# Opportunistic infections in the immunocompromised host

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# **Objectives**

#### Overview of immunity

- Cellular-mediated immunity: Innate and adaptive immunity
- Complexity in immune response

#### Defining the immunocompromised patient population

- Primary (congenital) immunodeficiency
- Secondary (acquired) immunodeficiency
- An expanding patient population (biologic and cellular therapies)

#### Infections in immunocompromised hosts

- Common themes across patient populations
- Invasive fungal infection (IFI)
- Cytomegalovirus (CMV)
- Respiratory viruses

#### Therapy-associated infections

- Biologic therapies
- Hematopoietic cell graft manipulation
- Cell therapies: Chimeric antigen receptor (CAR) T cells

#### Antimicrobial cellular therapies





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## Immunity





## **Immunity: Functional homeostasis**



### **Cellular Immunity: Innate and adaptive arms**



PAMP = pathogen-associated molecular pattern TLR = Toll-like receptor (e.g., PRR = pattern recognition receptor)





*NEJM* 2000; 343: 338

#### Innate immunity Adaptive immunity (rapid response) (slow response) Dendritic cell Mast cell Innate **Adaptive** Immunity B cell Immunity Macrophage yố T cell T cell Natural killer cell Basophil Complement protein Antibodies Natural Eosinophil CD4+ killer T cell CD8+ T cell T cell Granulocytes Neutrophil

Cellular Immunity: Innate versus Adaptive

Minimal Regulation **Highly regulated Recruitment/ ER hematopoiesis Amplification Clonal expansion Broad specificity Receptor specificity** Narrow specificity Germline-conserved **Receptor origin Random** generation Rapid, non-specific **Speed of response** Slower, Antigen-specific Memory Yes No Nature Rev Cancer 2004; 4: 11

#### Type 1 and Type 2 Immune Responses



#### Simplified concept, but immune response is more complex!





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# Immunity: A multi-layered social network

|           |     | Network<br>component         | Social<br>network                                       | Immune<br>system   |
|-----------|-----|------------------------------|---|--|
| Roll Roll | 000 | Actors                       | Members of society                                      | Immune-<br>cell types  |
|           | 000 | Roles                        | Same profession;<br>similar age;<br>shared<br>interests | Shared function—<br>e.g., early defense<br>mechanisms;<br>humoral immunity |
|           | S   | Context                      | Family;<br>professional;<br>spare time                  | Organ; tissue;<br>activation status  |
|           | \$  | Intra-layer<br>communication | Personal<br>communication;<br>e-mails;<br>phone calls   | Direct cell–cell<br>interactions;<br>receptor–ligand<br>interactions       |
|           |     | Inter-layer<br>communication | Similar to<br>intra-layer<br>communication              | Signaling<br>across organs,<br>tissues                                     |

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Nature Immunol 2017; 18: 481

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# Importance of immune regulation: Stop inflammation



# Who is immunocompromised?





# **Primary immunodeficiency (PID)**

Immune

eficiency

#### Primary (congenital) immunodeficiency

- Phagocyte disorders
- Humoral (B-cell differentiation and antibody production)
- T-cell and mixed (combined B and T-cell disorders)



### **PIDs: How common are they?**



Figure 1. Relative incidence of different primary immunodeficiency disorders diagnosed in patients seen during an 8-year period in a pediatric tertiary hospital.



#### PID is not just a pediatric diagnosis!







# **Red flags for potential PID**



#### Warning Signs of Primary Immunodeficiency

Primary Immunodeficiency (PI) causes children and adults to have infections that come back frequently or are unusually hard to cure. 1:500 persons are affected by one of the known Primary Immunodeficiencies. If you or someone you know is affected by two or more of the following Warning Signs, speak to a physician about the possible presence of an underlying Primary Immunodeficiency.

- **1** Four or more new ear infections within 1 year.
- **2** Two or more serious sinus infections within 1 year.
- **3** Two or more months on antibiotics with little effect.
- **4** Two or more pneumonias within 1 year.
- **5** Failure of an infant to gain weight or grow normally.
- 6 Recurrent, deep skin or organ abscesses.
- **7** Persistent thrush in mouth or fungal infection on skin.
- 8 Need for intravenous antibiotics to clear infections.
- **9** Two or more deep-seated infections including septicemia.
- **10** A family history of PI.



#### Is it just an infection?

You should be suspicious if you have an infection that is...

#### requires hospitalization or intravenous antibiotics

Persistent won't completely clear up or clears very slowly

Unusual caused by an uncommon organism

Recurrent keeps coming back

or if it

Runs in the Family others in your family have had a similar susceptibility to infection

As the national patient organization dedicated to persons living with primary immunodeficiency diseases, IDF says THINK ZEBRA!

In medical school, many doctors learn the saying, "when you hear hool back, think horses, not zebras" and are taught to focus on the likeliest possibilities when making a diagnosis, not the unusual ones. However, sometimes physicians need to look for a zebra. Patients with primary immunodeficiency diseases are the zebras of the medical world.

If you have an infection with any of these characteristics, ask your physician to THINK ZEBRA!





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# **Defining immunodeficiencies**

#### Secondary (acquired) immunodeficiency

- HIV
- Cancer
- Transplant: Hematopoietic cell and solid organ
- Age: premature versus elderly
- **Malnutrition:** Protein-losing enteropathy
- Autoimmunity: Lupus, diabetes mellitus
- **Therapies:** Procedures, monoclonal antibodies
- Sepsis \_

How many Americans are immunocompromised?



#### 1,685,210 new cancer cases in 2016

American Cancer Society 2016 Report

Effective Clin Pract 2002; 5: 84

#### No one knows!

In 2002 study, 10 million people were immunocompromised, but authors counted only "recipients of organ transplants, individuals with diagnosed and undiagnosed HIV infection or AIDS, and patients with cancer."





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# An increasing patient population...

- Cross-sectional analysis of non-institutionalized adults (>18y) using 2013 National Health Interview Survey
- > 34,426 participants
- "Immunosuppressed" if answered "yes" to:

Q1 (n=2148) + Q2

#### <u>AND</u>

Q3 or Q4

#### 

Had hematologic cancer within last 2 years (Q7 & Q8)

# 2.7 per 100 people in US (2017 325M) → 8.8M

- Box. National Health Interview Survey Questions on Immunosuppression, 2013
- 1. Have you ever been told by a doctor or other health professional that your immune system is weakened?
- 2. Based on what a doctor or other health professional told you, do you still have a weakened immune system?
- 3. During the past 6 months, have you taken prescription medication or had any medical treatments that a doctor or other health professional told you would weaken your immune system?
- 4. Do you currently have a health condition that a doctor or other health professional told you weakens the immune system, even without related medications or treatments?
- 5. Has a doctor or other health professional ever told you that your immune system is weakened because you have kidney disease, lung disease, liver disease, diabetes, poor nutrition, or general frailty?
- 6. Have you ever been told by a doctor or other health professional that you had cancer or a malignancy of any kind?7. What kind of cancer was it?
- 8. How old were you when your cancer was first diagnosed?
- Earlier you said you had cancer. Did a doctor or other health professional ever tell you that your immune system is weakened because of this cancer/these cancers?
- 10. Has a doctor or other health professional ever told you that your immune system is weakened because you seem to get many infections and colds or that you can't seem to get over them?

#### Table. Self-reported Immunosuppressed Status

|                             | No. (%)<br>(n = 951)   | Prevalence per 100<br>US Population,<br>% (95% CI) |
|-----------------------------|------------------------|--|
| Currently immunosuppressed  | 951 (2.8) <sup>a</sup> | 2.7 (2.4-2.9)                                      |
| Sex                         |                        |  |
| Male                        | 298 (31.3)             | 1.8 (1.5-2.1)                                      |
| Female 🧲 🗕                  | 653 (68.7)             | 3.5 (3.1-3.9)                                      |
| Race/ethnicity <sup>b</sup> |                        |  |
| Hispanic                    | 128 (13.5)             | 1.6 (1.2-1.9)                                      |
| Non-Hispanic                |                        |  |
| White 🔶 🗕                   | 641 (67.4)             | 3.0 (2.7-3.4)                                      |
| Black                       | 122 (12.8)             | 2.3 (1.8-2.8)                                      |
| Asian                       | 29 (3.0)               | 1.7 (0.8-2.7)                                      |
| Other                       | 31 (3.3)               | 3.9 (2.0-5.9)                                      |
| Age group, y (n = 951)      |                        |  |
| 18-39                       | 182 (19.1)             | 1.6 (1.3-1.9)                                      |
| 40-49                       | 136 (14.3)             | 2.3 (1.8-2.8)                                      |
| 50-59                       | 281 (29.5)             | 4.4 (3.7-5.1)                                      |
| 60-69                       | 213 (22.4)             | 3.9 (3.2-4.5)                                      |
| 70-79                       | 101 (10.6)             | 3.1 (2.4-3.8)                                      |
| ≥80                         | 38 (4.0)               | 2.5 (1.4-3.5)                                      |





#### JAMA 2016; 316: 2547





#### Autoimmune disease





Autoimmune diseases represent:<sup>3</sup>

- The fourth largest cause of disability among women in the U.S.
- The eighth leading cause of death for women between the ages of 15 and 64.



It takes most autoimmune patients up to five years and nearly five doctors before receiving a proper autoimmune disease diagnosis.<sup>3</sup>

#### www.operationshootingstar.com

#### Gender differences in immune response



# Infections in immunocompromised patients

- Common themes across immunocompromised patients
- Invasive fungal infection (IFI)
- DNA viruses: Cytomegalovirus (CMV)
- Respiratory viruses





### Theme #1: Common infections are common, infection-related mortality is high





Open Forum Infectious Diseases

MAJOR ARTICLE



#### Infections in Hematopoietic Cell Transplant Recipients: Results From the Organ Transplant Infection Project, a Multicenter, Prospective, Cohort Study

Mindy G. Schuster,<sup>1</sup> Angela A. Cleveland,<sup>2</sup> Erik R. Dubberke,<sup>3</sup> Carol A. Kauffman,<sup>4</sup> Robin K. Avery,<sup>5</sup> Shahid Husain,<sup>6</sup> David L. Paterson,<sup>7</sup> Fernanda P. Silveira,<sup>8</sup> Tom M. Chiller,<sup>2</sup> Kaitlin Benedict,<sup>2</sup> Kathleen Murphy,<sup>1</sup> and Peter G. Pappas<sup>9</sup>

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- Prospective, multicenter cohort study (2006-2011)
- 4 US transplant centers, 444 allogeneic HCT recipients
- Standardized data prospectively collected <u>until 30 mos post-HCT</u>
- No standardized antifungal or antiviral prophylaxis across centers
- GvHD requiring treatment occurred in 336 (76%) patients
- Infection occurred in 410 (92%) patients, 415 (93%) transplants
- 471 total infections: BSI (56%, 231), viral (46%, 187), fungal (11%, 53)
- 231 total deaths: 49 (21%) infection-related





# **Bloodstream infection (BSI)**

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Table 3. Bacterial Bloodstream Infections in 444 Hematopoietic CellTransplant Recipients

| Characteristic                                       | Ν   | (Percent or Range) |
|--|-----|--------------------|
| Gram positive  | 244 | (56)               |
| Coagulase-negative staphylococci                     | 125 | (51)               |
| Vancomycin-resistant Enterococcus                    | 41  | (17)               |
| Enterococcus faecium                                 | 31  | (13)               |
| Methicillin-susceptible <i>Staphylococcus</i> aureus | 17  | (7)                |
| Enterococus faecalis                                 | 8   | (3)                |
| Methicillin-resistant S aureus                       | 7   | (3)                |
| β-hemolytic streptococci                             | 4   | (2)                |
| Other  | 8   | (3)                |
| Gram negative  | 93  | (21)               |
| Pseudomonas aeruginosa                               | 24  | (26)               |
| Escherichia coli                                     | 20  | (22)               |
| Klebsiella pneumonia                                 | 20  | (22)               |
| Stenotrophomonas maltophilia                         | 6   | (7)                |
| Citrobacter freundii                                 | 5   | (5)                |
| Enterobacter cloacae                                 | 5   | (5)                |
| Acinetobacter baumanii complex                       | 3   | (3)                |
| Burkholderia cepacia                                 | 2   | (2)                |
| Other  | 8   | (9)                |
| Polymicrobial <sup>b</sup>                           | 50  | (12)               |



- $\succ$  56% (231/410) patients with infection
- Median time to first BSI: 48d
- Most prevalent isolates
  - Gram-positive (GP): 244 (56%)
    - o CoNS, Enterococci
  - Gram-negative (GN): 93 (21%)
    - o Pseudomonas aeruginosa
  - Polymicrobial: 50 (12%)

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- Mortality within 7d of BSI  $\sim CN (45\%) \times CP (13\%) = 0.0\%$ 
  - ➢ GN (45%) vs. GP (13%), p=0.02



### Invasive fungal (IFI) and viral infections

Table 4. Proven and Probable Invasive Fungal Infections in 444 Hematopoietic Cell Transplant Recipients<sup>a</sup>

| Fungal Organisms <b>N=53</b> | п  | (%)  |
|------------------------------|----|------|
| Candida                      | 18 | (34) |
| Aspergillus                  | 17 | (32) |
| Mucorales                    | 7  | (13) |
| Pneumocystis jiroveci        | 3  | (6)  |
| Exophiala                    | 2  | (4)  |
| Alternaria                   | 1  | (2)  |
| Mixed                        | 3  | (6)  |
| Syndrome                     |    |      |
| Pneumonia                    | 26 | (49) |
| Bloodstream infection        | 18 | (34) |
| Sinusitis                    | 4  | (8)  |
| Disseminated                 | 4  | (8)  |
| Central nervous system       | 1  | (2)  |

<sup>a</sup>Fifty-three infections among 48 patients.

- 53 IFI (18 probable, 35 proven) in 48 (11%) pts
- Median time for IFI = 167d
- Isolates: 18 (yeast), 32 (mold), 3 (PJP)
- Median time from Dx IFI to death = 29d

Table 5.Viral Infections in 444 Hematopoietic Cell Transplant Recipients(n = 187)

| Virus N=187                    | Ν   | (%)  |
|--------------------------------|-----|------|
| Cytomegalovirus infection      | 154 | (82) |
| Viremia only                   | 148 | (96) |
| Organ involvement <sup>a</sup> | 6   | (4)  |
| Human herpes virus-6           | 21  | (11) |
| Parainfluenza virus            | 18  | (10) |
| Varicella zoster virus         | 13  | (7)  |
| Respiratory syncytial virus    | 13  | (7)  |
| Influenza A virus              | 12  | (6)  |
| Epstein-Barr virus             | 10  | (5)  |
| Herpes simplex virus           | 8   | (4)  |
| Adenovirus                     | 6   | (3)  |
| Influenza B virus              | 3   | (2)  |

<sup>a</sup>One hepatitis, 1 pneumonia, 4 enteritis.

• CMV most common virus (35%, 154 patients)

Viral DNAemia (96%)

• Respiratory viruses (11%, 49)

> Of 49 RSV+ patients, 6 (12%) died

VZV (3%, 13 patients)

### **Patient outcomes**

Table 6. Outcomes in 444 Hematopoietic Cell Transplant Recipients

| Characteristic                                | п   | (%)     |
|---|-----|---------|
| Median time to engraftment, days              | 12  | (0–101) |
| Graft-versus-host disease requiring treatment | 336 | (76)    |
| Death   | 231 | (52)    |
| Death from underlying disease                 | 102 | (44)    |
| Death from infection                          | 49  | (21)    |
| Autopsy performed                             | 24  | (5)     |
| Autopsy evidence of fungal infection          | 4   | (1)     |

- Transfer to ICU (32%, 162 pts)
  - > 122 pts (75%) ultimately died
- 86% patients requiring ventilation died
- 69% patients requiring dialysis died

**1** Infection-related morbidity & mortality!





### **Theme #2:** Net immunosuppression

- Net state of immunosuppression affects infection risk -
  - Immunosuppressive therapy (IST)
    - Type, dose, duration
  - Presence or absence of leukopenia
    - Neutropenia, lymphopenia
  - Amount and type of previous therapy
    - Chemotherapy, antimicrobial agents
  - Mucocutaneous-barrier integrity
    - CVL, urinary catheters, drains
  - Underlying host factors
    - Disease
    - Immunodeficiency (acquired or inherited)
    - Metabolic conditions (malnutrition, hyperglycemia)
    - Alterations to the microbiome
  - Presence of immunomodulatory pathogens
    - Example: CMV, EBV



# Theme #3: Opportunistic infections are fairly predictable in transplant patients





### **Solid Organ Transplantation (SOT)**





Am J Transplant 2009; 9(Suppl 4): S7

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### **Allogeneic Hematopoietic Cell Transplantation**



# Infections in immunocompromised patients

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### **Invasive fungal infection (IFI)**







#### Host immune response against Aspergillus





### **Combination antifungal therapy**



Biology of Blood and Marrow Transplantation

journal homepage: www.bbmt.org

CrossMark

Clinical Research: Supportive Care

Epidemiology, Management, and Outcome of Invasive Fungal Disease in Patients Undergoing Hematopoietic Stem Cell Transplantation in China: A Multicenter Prospective **Observational Study** 

Antifungal Treatment and Mortality among 514 Hospitalized Patients with Hematological Malignancy Receiving HSCT at the Time Antifungal Therapy for IFD was Initiated

|  | Antifungal Agents            |                             |                            |                        |                |  |  |  |
|--|------------------------------|-----------------------------|----------------------------|------------------------|----------------|--|--|--|
|  | Triazoles <sup>*</sup> Alone | Amphotericin B <sup>†</sup> | Echinocandins <sup>‡</sup> | Two or More Antifungal | Total  (n=514) |  |  |  |
|  | (11 = 252)                   | Atome $(II = \delta)$       | Alone $(II = 54)$          | Agents (II = 220)      |                |  |  |  |
| IFD diagnosis level at initiation of therapy |                              |                             |                            |                        |                |  |  |  |
| Proven                                       | 3 (1.2)                      | 0                           | 0                          | 5 (2.3)                | 8 (1.6)        |  |  |  |
| Probable                                     | 17 (6.7)                     | 3 (37.5)                    | 2 (5.9)                    | 49 (22.3)              | 71 (13.8)      |  |  |  |
| Possible                                     | 84 (33.3)                    | 4 (50.0)                    | 10 (29.4)                  | 74 (33.6)              | 172 (33.5)     |  |  |  |
| Suspected                                    | 148 (58.7)                   | 1 (12.5)                    | 22 (64.7)                  | 92 (41.8)              | 263 (51.2)     |  |  |  |
| Death  | 33 (13.1)                    | 0                           | 5 (14.7)                   | 60 (27.3)              | 98 (19.1)      |  |  |  |

Data are n (%).

\* Fluconazole, itraconazole, voriconazole.

<sup>†</sup> Amphotericin B, liposomal amphotericin B.

<sup>‡</sup> Caspofungin, micafungin

Combination antifungal therapy does not significantly improve patient outcomes!

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#### **Biol Blood Marrow Transplant** THE OHIO STATE UNIVERSITY

2015; 21: 1117

# Infections in immunocompromised patients

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# **CMV during allogeneic HCT**

- Reactivation (DNAemia) → Infection
- Primary (or secondary) infection
  - Pneumonitis, cystitis, enteritis, hepatitis
  - Chorioretinitis, meningoencephalitis
- Immunomodulation
  - Graft failure or rejection
  - Bacterial and fungal superinfection
  - Co-viral infection (ADV, EBV, HHV-6)
  - EBV+ PTLD
  - GvHD
- Organ dysfunction
  - Direct viral organ involvement
  - Secondary to anti-viral therapy (GCV, CDV, FOS)





#### Immune response to CMV



When your child needs a hospital, everything matters.™





#### Post-transplant outcomes

- (+) Graft failure
- (+) GvHD
- (-) Immune Reconstitution
- (+) Post-transplant lymphoproliferation
- ↑ Transplant-related morbidity
- ↑ Transplant-related mortality
- $\downarrow$  Overall Survival

Blood 2015;126: 2274 Cancer Letters 2014; 342 : 1 Curr Opin Infect Dis 2017; 30: 377

#### Efficacy of pre-emptive therapy in the transplant setting

↑ Asymptomatic infection
 ↓ CMV disease
 ↓ CMV-associated death

Figure 1. Rate, morbidity and mortality of HCMV infection in solid organ transplant recipients (SOTR) and hematopoietic stem cell transplant recipients (HSCTR) before and after introduction of pre-emptive therapy. \*Historical data [4,6,130,131,132,133,134].

<sup>†</sup>Data from patients (272 SOTR and 325 adult and pediatric HSCTR, excluding donor seronegative/recipient seronegative patients) transplanted at our institution in the period 2003–2014 undergoing DNAemia-guided pre-emptive therapy [7,50,65,135,136].





Immunotherapy 2016; 8: 1135

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### Systemic antiviral therapies: A limited armamentarium

| Property  | Acyclovir<br>(ACV)                       | Ganciclovir<br>(GCV)                          | Foscarnet<br>(FOS)  | Cidofovir<br>(CDV)   | Brincidofovir<br>(CMX-001)         | Other Agents  |  |
|---|--|---|---|--|------------------------------------|---|--|
| Activity:<br>- ADV<br>- BK<br>- CMV<br>- EBV<br>- HHV6<br>- HSV / VZV | -<br>-<br>-<br>-<br>1 <sup>st</sup> line | -<br>-<br>1 <sup>st</sup> line<br>+<br>+<br>+ | -<br>2 <sup>nd</sup> line<br>+<br>+<br>2 <sup>nd</sup> line | 1 <sup>st</sup> line<br>1 <sup>st</sup> line<br>+<br>+/-<br>+<br>- | +<br>+<br>+<br>+<br>+              | -<br>LRV, MBV<br>Ritux, MBV<br>-<br>-   |  |
| Administration  | IV/PO                                    | IV/PO   | IV  | IV   | IV/PO                              | IV/PO   |  |
| Mechanism   | DNA p                                    | olymerase inhib                               | ition (nucleosid  | le analogues e   | analogues except FOS) Va           |   |  |
| CNS penetration   | Yes                                      | Yes   | Yes   | Νο   | No                                 | Variable  |  |
| Adverse effects   | Renal                                    | BM>>Renal                                     | BM>>Renal Renal   |  | Hepatic, GI                        | Variable  |  |
|   |  | Effi<br>Tox<br>Res                            | cacy ~ 40%<br>icity ~ 60%<br>sistance!                      | J Pharmace   | LI<br>Ri<br>Ri<br>eut Biopharm Ana | RV = Letermovir<br>MBV = Maribavir<br>tux = Rituximab<br>Iys <i>i</i> s 2018; 147: 40 |  |

*maceut Biopharm Analysis* 2018; 147: 400 J Ped Infect Dis Soc 2013; 2: 286

# Infections in immunocompromised patients

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- Invasive fungal infection (IFI)
- DNA viruses: Cytomegalovirus (CMV)
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### Respiratory: AdV, CorV, Flu, MPV, PIV, RhV, RSV



### Anti-respiratory viral Rx: Limited drugs, poor efficacy



# **Therapy-associated infections**

- Biologic therapies
- Hematopoietic cell graft manipulation
- Cell therapies: Chimeric antigen receptor (CAR) T cells





## **Biologic Rx: Mechanisms of action**



### **Consideration #1: Context is everything**

Despite its defined mechanism of action, a biologic therapy may have different effects depending upon the clinical context that it is being used





### **Consideration #2:** Exchanging problems

## **Check-point blockade: Immune-related AEs**

| Table 1. Immune Checkpoint–Blocking Antibodies Approved by the Food           and Drug Administration.* |        |   |  |  |  |  |  |
|---|--------|---|--|--|--|--|--|
| Drug  | Target | Indication  |  |  |  |  |  |
| Ipilimumab  | CTLA-4 | Melanoma  |  |  |  |  |  |
| Nivolumab   | PD-1   | Melanoma, non-small-cell lung cancer,<br>renal-cell carcinoma, hepatocellular<br>carcinoma, classic Hodgkin's lympho-<br>ma, squamous-cell carcinoma of the<br>head and neck, urothelial carcinoma,<br>colorectal cancer with high micro-<br>satellite instability or mismatch-repair<br>deficiency |  |  |  |  |  |
| Pembrolizumab   | PD-1   | Melanoma, non-small-cell lung cancer,<br>classic Hodgkin's lymphoma, squa-<br>mous-cell carcinoma of the head and<br>neck, urothelial carcinoma, gastric<br>cancer, solid tumors with high micro-<br>satellite instability or mismatch-repair<br>deficiency   |  |  |  |  |  |
| Atezolizumab  | PD-L1  | Non–small-cell lung cancer, urothelial<br>carcinoma   |  |  |  |  |  |
| Avelumab  | PD-L1  | Merkel-cell carcinoma, urothelial carcinoma   |  |  |  |  |  |
| Durvalumab  | PD-L1  | Urothelial carcinoma  |  |  |  |  |  |



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\* CTLA-4 denotes cytotoxic T-lymphocyte antigen 4, PD-1 programmed cell death 1, and PD-L1 programmed cell death ligand 1.



*NEJM* 2018; 378: 158

### Serious infection rates<sup>\*</sup> for RA patients Rx biologics

|  | Drug               | Serious infectio<br>with events per | n incidence rate<br>100 patient-ye | e: patients<br>ars (95% Cl) |      | Number<br>of trials | Number of<br>patients | Cumulative exposure<br>(patient-years) |   |
|--|--------------------|-------------------------------------|------------------------------------|-----------------------------|------|---------------------|-----------------------|--|---|
|  | Tocilizumab        |                                     | 5,45                               | -                           |      | 13                  | 5,547                 | 4,522                                  |   |
|  | Adalimumab         |                                     | 5,04                               | -                           |      | 18                  | 6,570                 | 7,095                                  |   |
|  | Certolizumab pegol |                                     | -                                  | 7,59                        |      | 5                   | 3,212                 | 1,339                                  |   |
|  | Etanercept         | -                                   | 4,06                               |                             |      | 17                  | 7,141                 | 13,037                                 |   |
| Inf  | Infliximab         |                                     |                                    | L                           |      | 11                  | 4,592                 | 3,555                                  |   |
|  | Golimumab          |                                     | 5,31                               | -                           |      | 6                   | 2,820                 | 1,648                                  |   |
|  | All TNF inhibitors |                                     | 4,90                               |                             |      | 57                  | 26,492                | 29,429                                 |   |
| <ul> <li>IL-6 inhibitor</li> <li>TNF inhibitors</li> <li>T cell co-stimulation inhibitor</li> <li>B cell-depleting agent</li> <li>JAK inhibitor</li> </ul> | Abatacept          | 3,04                                |                                    |                             |      | 11                  | 5,953                 | 6,070                                  |   |
|  | Rituximab          |                                     | 12                                 |                             |      | 8                   | 2,926                 | 2,687                                  | *number of unique                             |
|  | Tofacitinib        | 2,93                                |                                    |                             |      | 14                  | 5,671                 | 12,664                                 | patients with events<br>per 100 patient-years |
|  |                    | 1 2 3                               | 4 5 6                              | 7 8                         | 9 10 |                     |                       |  | exposure                                      |
|  | A 🚣                |                                     |                                    |                             |      |                     |                       | Nat Rev                                | Rheumatol 2017; 13: 399                       |



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| Table 1<br>Biologics an | d associated infe | ectious events  |   |
|-------------------------|-------------------|---|---|
| Target                  | Agent             | Pediatric Indications   | Associated Infections in<br>Pediatrics  |
| TNF-α                   | -                 | _   | URI, pneumonia, abscesses,<br>varicella zoster,<br>histoplasmosis   |
|                         | Infliximab        | CD, UC  | Listeria meningitis,<br>cutaneous blastomycosis,  |
|                         | Adalimumab        | JIA, CD, UC   | Mycobacterium avium   |
|                         | Etanercept        | Polyarticular JIA, psoriasis  | Purpura fulminans   |
| IL-1                    | Anakinra          | NOMID and CAPS, systemic<br>JIA   | Visceral leishmaniasis,<br>varicella, labial herpes, URI  |
|                         | Canakinumab       | JIA, FMF,<br>hyperimmunoglobulin D,<br>TRAPS  | URI, nasopharyngitis  |
| IL-2                    | Basiliximab       | Organ transplant rejection<br>prophylaxis   |   |
| IL-6                    | Tocilizumab       | Polyarticular and systemic<br>JIA   | URI, pneumonia, bronchitis,<br>cellulitis, varicella  |
| IL-12/23                | Ustekinumab       | UC  | _   |
| CD28<br>blockade        | Abatacept         | AIL   | -   |
| a-4<br>Integrin         | Vedolizumab       | Off-label: refractory<br>inflammatory bowel<br>disease  | URI, cellulitis   |
| JAK                     | Tofacitinib       | Off-label: GVHD, JAK/STAT<br>pathway mutations,<br>alopecia areata  | Viral infection (BK, CMV,<br>adenovirus), bacterial<br>infection  |
| CD20                    | Rituximab         | Off-label: PTLD, EBV-related<br>HLH, glomerular diseases,<br>CNS-inflammatory diseases,<br>Burkitt lymphoma | Viral infection (varicella,<br>CMV, adenovirus),<br>pneumonia, empyema,<br>mastoiditis, <i>Salmonella</i><br><i>enteritis</i> , candidiasis |

# Biologic Rx Infectious sequelae

Not enough published experience!

Therapies are being applied clinically faster than published experience on their associated infection risk!

Infect Dis Clin N Am 2018: 32; 225

# **Therapy-associated infections**

- Biologic therapies
- Hematopoietic cell graft manipulation
- Cell therapies: Chimeric antigen receptor (CAR) T cells









### Implications of graft manipulation



#### **Post-transplant Outcomes**

#### Engraftment

#### **GvHD**

- + Reduce immunosuppression
- + Reduce side effects

#### GvL

+ Reduce disease relapse

#### Immune reconstitution

- + DC recovery
- + NK recovery
- +  $\delta \gamma T$  recovery

#### Infection

+ Antiviral host defense

Post-transplant lymphoproliferative disease (PTLD)

# $\alpha\beta$ T and B-cell (CD19) depletion



#### Haploidentical PBSC $\alpha\beta$ T-cell and CD19 depletion

#### Key Points

- Children with AL given haplo-HSCT after αβ T- and B-cell depletion are exposed to a low risk of acute and chronic GVHD and NRM.
- The leukemia-free, GVHDfree survival of patients given this type of allograft is comparable to that of HLAmatched donor HSCT recipients.

#### N=80 (2 PGF) 30% CI Gr 1 skin aGvHD 5y GRFS=71% Key Points

- Removal of αβ<sup>+</sup> T and CD19<sup>+</sup> B cells is an effective strategy for successful HLAhaploidentical hematopoietic stem cell transplantation.
- The high probability of disease-free survival renders this transplant option attractive for any child with a nonmalignant disorder.

N=23 (4 PGF, rescued) 3 Gr 1 skin aGvHD No liver/GI aGvHD, no cGvHD

#### TRANSPLANTATION

### Outcome of children with acute leukemia given HLA-haploidentical HSCT after $\alpha\beta$ T-cell and B-cell depletion

Franco Locatelli,<sup>1,2</sup> Pietro Merli,<sup>1</sup> Daria Pagliara,<sup>1</sup> Giuseppina Li Pira,<sup>1</sup> Michela Falco,<sup>3</sup> Daniela Pende,<sup>4</sup> Roberto Rondelli,<sup>5</sup> Barbarella Lucarelli,<sup>1</sup> Letizia Pomponia Brescia,<sup>1</sup> Riccardo Masetti,<sup>5</sup> Giuseppe Maria Milano,<sup>1</sup> Valentina Bertaina,<sup>1</sup> Mattia Algeri,<sup>1</sup> Rita Maria Pinto,<sup>1</sup> Luisa Strocchio,<sup>1</sup> Raffaella Meazza,<sup>4</sup> Lavinia Grapulin,<sup>6</sup> Rupert Handgretinger,<sup>7</sup> Alessandro Moretta,<sup>8</sup> Alice Bertaina,<sup>1,\*</sup> and Lorenzo Moretta<sup>9,\*</sup>

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#### TRANSPLANTATION

#### CI = cumulative incidence, PGF = primary graft failure GRFS = cGvHD-free, relapse-free survival

*Blood* 2017; 130: 677

#### HLA-haploidentical stem cell transplantation after removal of $\alpha\beta^+$ T and B cells in children with nonmalignant disorders

Alice Bertaina,<sup>1</sup> Pietro Merli,<sup>1</sup> Sergio Rutella,<sup>1,2</sup> Daria Pagliara,<sup>1</sup> Maria Ester Bernardo,<sup>1</sup> Riccardo Masetti,<sup>3</sup> Daniela Pende,<sup>4</sup> Michela Falco,<sup>5</sup> Rupert Handgretinger,<sup>6</sup> Francesca Moretta,<sup>1</sup> Barbarella Lucarelli,<sup>1</sup> Letizia P. Brescia,<sup>1</sup> Giuseppina Li Pira,<sup>1</sup> Manuela Testi,<sup>7</sup> Caterina Cancrini,<sup>8</sup> Nabil Kabbara,<sup>9</sup> Rita Carsetti,<sup>1</sup> Andrea Finocchi,<sup>8</sup> Alessandro Moretta,<sup>10</sup> Lorenzo Moretta,<sup>5</sup> and Franco Locatelli<sup>1,11</sup>

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Blood 2014; 124: 822



Risk Factors for and the Clinical Impact of Cytomegalovirus and Epstein-Barr Virus Infections in Pediatric Recipients of TCR- $\alpha/\beta$ - and CD19-Depleted Grafts



Alexandra Laberko<sup>1</sup>, Anna Bogoyavlenskaya<sup>2</sup>, Larisa Shelikhova<sup>2</sup>, Zhanna Shekhovtsova<sup>2</sup>, Dmitriy Balashov<sup>2</sup>, Kirill Voronin<sup>3</sup>, Elena Kurnikova<sup>4</sup>, Elena Boyakova<sup>5</sup>, Elena Raykina<sup>6</sup>, Varvara Brilliantova<sup>6</sup>, Valentina Pirumova<sup>7</sup>, Galina Novichkova<sup>8</sup>, Alexei Maschan<sup>2</sup>, Michael Maschan<sup>2,\*</sup>

- **182 patients** with malignant (n=114) or nonmalignant (n=68) diseases transplanted using either matched unrelated (n=124) or haploidentical (n=58) donors
- Cumulative incidence of CMV and EBV viremia: 51% and 33%, respectively
- **CMV risk:** Acute GvHD grades II-IV, D-/R+ serology, and malignant disease ➢ CMV disease: 6%
- ↑ EBV risk: Acute GvHD grades II-IV
  - $\succ$  EBV disease: 0.5%
- TCRα/β and CD19 depletion associates with significant CMV and EBV viremia, which don't affect

survival





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2017; 23: 483



#### Biology of Blood and Marrow Transplantation

journal homepage: www.bbmt.org





Katia Perruccio<sup>1,\*</sup>, Luisa Sisinni<sup>2</sup>, Antonio Perez-Martinez<sup>3</sup>, Jaime Valentin<sup>3</sup>, Ilaria Capolsini<sup>1</sup>, Maria Speranza Massei<sup>1</sup>, Maurizio Caniglia<sup>1</sup>, Simone Cesaro<sup>4</sup>

- 38 pediatric patients received myeloablative conditioning regimens and 2 different types of ex vivo graft manipulation:
  - CD34+ selection and regulatory T cell/conventional T cell infusion (n=13) and CD45RA T cell depletion (n=25)
- Antiviral prophylaxis: ACV (n=33) and foscarnet (n=5)
- All patients experienced early post-transplant HHV6-emia
  - 9 patients (24%) developed symptomatic limbic encephalitis
  - All patients responded to antiviral treatment, and none died of infection, although 2 experienced long term neurologic sequelae (22%)
     Riel Blood Marrow Tree

Check for updates







Biol Blood Marrow Transpl 2018; 24: 2549

# **Therapy-associated infections**

- Biologic therapies
- Stem cell graft manipulation
- Cell therapies: Chimeric antigen receptor (CAR) T cells





#### Infectious complications of CD19-targeted chimeric antigen receptor-modified T-cell immunotherapy

Joshua A. Hill,<sup>1,2</sup> Daniel Li,<sup>3</sup> Kevin A. Hay,<sup>4,5</sup> Margaret L. Green,<sup>1,2</sup> Sindhu Cherian,<sup>6</sup> Xueyan Chen,<sup>6</sup> Stanley R. Riddell,<sup>1,4</sup> David G. Maloney,<sup>1,4</sup> Michael Boeckh,<sup>1,2</sup> and Cameron J. Turtle<sup>1,4</sup>

<sup>1</sup>Department of Medicine, University of Washington, Seattle, WA; <sup>2</sup>Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Research Center, Seattle, WA; <sup>3</sup>Juno Therapeutics, Seattle, WA; <sup>4</sup>Clinical Research Division, Fred Hutchinson Cancer Research Center, Seattle, WA; <sup>5</sup>Department of Medicine, University of British Columbia, Vancouver, BC, Canada; and <sup>4</sup>Department of Laboratory Medicine, University of Washington, Seattle, WA

#### KEY POINTS

- The incidence of infections after CD19 CAR-T-cell immunotherapy was similar to the incidence after other salvage chemoimmunotherapies.
- Infections were more frequent in patients who had ALL, more prior antitumor treatment, a higher CAR-T-cell dose, or greater CRS severity.

Prophylaxis
 Monitoring
 Therapy

#### CD19 CAR T cell therapy for relapsed refractory B-cell malignancies

- Infections within first 90 days post-CAR T cell therapy
  - 133 patients (median 54y, 20-73y): ALL (47), CLL (24), NCH (62)
- 50 (38%) prior autologous or allogeneic HCT
- 43 infections (30 pts, 23%) within 28d post CART (median 6d to infx)
- Infx density (infections/100d at risk): 1.19 (D0-28) vs. 0.67 (D29-90)
  - <sup>↑</sup> D28 ID risk: ALL, ≥4 regimens, higher CART dose (2x10<sup>7</sup> cells/kg)
- IFI (6 pts, 5%) and life-threatening (5 pts, 4%)
- MVA 1 Infection risk: Severity of cytokine release syndrome (CRS)
- Incidence of infection is comparable to salvage chemotherapy
   Blood 2018; 131: 121

### **Anti-microbial cellular therapies**

• Virus-specific T cells (VSTs)





#### Virus-specific T lymphocytes (VSTs)



Sci Transl Med 2014; 6: 242ra83

# Multi-virus specific T-cells (VSTs)

Off-the-Shelf Virus-Specific T Cells to Treat BK Virus, Human Herpesvirus 6, Cytomegalovirus, Epstein-Barr Virus, and Adenovirus Infections After Allogeneic Hematopoietic Stem-Cell Transplantation

Ifigeneia Tzannou, Anastasia Papadopoulou, Swati Naik, Kathryn Leung, Caridad A. Martinez, Carlos A. Ramos, George Carrum, Ghadir Sasa, Premal Lulla, Ayumi Watanabe, Manik Kuvalekar, Adrian P. Gee, Meng-Fen Wu, Hao Liu, Bambi J. Grilley, Robert A. Krance, Stephen Gottschalk, Malcolm K. Brenner, Cliona M. Rooney, Helen E. Heslop, Ann M. Leen, and Bilal Omer

- **38 patients with 45 infections** [Rx single infusion of VSTs in a phase II clinical trial]
- Cumulative complete/partial response (CR/PR) rate = 92% (95% CI, 78.1-98.3%)
  - By virus: 100% BKV (n=16), 94% CMV (n=17), 71% ADV (n=7), 100% EBV (n=2), and 67% HHV-6 (n=3)
- **Clinical benefit:** 31 patients single viral infection, 7 patients multiple viral infections
- Safety: 2 occurrences de novo Gr 1 GvHD





#### CMV-specific VSTs: Recouping cost?

#### D28 VSTs prevented CMV DNAemia, were safe (no GvHD), and $\downarrow$ need for antiviral therapy

#### TRANSPLANTATION

#### Donor-derived CMV-specific T cells reduce the requirement for CMV-directed pharmacotherapy after allogeneic stem cell transplantation

Emily Blyth,<sup>1-5</sup> Leighton Clancy,<sup>1-3</sup> Renee Simms,<sup>1</sup> Chun K. K. Ma,<sup>1</sup> Jane Burgess,<sup>1</sup> Shivashni Deo,<sup>1</sup> Karen Byth,<sup>5,6</sup> Ming-Celine Dubosq,<sup>2</sup> Peter J. Shaw,<sup>5,7</sup> Kenneth P. Micklethwaite,<sup>1-5</sup> and David J. Gottlieb<sup>1-6</sup>

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#### Key Points

- Infusion of CMV-specific T cells early posttransplant does not increase acute or chronic graft-versus-host disease.
- CMV-specific T cells early posttransplant reduce the need for pharmacotherapy without increased rates of CMV-related organ damage.

We investigated the use of adoptively transferred donor-derived cytomegalovirus (CMV) specific cytotoxic T lymphocytes (CTL) as immune reconstitution postallogeneic transplant in a phase 2 study. Fifty patients were infused with a single dose of  $2 \times 10^7$  cells/m<sup>2</sup> after day 28 post-transplant. Twenty-six patients reactivated CMV posttransplant (only 5 post-CTL infusion) and 9 required therapy with ganciclovir or foscarnet (only 1 post-CTL infusion). There was 1 case of fatal CMV disease, attributable to high levels of antithymocyte globulin at the time of T cell infusion. We compared the patients in the phase 2 study with a group of contemporaneous controls also treated at the trial centers. There was no increase in acute or chronic graft-versus-host disease attributable to CTL infusion; overall and progression-free survival were similar in both groups. There was a reduction in the percentage of patients who required CMV directed antiviral therapy (17% vs 36%, *P* = .01) and in the total number of treatment days in the cohort receiving CTL (3.4 days vs 8.9 days, *P* = .03) without a reduction in CMV reactivation rates. We postulate that adoptively transferred cells are able to expand in

response to viral antigen, limit viral replication, and prevent progression to tissue infection. This study was registered on the Australian Clinical Trial Registry as #ACTRN12605000213640 and #ACTRN12607000224426. (*Blood.* 2013;121(18):3745-3758)

Blood 2013; 121: 3745



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# Summary

- The incidence of immunocompromised patients continues to increase, namely due to the rise in autoimmune diseases and their associated biologic therapies.
- Opportunistic infections are the hallmark infection in the immunocompromised patient. However, they are uncommon relative to other more common infections.
- Novel therapies, including cell therapy, can potentially transform the immunocompromised landscape by potentially treating underlying immunodeficiencies and by decreasing transplant-related morbidity and mortality.





# Questions





