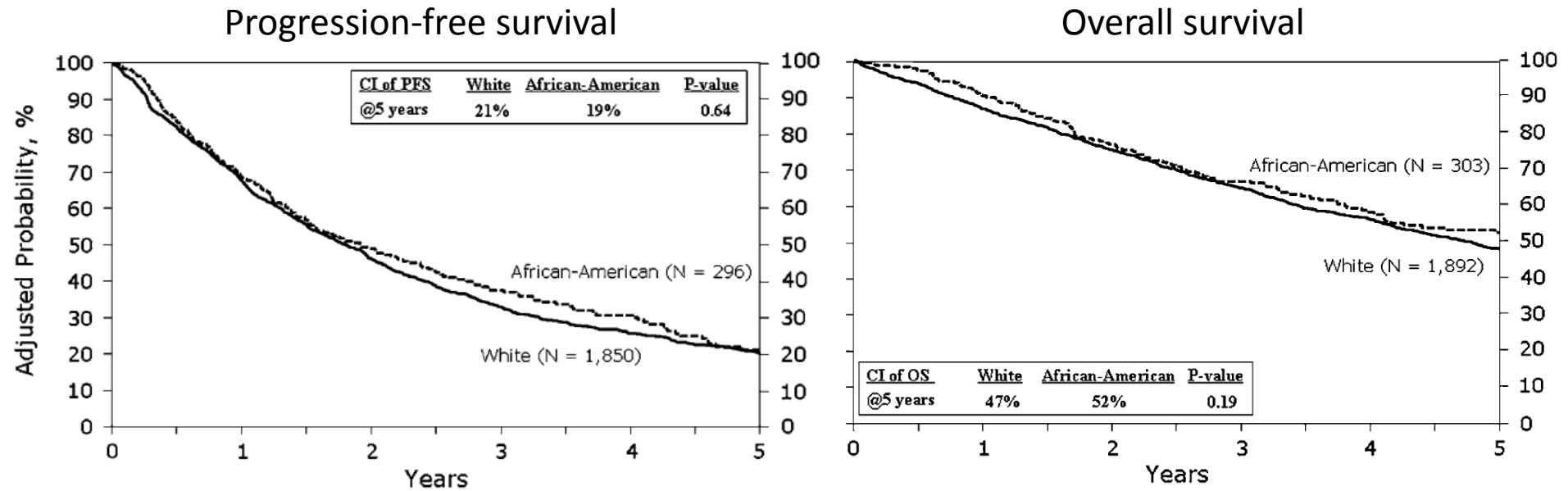


Race Barriers: Auto HCT In Multiple Myeloma

- Estimated autologous stem cell transplant utilization rates (STUR) for myeloma using CIBMTR data 2008-2014 (N=28,450) and incidence rates from SEER

Year	All patients	Non-Hispanic Whites	Hispanics	Blacks
2008	19.1%	22.6%	12.2%	8.6%
2014	30.8%	37.8%	20.5%	16.9%

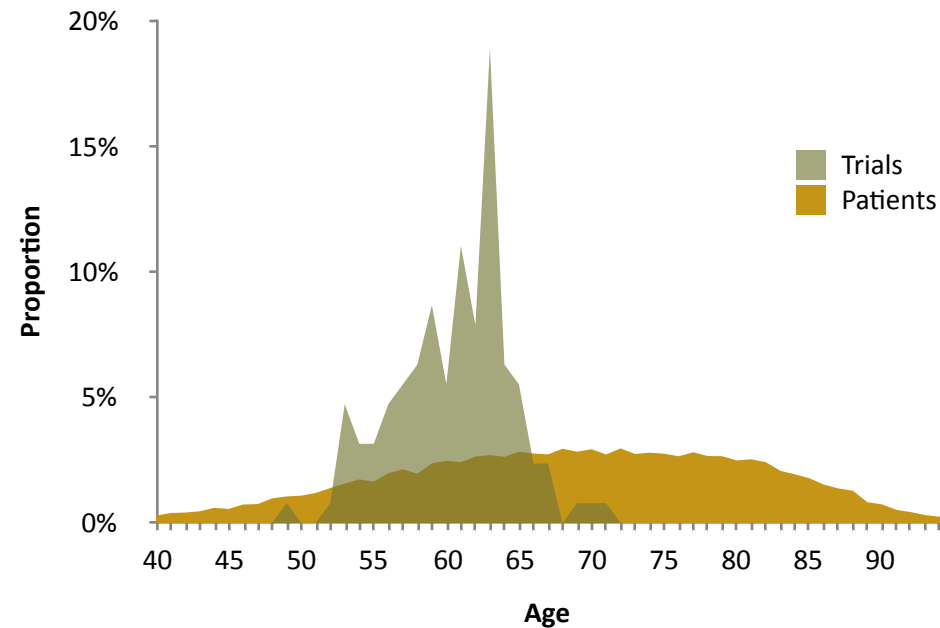
Similar treatment*, similar outcome



*AHPCT

HCT clinical trial populations are often selected

- Median of median age of subjects 61 years vs. 69 years in unselected patients.



- 58.4% subjects were men vs. expected 56.9% (O:E ratio 1.03, 95% C.I. 0.99-1.05)

Underutilization of HCT in Myeloma: Conclusions

- Availability of Autologous SCT impacts MM outcomes at the population level
- Autologous SCT still vastly underutilized in the US
- Underutilization more pronounced among blacks and patients older than 65 years, with no medical justification
- Reasons are unknown, likely interconnection of race, education, income, geographic distribution, physician and patient bias

Underutilization of AlloHCT: AML

- 887 adults with non-APL AML dx'd in 2007 from SEER PoC study (14 US registries)
- Cytogenetic risk not reported
- 27.5% < age 60 received alloHCT, 2.7% > age 60

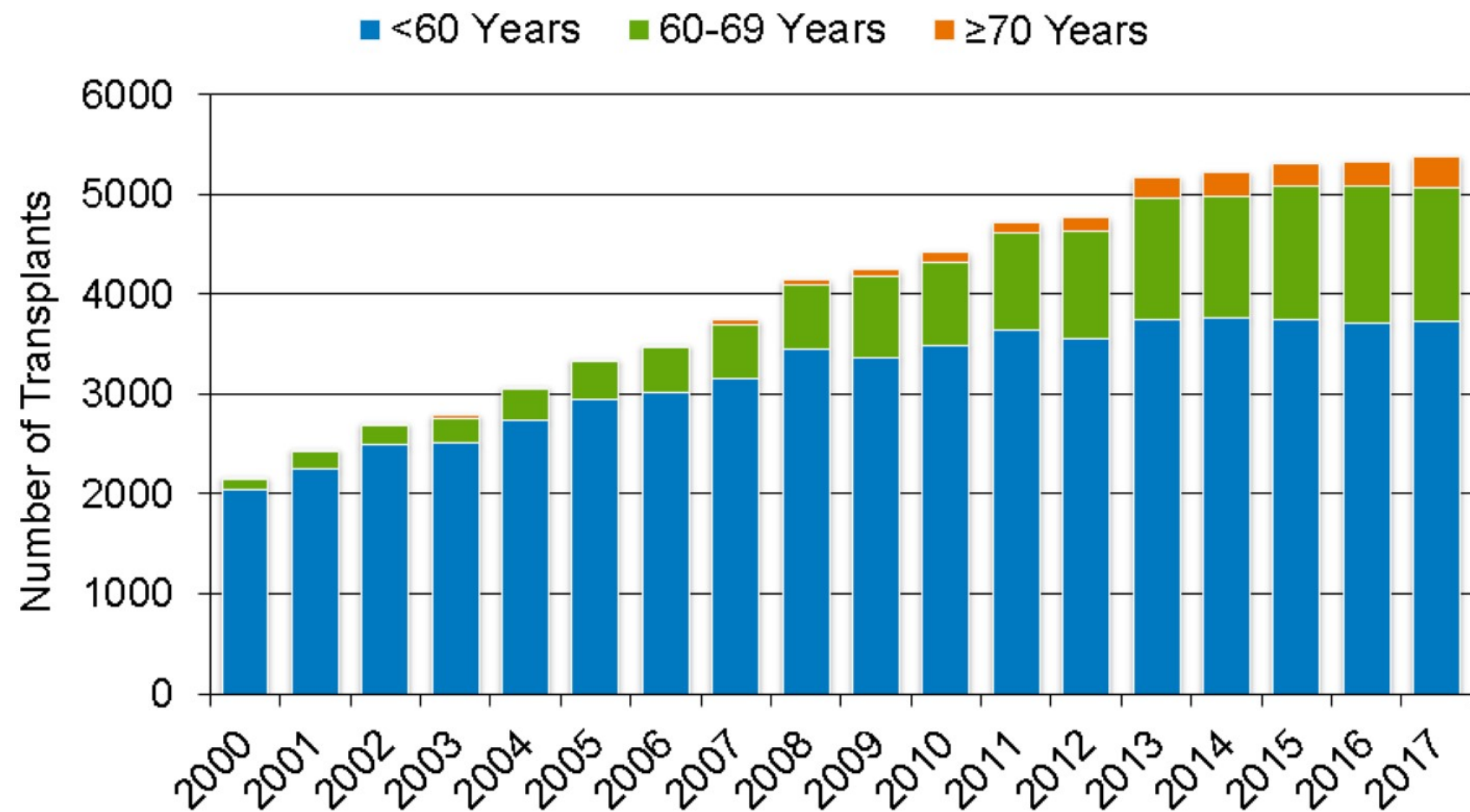
Table IV. AML (non-APL), predictors of receiving allogeneic transplant, multivariate logistic regression model.

Predictor	Treated		OR	95% CI
	No.	%*		
Age				
< 40	30	31.4	1.00	Referent
40-49	24	27.8	0.65	0.27-1.54
50-59	35	21.4	0.41	0.19-0.92
60+	7	1.5	0.02	0.01-0.06
Sex				
Male	43	10.1	1.00	Referent
Female	53	15.3	1.48	0.80-2.73
Race/ethnicity				
White	59	13.3	1.00	Referent
Black	10	8.3	0.47	0.19-1.18
Hispanic	11	9.4	0.38	0.16-0.92
Other	16	13.0	0.73	0.32-1.66
Median household income (quartiles)				
<\$40 142	27	11.9	1.00	Referent
\$40 142-\$53 817	23	10.9	0.74	0.26-2.11
\$53 818-\$70 899	16	9.5	0.58	0.18-1.85
\$70 900+	30	17.7	1.55	0.44-5.46
Marital status				
Married/living as	58	11.9	1.00	Referent
Other	38	13.2	1.55	0.79-3.01
Insurance status				
Private	82	15.4	1.00	Referent
Public/no insurance	14	5.2	0.27	0.12-0.60
Residency program				
No	17	7.0	1.00	Referent
Yes	79	16.2	2.28	1.04-4.99
Charlson comorbidity score				
0	74	14.9	1.00	Referent
1+	22	7.7	0.75	0.39-1.46

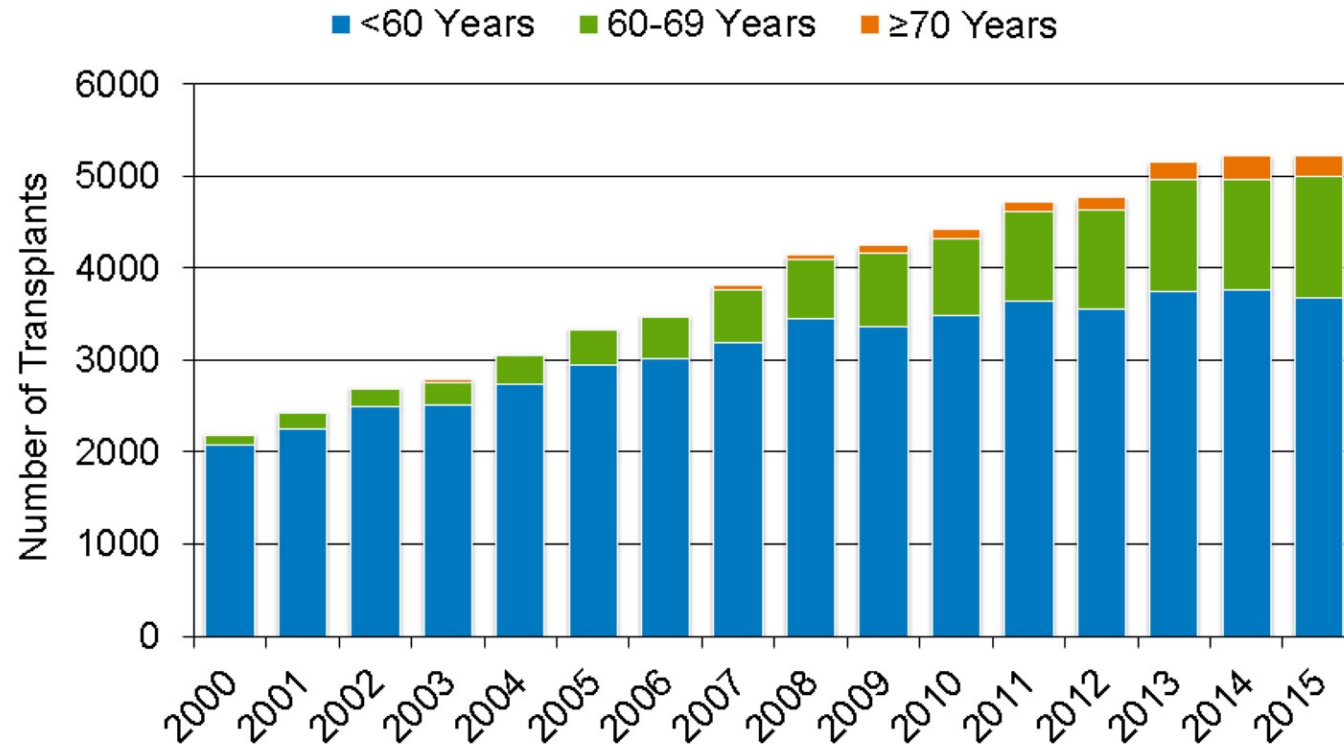
Unique challenges in older patients

- Increased rates and poorer outcomes due to complications (infections and GVHD)
- Poorer tolerance of immunosuppression (e.g., steroids)
- Greater likelihood of Comorbidities
- Caregiver challenges are more common
- May be less connected or more apprehensive about novel therapies
- Lower incomes and greater dependence on Medicare

Trends in Allogeneic HCT in the US by Recipient Age[^]



Age Barriers: Allo HCT In AML



~3-6% patients age 60-75 years receive allogeneic HCT *



^Transplants for AML, ALL, NHL, Hodgkin Disease, Multiple Myeloma

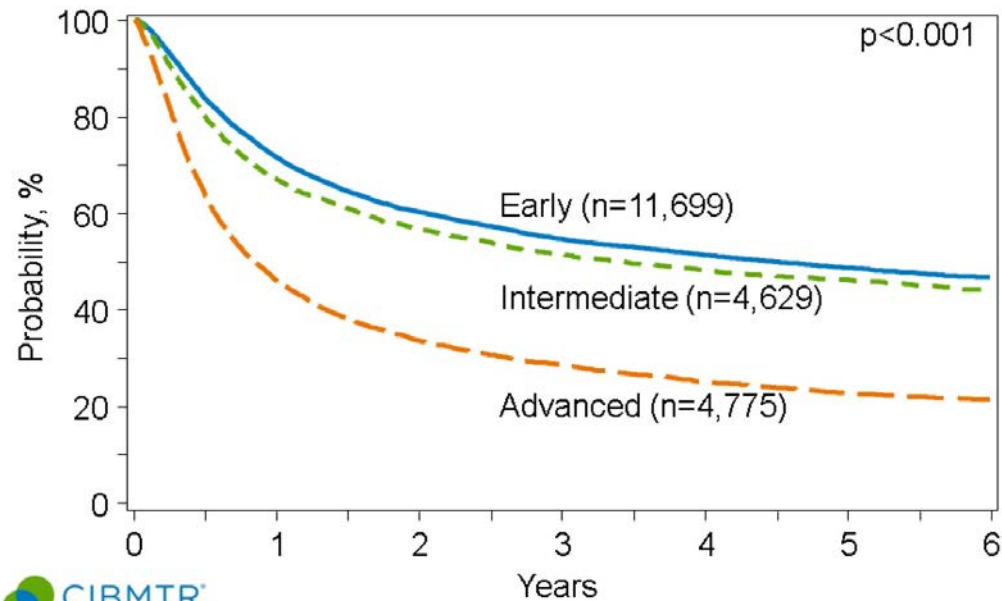
12

*Ustun C et al. Bone Marrow Transplant, 2013

*Doria-Rose VP et al. Leuk & Lymphoma, 2014

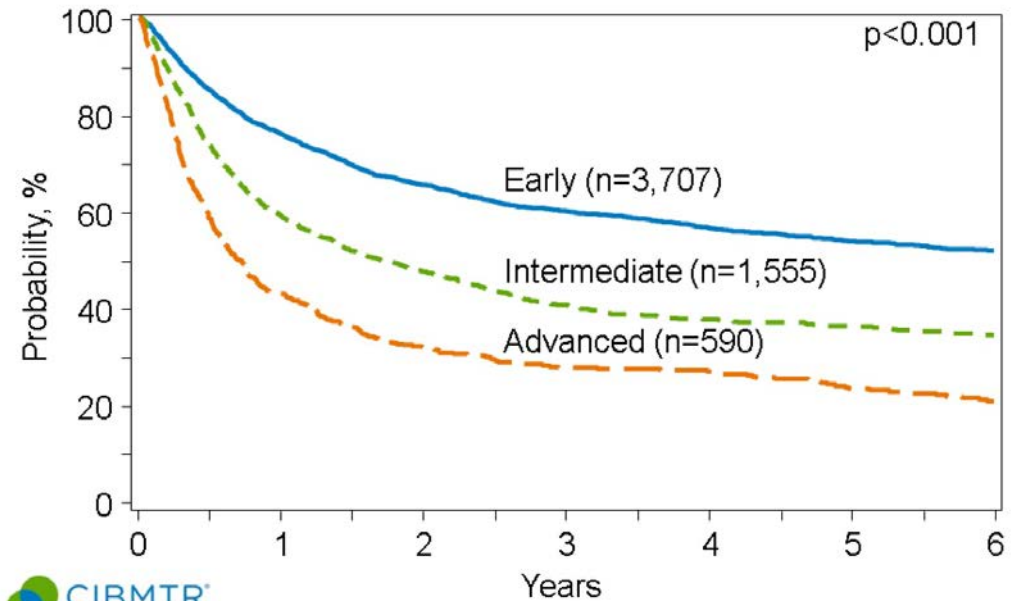
Transplantation: Timing matters

Survival after Unrelated Donor HCT for AML, 2006-2016



21

Survival after Unrelated Donor HCT for ALL, ≥ 18 Years, 2006-2016



30

Timely Referral Affects Survival

Because disease stage at the time of transplant is the only factor under direct control of a physician, an **early referral is perhaps the single most important step that can affect survival.**

Patient



- ✓ Demographics
- ✓ SES
- ✓ Coverage
- ✓ Literacy
- ✓ Cultural
- ✓ Preferences
- ✓ Other health disparity factors

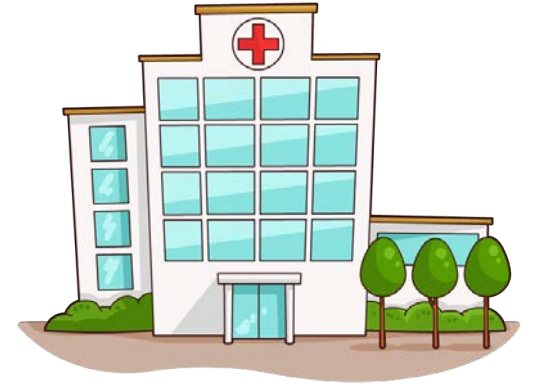
Oncologist



- ✓ Knowledge
- ✓ Perceptions
- ✓ Experience with other patients
- ✓ Other biases that prevent referral

CONSULT

BMT Program



PATIENT SPECIFIC

- ✓ Demographics
- ✓ SES
- ✓ Coverage
- ✓ Caregivers
- ✓ Distance
- ✓ Other health disparity factors

BMT SPECIFIC

- ✓ Donor
- ✓ Comorbidities
- ✓ Disease status

CENTER SPECIFIC

- ✓ Expertise
- ✓ Capacity

BARRIERS TO HCT

Appropriate

Inappropriate

Modifiable

Non-modifiable

Can they be mitigated?

RESPONSIBILITY

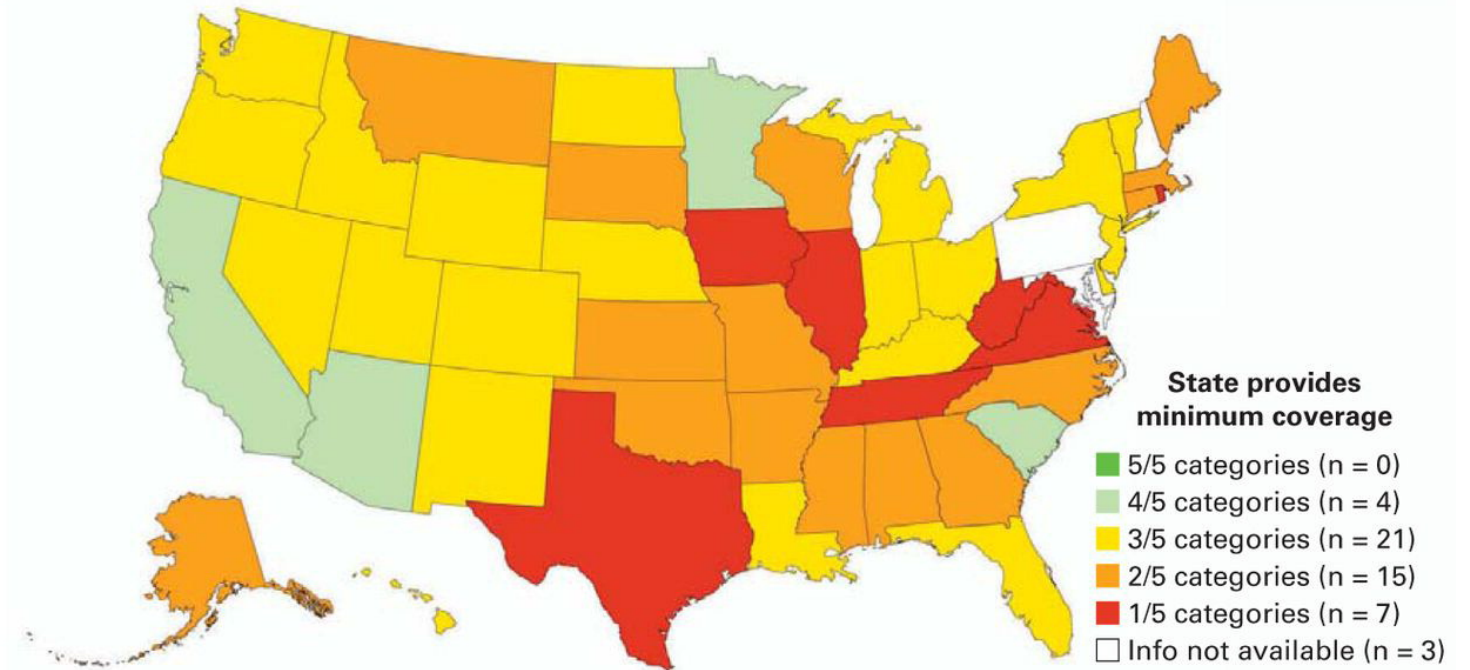
- ✓ Referring Physicians
- ✓ Transplant Centers
- ✓ Payers
- ✓ Policy Makers
- ✓ (Patients)

Coverage Barriers To HCT

- Essential phases that need health insurance coverage
 - Covered indication and specific transplant procedure
 - Donor search
 - Hematopoietic progenitor cell collection
 - Inpatient care and outpatient care
 - Medications
 - Unexpected costs (e.g., complications)
 - Clinical trials
 - Out-of-pocket costs
- Lack of or inadequate coverage for any above can jeopardize access to and outcomes of HCT

Coverage Barriers: Example Of Medicaid

- Variation in coverage for transplant by state Medicaid programs
- Evaluated coverage for:
 - Indications
 - Donor search
 - Medications
 - Clinical trials
 - Out-of-pocket costs



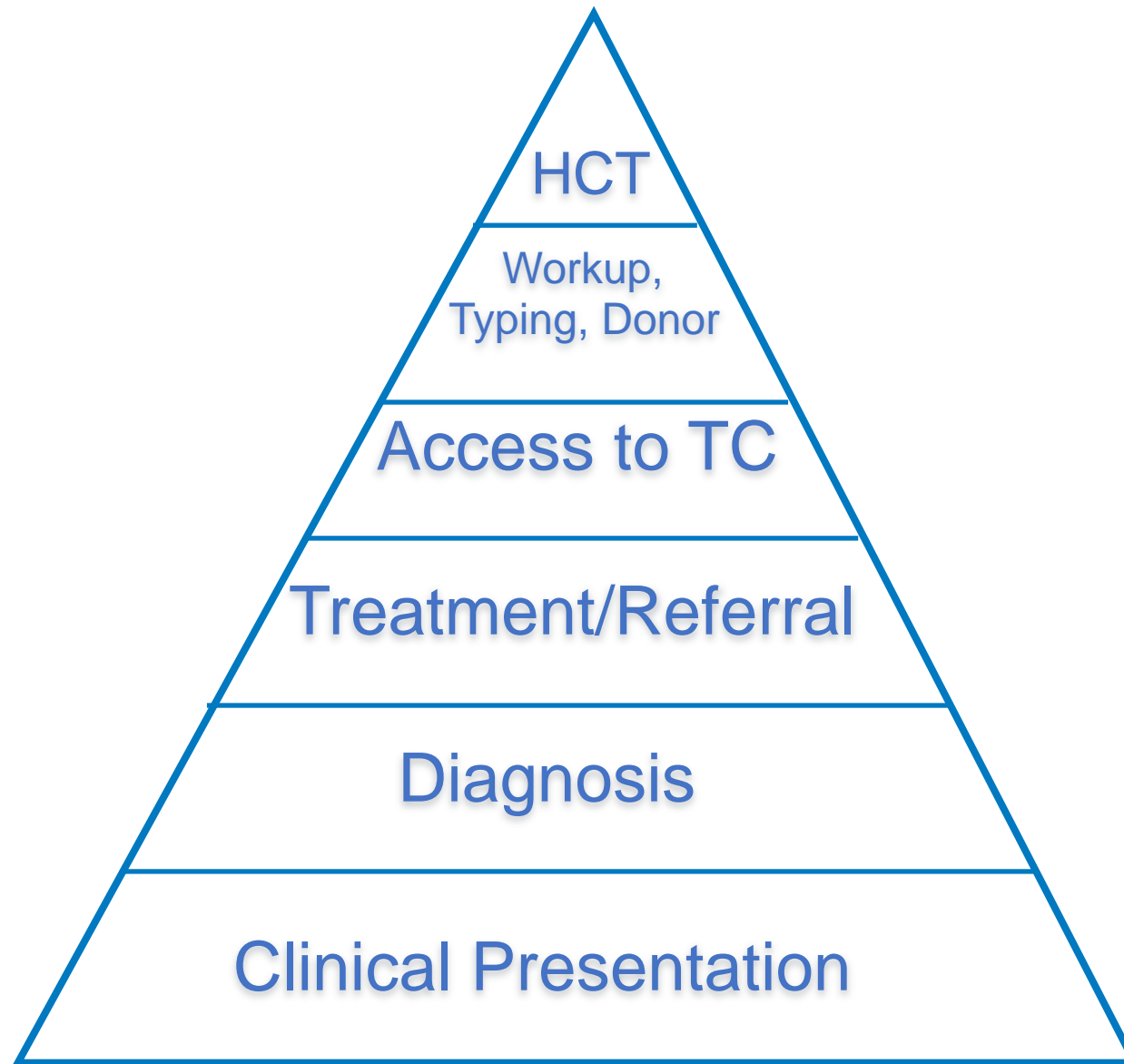
Ensure Adequate Coverage For HCT

- Coverage for HCT can be restrictive and regressive
 - Ensure adequate coverage for various phases of transplantation
- Coverage for patient out-of-pocket costs
- Common standards and policies for coverage
 - Less variation among plans and states

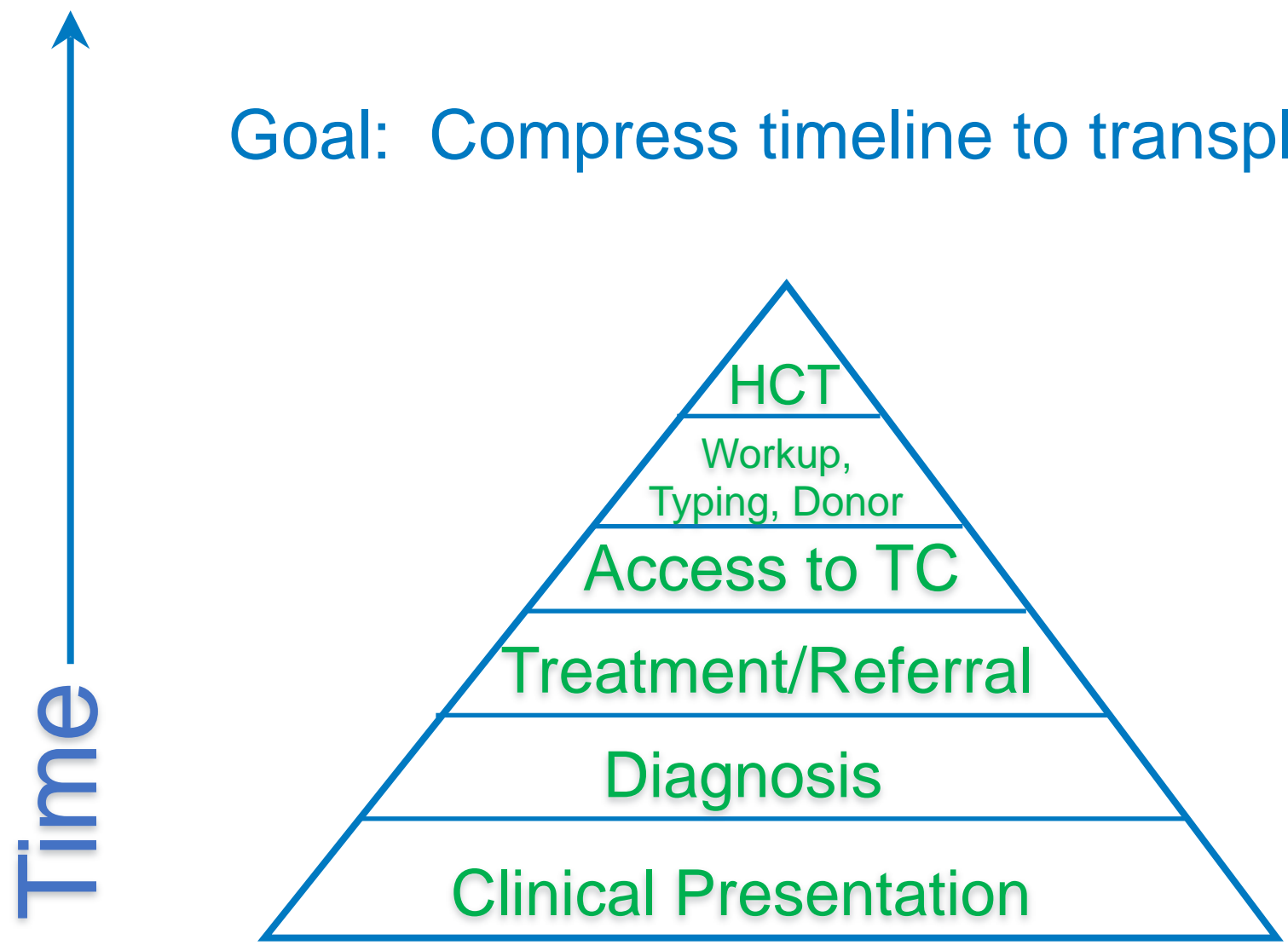
Referring Physician Barriers For Allo HCT

- Survey of hem/onc's (N=113 respondents; ~10% response rate)
- Case vignettes of accepted indications (AML, ALL, CML, MDS); odds ratio for no HCT referral:
 - Age 60 years (vs. 30 years): 8.29 (P<0.001)
 - Black (vs. White): 2.35 (P<0.001)
 - No HCT coverage (vs. coverage present): 6.95 (P<0.001)
- Majority reported negative perception of HCT outcomes
 - 51% agreed: "...risk or morbidity/mortality after HCT is very high"
 - 57% agreed: "...outcomes of unrelated donor HCT are much worse than sibling donor HCT"
 - 32% agreed: "...because of high risks of allo HCT, I refer only after failure of conventional chemotherapy"

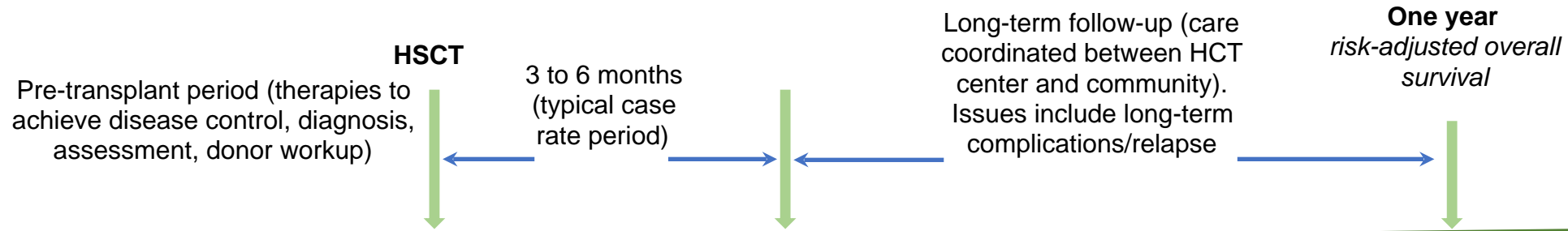
Time



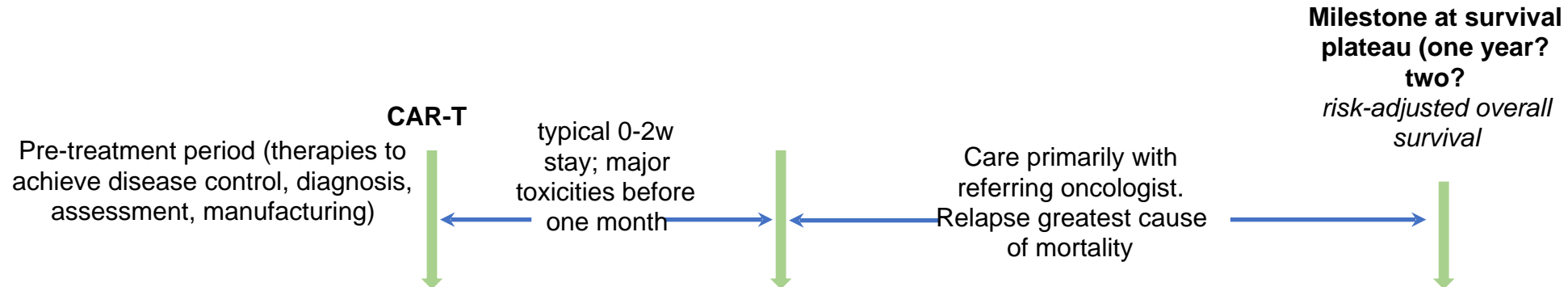
Goal: Compress timeline to transplant



Parallels between HSCT and CAR-T therapy (phases of care)



In HSCT, a critical dyad between payer and transplant center



*For T cell therapies, a **triad** is necessary (payer, transplant center, developer)*



Overall conclusions

- Stem cell transplantation has seen radical scientific and clinical changes that have dramatically improved application to older subjects with reduced morbidity and mortality
- Novel graft sources and approaches to conditioning have made transplantation available and safe for most patients under 75
- Access problems increase with age and are also associated with race and socioeconomic status
- Expanding indications include hemoglobin disorders and greater application in autoimmune disease
- Scientific improvements in risk stratification, GVHD therapies and combination of SCT with other therapies will further improve outcomes



Acknowledgments

Source Slides:

Fred Appelbaum (Fred Hutch)

Navneet Majhail (Cleveland Clinic)

Sergio Giralt (MSKCC)

Miguel Perales (MSKCC)

Luciano Costa (UAB)

Bill Wood (UNC)

ASTCT:

Stephanie Farnia



