

# Understanding and Preventing Burnout: Improving Health Care Outcomes

March 16, 2021


**Presenter:**  
Colin P. West, MD, PhD  
Professor of Medicine, Medical Education, and Biostatistics  
Division of General Internal Medicine  
Division of Biomedical Statistics and Informatics  
Mayo Clinic

Email / Twitter: [west.colin@mayo.edu](mailto:west.colin@mayo.edu) / @ColinWestMDPhD

1

## Learning Objectives

- Explain how depression, anxiety and burnout syndrome in health care professionals (HCPs) influence the care provided to patients.
- Discuss the impact of HCP burnout on health, patient care, outcomes and the health care system, as well as the impact on personal lives including relationships and parenting.
- Explore the contributing factors and the science behind HCP burnout.
- Describe the risk factors associated with provider burnout including work factors, personal characteristics and organizational factors as well as the profound impact of sleep and exercise on restoration of cognitive capability.
- Explore interventions, such as mindfulness and cognitive-behavioral therapy (CBT) mobile apps, designed to support the mental health needs of HCPs and improve team performance by decreasing overall stress.



2

## What is Burnout?

Burnout is a syndrome of depersonalization, emotional exhaustion, and low personal accomplishment leading to decreased effectiveness at work.



3

## Emotional Exhaustion

---

*“I feel like I’m at the end  
of my rope.”*



4

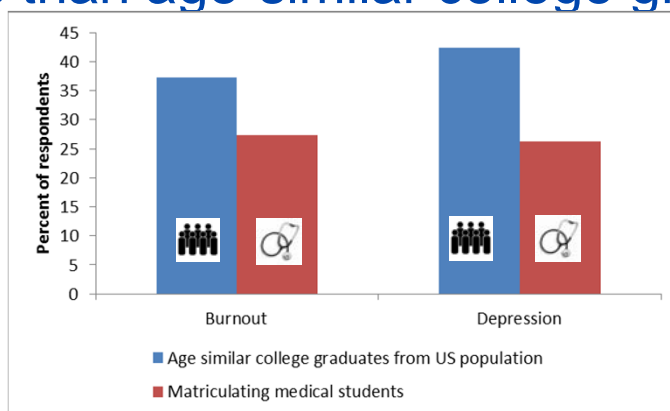
## Depersonalization

*“I’ve become more callous toward people since I took this job.”*



5

## Matriculating medical students have lower distress than age-similar college graduates



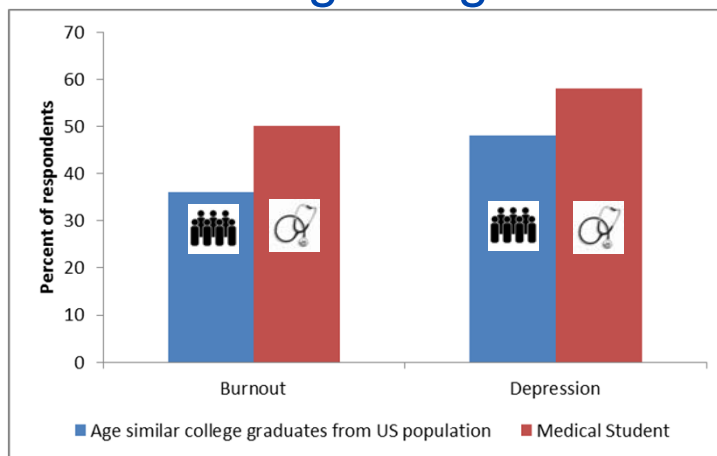
2012, 7 U.S. medical schools & population sample (slide from Dyrbye)



Brazeau et al. Acad Med. 2014;89:1520-5

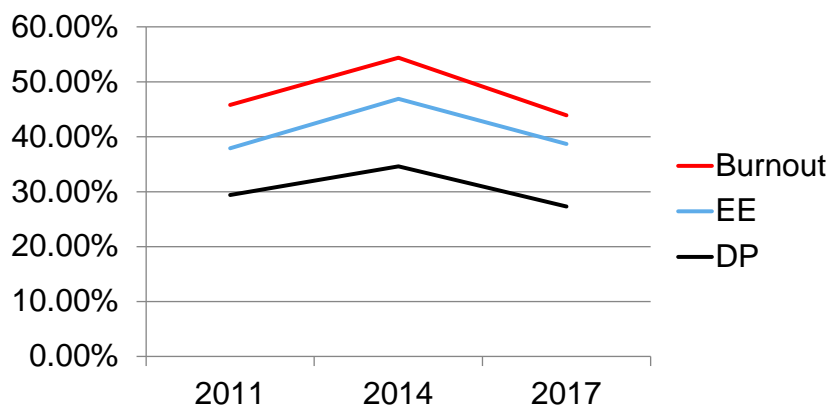
6

## What happens to distress relative to population after beginning medical school?



7

## Burnout among Practicing Physicians



National Data (Shanafelt et al., Arch Intern Med 2012; Mayo Clin Proc 2015, 2019)



8

## Burnout among Practicing Physicians

	2011	2014	2017
Dissatisfied with WLB:	36.9%	44.5%	40.7%
+ depression screen:	38.2%	39.8%	41.7%

National Data (Shanafelt et al., Arch Intern Med 2012; Mayo Clin Proc 2015, 2019)



9

## But Don't Burnout and Distress Affect Everyone?



10

## 2017 AMA Survey Employed Physicians vs. Employed U.S. Population

	Physicians n=3971	Population n=5198	p
Male	57%	52%	<0.001
Age (median)	50	52	<0.001
Hrs/Wk (median)	50	40	<0.001
Burnout*	40%	28%	<0.001
Dissatisfied WLI	43%	21%	<0.001

\* As assessed using the single-item measures for emotional exhaustion and depersonalization adapted from the full MBI. Area under the ROC curve for the EE and DP single items relative to that of their respective full MBI domain score in previous studies were 0.94 and 0.93



Shanafelt *et al.*, Mayo Clin Proc 2019

11

## Consequences of Physician Burnout

- Medical errors<sup>1-3</sup>
- Impaired professionalism<sup>4-6</sup>
- Reduced patient satisfaction<sup>7</sup>
- Racial bias<sup>8</sup>
- Staff turnover, reduced hours<sup>9,14</sup>
- Blunted growth in medical knowledge<sup>10</sup>
- Depression and suicidal ideation<sup>11,12</sup>
- Motor vehicle crashes and near-misses<sup>13</sup>
- Total costs: >\$4.6B dollars/year<sup>15,16</sup>

<sup>1</sup>JAMA 296:1071, <sup>2</sup>JAMA 304:1173, <sup>3</sup>JAMA 302:1294, <sup>4</sup>Annals IM 136:358, <sup>5</sup>Annals Surg 251:995, <sup>6</sup>JAMA 306:952, <sup>7</sup>Health Psych 12:93, <sup>8</sup>JAMA Netw Open 2019, <sup>9</sup>JACS 212:421, <sup>10</sup>JAMA 306:952, <sup>11</sup>Annals IM 149:334, <sup>12</sup>Arch Surg 146:54, <sup>13</sup>Mayo Clin Proc 2012, <sup>14</sup>Mayo Clin Proc 2016, <sup>15</sup>JAMA IM 2017, <sup>16</sup>Annals IM 2019



12

## A Public Health Crisis!

### Burnout in U.S. alone:

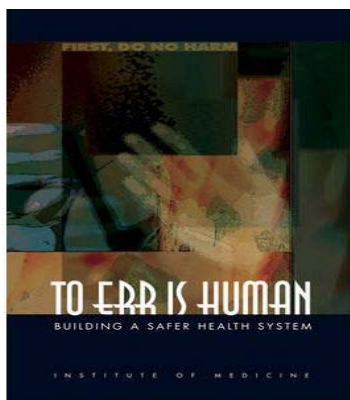
>40,000	Medical Students
>60,000	Residents and Fellows
>490,000	Physicians

Plus other health care and biomedical science professionals

## Individual or system problem?



13



14

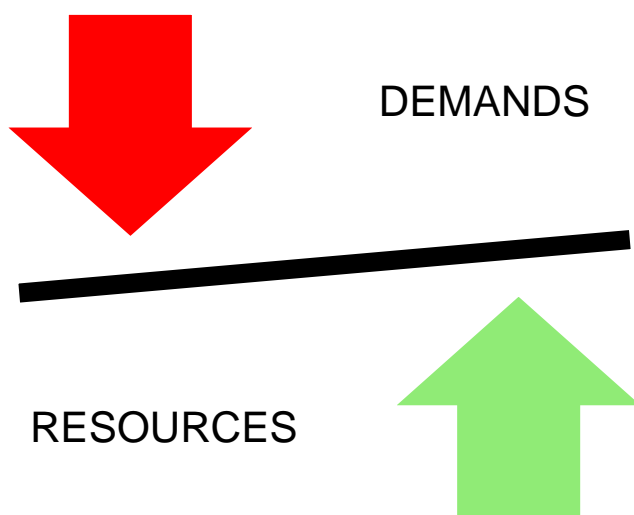
“Every system is perfectly designed to get  
the results it gets”



- Dr. Paul Batalden



15



A chronic  
imbalance of high  
job demands and  
inadequate job  
resources can  
lead to burnout

[nam.edu/ClinicianWellBeingStudy](http://nam.edu/ClinicianWellBeingStudy)

Mayo Clinic | Proprietary and confidential. Do not distribute.

16

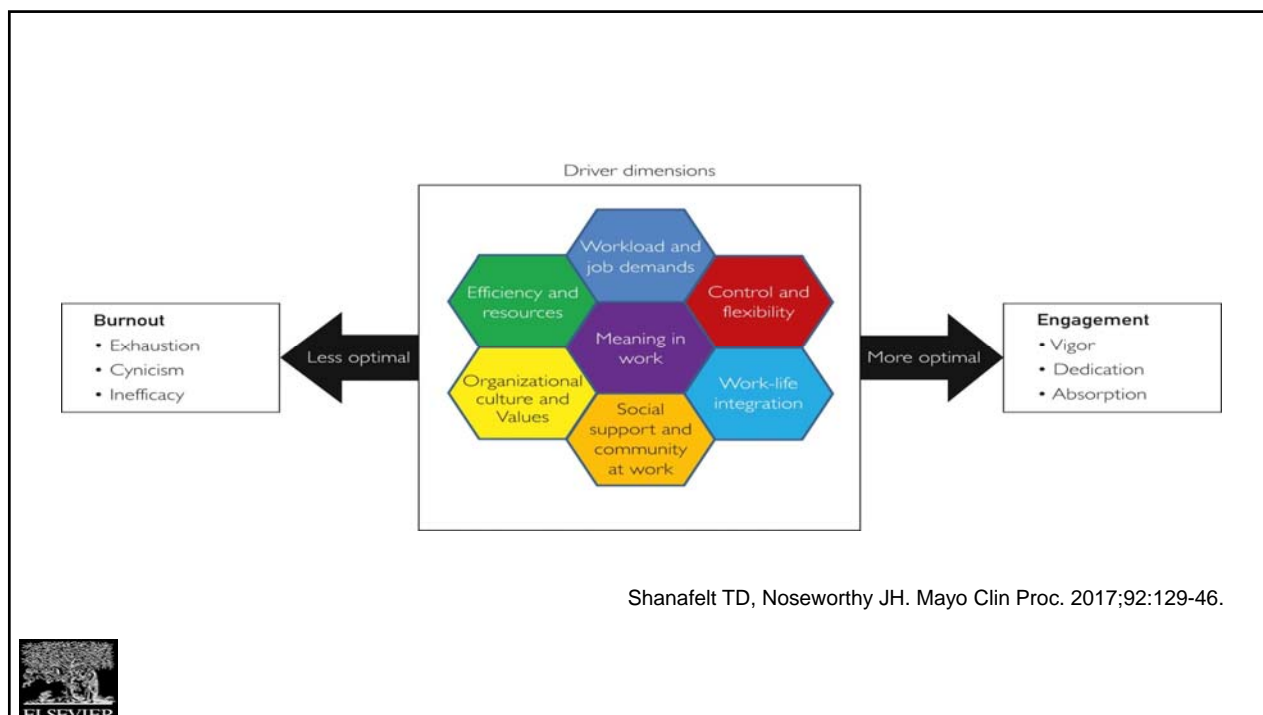


## Performance

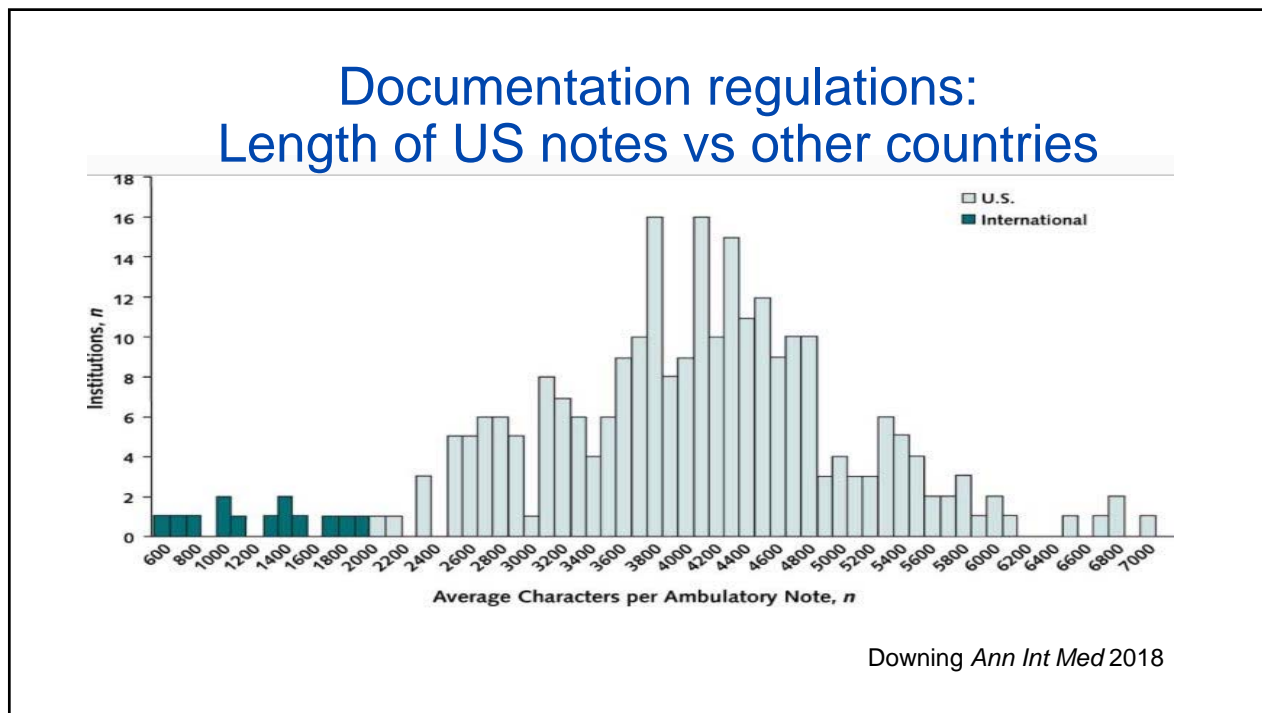
- To optimize performance, we need to shift our place on this curve.

**MAYO CLINIC**

17





18




19

## Individual Strategies



- Identify Values
  - Debunk myth of delayed gratification
  - What matters to you most (integrate values)
  - Integrate personal and professional life
- Optimize meaning in work
  - Flow
  - Choose/focus practice
- Nurture personal wellness activities
  - Calibrate distress level
  - Self-care (exercise, sleep, regular medical care)
  - Relationships (connect w/ colleagues; personal)
  - Religious/spiritual practice
  - Mindfulness
  - Personal interests (hobbies)



20

## Individual Strategies

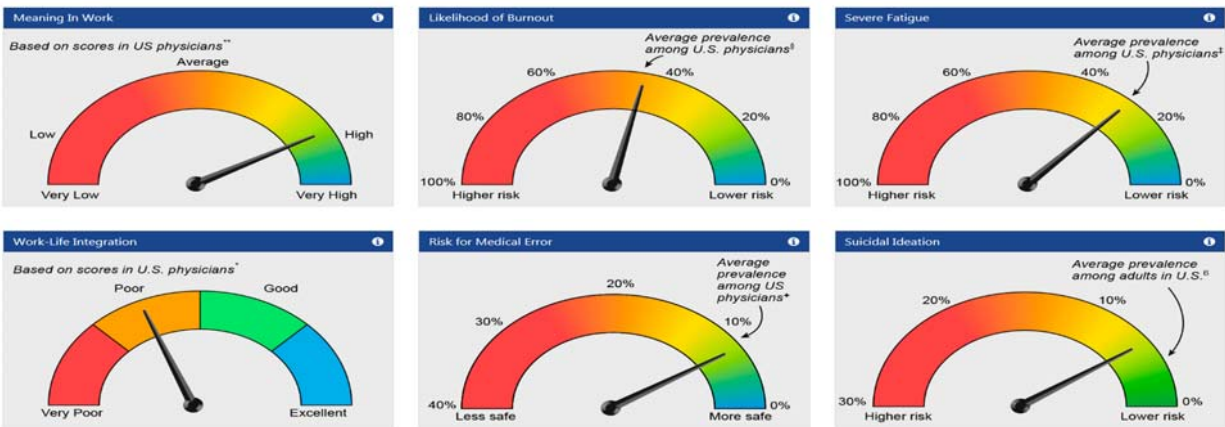
Recognition of distress:

- Medical Student Well-Being Index (Dyrbye 2010, 2011)
- Physician Well-Being Index (Dyrbye 2013, 2014)
  - <https://www.mededwebs.com/well-being-index>
  - Simple online 7- or 9-item instruments evaluating multiple dimensions of distress, with strong validity evidence and national benchmarks from large samples of medical students, residents, and practicing physicians
  - Evidence that physicians do not reliably self-assess their own distress
  - Feedback from self-reported Index responses can prompt intention to respond to distress
- Suicide Prevention and Depression Awareness Program (Moutier 2012)
  - Anonymous confidential Web-based screening
- AMA STEPSForward modules
  - Mini Z instrument (AMA, Linzer 2015): 10-item survey



21

## Physician Well-Being Index <https://www.mededwebs.com/well-being-index>



22

## Individual Strategies

Risk of exclusively individual focus:

- Deepen cynicism through perceived message that physicians must “toughen up” to cope with a toxic working environment, rather than addressing the toxic working environment itself.
- Blaming the victims?



23



JAMA Network™

From: Resilience and Burnout Among Physicians and the General US Working Population

West CP et al., JAMA Netw Open. 2020;3(7):e209385. doi:10.1001/jamanetworkopen.2020.9385

Table 2. Resilience of Employed Physicians and the General US Population Aged 29 to 65 Years<sup>a</sup>

Query	No. (%)		P value
	Physicians (n = 3971)	Population (n = 5198)	
I am able to adapt when changes occur			
Not true at all	17 (0.4)	34 (0.7)	
Rarely true	37 (0.9)	96 (1.9)	
Sometimes true	619 (15.8)	1058 (20.4)	<.001
Often true	1935 (49.4)	2401 (46.3)	
Always true	1310 (33.4)	1599 (30.8)	
Score, mean (SD)	3.14 (0.80)	3.04 (0.74)	
I tend to bounce back after illness, injury, or other hardships			
Not true at all	16 (0.4)	32 (0.6)	
Rarely true	41 (1.0)	81 (1.6)	
Sometimes true	410 (10.5)	736 (14.2)	<.001
Often true	1534 (39.3)	2273 (43.9)	
Always true	1905 (48.8)	2061 (39.8)	
Score, mean (SD)	5.55 (1.71)	5.26 (1.61)	
Total score, mean (SD)	6.49 (1.30)	6.25 (1.37)	<.001

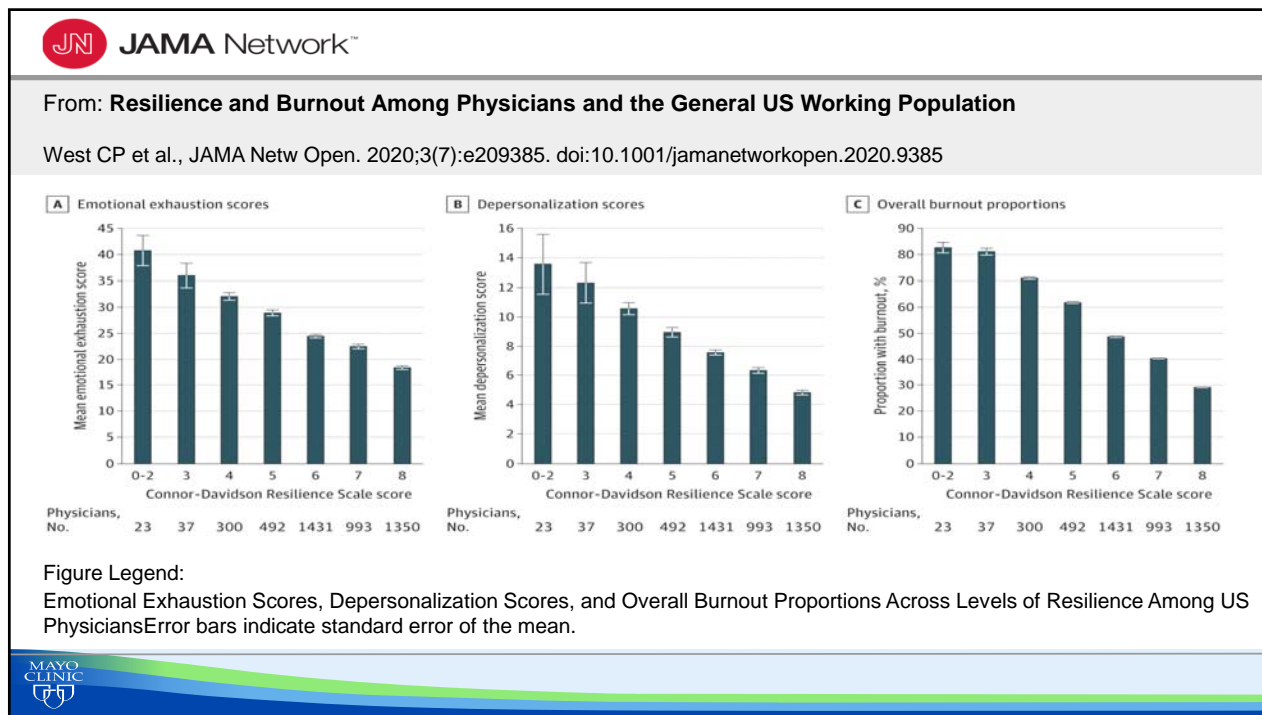
<sup>a</sup> Scores are based in the Connor-Davidson Resilience Scale (score ranges from 0 to 8).

Table Title:

Resilience of Employed Physicians and the General US Population Aged 29 to 65 Years<sup>a</sup>



24



25

## Physician Resilience

- Physician do NOT have a resilience deficit overall!
- Burnout rates are lower at higher levels of resilience
  - But even at the highest possible resilience score, the burnout rate was 30%

MAYO CLINIC

26

## Physician Resilience

- What does this mean?
  - Resilience IS important, and physicians are pretty strong already – we need to maintain and even strengthen resilience where we can.



27

## Physician Resilience

- What does this mean?
  - Resilience IS important, and physicians are pretty strong already – we need to maintain and even strengthen our resilience where we can.
  - Individual focused solutions such as resilience training CANNOT be the mainstays to promote wellbeing, because even the most resilient among us are at substantial risk of burnout.
  - Organizational approaches to improve the working and learning environment are mandatory.



28



## What Can Organizations/Programs Do?



- Be value oriented
  - Promote values of the medical profession
  - Congruence between values and expectations
- Provide adequate resources (efficiency)
  - Organization and work unit level
- Promote autonomy
  - Flexibility, input, sense control
- Promote work-home integration
- Promote meaning in work



29

## The Evidence in Total

### **Interventions to prevent and reduce physician burnout: a systematic review and meta-analysis**

*Colin P West, Liselotte N Dyrbye, Patricia J Erwin, Tait D Shanafelt*

- Systematic review on interventions for physician burnout, commissioned by Arnold P. Gold Foundation Research Institute (West Lancet 2016):
  - 15 RCT's, 37 non-RCT's
    - Results similar for RCT and non-RCT studies



30

## The Evidence in Total

- **Emotional exhaustion (EE):**
  - -2.7 points,  $p < 0.001$
  - Rate of High EE: -14%,  $p < 0.001$
- **Depersonalization (DP):**
  - -0.6 points,  $p = 0.01$
  - Rate of High DP: -4%,  $p = 0.04$
- **Overall Burnout Rate:**
  - -10%,  $p < 0.001$

Benefits similar for individual-focused and structural interventions  
(but we need both)

31

## The Evidence in Total

- **Individual-focused interventions:**
  - Meditation techniques
  - Stress management training, including MBSR
  - Communication skills training
  - Self-care workshops, exercise program
  - Small group curricula, Balint groups
    - Community, connectedness, meaning

32



## The Evidence in Total

- Structural interventions:
  - Duty Hour Requirements for trainees
    - Unclear but possibly negative impact on attendings
  - Shorter attending rotations
  - Shorter resident shifts in ICU
  - Locally-developed practice interventions



33

## Organizational Strategies

-  Acknowledge and assess the problem
-  Harness the power of leadership
-  Develop and implement targeted work unit interventions
-  Cultivate community at work
-  Use rewards and incentives wisely
-  Align values and strengthen culture
-  Promote flexibility and work-life integration
-  Provide resources to promote resilience and self-care
-  Facilitate and fund organizational science


Shanafelt TD, Noseworthy JH. Mayo Clin Proc. 2017;92:129-46.



34

## Physician Well-Being: Approach Summary


	Individual	Organizational
Workload		
Work Efficiency/ Support		
Work-Home Integration/ Balance		
Autonomy/ Flexibility/ Control		
Meaning/Values		














35

## Physician Well-Being: Approach Summary

	Individual	Organizational
Workload	Part-time status	Productivity targets Duty Hour Requirements Integrated career development
Work Efficiency/ Support	Efficiency/Skills Training	EMR (+/-?) Staff support
Work-Home Integration/ Balance	Self-care Mindfulness	Meeting schedules Off-hours clinics Curricula during work hours Financial support/counseling
Autonomy/ Flexibility/ Control	Stress management/Resiliency Mindfulness Engagement	Physician engagement
Meaning/Values	Positive psychology Reflection/self-awareness Mindfulness Small group approaches	Core values Protect time with patients Promote community Work/learning climate



36

Drivers of burnout and engagement in physicians	 Individual factors	 Work unit factors	 Organization factors	 National factors
 Workload and job demands	<ul style="list-style-type: none"> <li>Specialty</li> <li>Practice location</li> <li>Decision to increase work to increase income</li> </ul>	<ul style="list-style-type: none"> <li>Productivity expectations</li> <li>Team structure</li> <li>Efficiency</li> <li>Use of allied health professionals</li> </ul>	<ul style="list-style-type: none"> <li>Productivity targets</li> <li>Method of compensation                             <ul style="list-style-type: none"> <li>Salary</li> <li>Productivity based</li> </ul> </li> <li>Payer mix</li> </ul>	<ul style="list-style-type: none"> <li>Structure reimbursement                             <ul style="list-style-type: none"> <li>Medicare/Medicaid</li> <li>Bundled payments</li> <li>Documentation requirements</li> </ul> </li> </ul>
 Efficiency and resources	<ul style="list-style-type: none"> <li>Experience</li> <li>Ability to prioritize</li> <li>Personal efficiency</li> <li>Organizational skills</li> <li>Willingness to delegate</li> <li>Ability to say "no"</li> </ul>	<ul style="list-style-type: none"> <li>Availability of support staff and their experience</li> <li>Patient check-in efficiency/process</li> <li>Use of scribes</li> <li>Team huddles</li> <li>Use of allied health professionals</li> </ul>	<ul style="list-style-type: none"> <li>Integration of care</li> <li>Use of patient portal</li> <li>Institutional efficiency:                             <ul style="list-style-type: none"> <li>EHR</li> <li>Appointment system</li> <li>Ordering systems</li> </ul> </li> <li>How regulations interpreted and applied</li> </ul>	<ul style="list-style-type: none"> <li>Integration of care</li> <li>Requirements for:                             <ul style="list-style-type: none"> <li>Electronic prescribing</li> <li>Medication reconciliation</li> <li>Meaningful use of EHR</li> </ul> </li> <li>Certification agency facility regulations (JCAHO)</li> <li>Precertifications for tests/treatments</li> </ul>
 Meaning in work	<ul style="list-style-type: none"> <li>Self-awareness of most personally meaningful aspect of work</li> <li>Ability to shape career to focus on interests</li> <li>Doctor-patient relationships</li> <li>Personal recognition of positive events at work</li> </ul>	<ul style="list-style-type: none"> <li>Match of work to talents and interests of individuals</li> <li>Opportunities for involvement                             <ul style="list-style-type: none"> <li>Education</li> <li>Research</li> <li>Leadership</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Organizational culture</li> <li>Practice environment</li> <li>Opportunities for professional development</li> </ul>	<ul style="list-style-type: none"> <li>Evolving supervisory role of physicians (potentially less direct patient contact)</li> <li>Reduced funding                             <ul style="list-style-type: none"> <li>Research</li> <li>Education</li> </ul> </li> <li>Regulations that increase clerical work</li> </ul>
 Culture and values	<ul style="list-style-type: none"> <li>Personal values</li> <li>Professional values</li> <li>Level of altruism</li> <li>Moral compass/ethics</li> <li>Commitment to organization</li> </ul>	<ul style="list-style-type: none"> <li>Behavior of work unit leader</li> <li>Work unit norms and expectations</li> <li>Equity/fairness</li> </ul>	<ul style="list-style-type: none"> <li>Organization's mission                             <ul style="list-style-type: none"> <li>Service/quality vs profit</li> </ul> </li> <li>Organization's values</li> <li>Behavior of senior leaders</li> <li>Communication/messaging</li> <li>Organizational norms and expectations</li> <li>Just culture</li> </ul>	<ul style="list-style-type: none"> <li>System of coverage for uninsured</li> <li>Structure reimbursement                             <ul style="list-style-type: none"> <li>What is rewarded</li> </ul> </li> <li>Regulations</li> </ul>
 Control and flexibility	<ul style="list-style-type: none"> <li>Personality</li> <li>Assertiveness</li> <li>Intentionality</li> </ul>	<ul style="list-style-type: none"> <li>Degree of flexibility:                             <ul style="list-style-type: none"> <li>Control of physician calendars</li> <li>Clinic start/end times</li> <li>Vacation scheduling</li> <li>Call schedule</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Scheduling system</li> <li>Policies                             <ul style="list-style-type: none"> <li>Affiliations that restrict referrals</li> </ul> </li> <li>Rigid application practice guidelines</li> </ul>	<ul style="list-style-type: none"> <li>Precertifications for tests/treatments</li> <li>Insurance networks that restrict referrals</li> <li>Practice guidelines</li> </ul>
 Social support and community at work	<ul style="list-style-type: none"> <li>Personality traits</li> <li>Length of service</li> <li>Relationship-building skills</li> </ul>	<ul style="list-style-type: none"> <li>Collegality in practice environment</li> <li>Physical configuration of work unit space</li> <li>Social gatherings to promote community</li> <li>Team structure</li> </ul>	<ul style="list-style-type: none"> <li>Collegality across the organization</li> <li>Physician lounge</li> <li>Strategies to build community</li> <li>Social gatherings</li> </ul>	<ul style="list-style-type: none"> <li>Support and community created by Medical/specialty societies</li> </ul>
 Work-life integration	<ul style="list-style-type: none"> <li>Priorities and values</li> <li>Personal characteristics                             <ul style="list-style-type: none"> <li>Spouse/partner</li> <li>Children/dependents</li> <li>Health issues</li> </ul> </li> </ul>	<ul style="list-style-type: none"> <li>Call schedule</li> <li>Structure night/weekend coverage</li> <li>Cross-coverage for time away</li> <li>Expectations/role models</li> </ul>	<ul style="list-style-type: none"> <li>Vacation policies</li> <li>Sick/medical leave</li> <li>Policies                             <ul style="list-style-type: none"> <li>Part-time work</li> <li>Flexible scheduling</li> </ul> </li> <li>Expectations/role models</li> </ul>	<ul style="list-style-type: none"> <li>Requirements for:                             <ul style="list-style-type: none"> <li>Maintenance certification</li> <li>Licensing</li> </ul> </li> <li>Regulations that increase clerical work</li> </ul>

Shanafelt TD, Noseworthy JH. Mayo Clin Proc. 2017;92:129-46.




## Solutions

- ACGME: <http://www.acgme.org/What-We-Do/Initiatives/Physician-Well-Being>
- AAIM: <http://www.im.org/resources/wellness-resiliency>
  - CHARM Best Practices Group summaries
- AMA: <https://edhub.ama-assn.org/steps-forward>
- NAM: <https://nam.edu/initiatives/clinician-resilience-and-well-being/>



## Organizational Strategies



---

[HOME](#) [ABOUT](#) ▾ [PROGRAMS](#) ▾ [PUBLICATIONS](#) ▾ [NEWS](#) [EVENTS](#) ▾ [MEMBER HOME](#)

<https://nam.edu/organizational-evidence-based-and-promising-practices-for-improving-clinician-well-being/>

### Organizational Evidence-Based and Promising Practices for Improving Clinician Well-Being


By Christine A. Sinsky, Lee Daugherty Biddison, Aditi Mallick, Anna Legreid Dopp, Jessica Perlo, Lorna Lynn, and Cynthia D. Smith

November 2, 2020 | Discussion Paper

39

## Organizational Strategies

- Organizational commitment
- Workforce assessment
- Leadership
- Policy
- Efficiency of work environment
- Support



40

## Organizational Strategies

- Resilience as an organizational strength
  - Not just an individual one!



41



42

## Elements of “Enlightened Leadership”

### Align outcome assessment with Quadruple Aim

- Triple Aim:
  - Improve health of populations
  - Enhance patient experience of care
  - Reduce per capita cost of health care
- Quadruple Aim:
  - Improve work life of health care professionals
- Physician well-being as a quality marker
- Evaluate impact of policies on **all** aims
  - How do we define success?



## Charter on Physician Well-Being

### VIEWPOINT

**Larissa R. Thomas, MD, MPH**  
Division of Hospital  
Medicine, Zuckerberg  
San Francisco  
General Hospital,  
and Department  
of Medicine,  
University of California,  
San Francisco School  
of Medicine,  
San Francisco.

**Jonathan A. Ripp, MD, MPH**  
Departments of  
Medicine, Geriatrics  
and Palliative Medicine,  
and Medical Education,  
Columbia School of  
Medicine at Mount  
Sinai, New York.

**Colin P. West, MD, PhD**  
Division of General  
Internal Medicine,  
Department of  
Medicine, Mayo Clinic,  
Rochester, Minnesota,  
and Division of  
Biomedical Statistics  
and Informatics,  
Department of Health  
Sciences Research,  
Mayo Clinic, Rochester,  
Minnesota.



### Charter on Physician Well-being

**Dedication to serving the interest** of the patient is at the heart of medicine's contract with society. When physicians are well, they are best able to meaningfully connect with and care for patients. However, challenges to physician well-being are widespread, with problems such as dissatisfaction, symptoms of burnout, relatively high rates of depression, and increased suicide risk affecting physicians from premedical training through their professional careers. These problems are associated with suboptimal patient care, lower patient satisfaction, decreased access to care, and increased health care costs.

Addressing physician well-being benefits patients, physicians, and the health care system. Governing bodies, policy makers, medical organizations, and individual physicians share a responsibility to proactively support meaningful engagement, vitality, and fulfillment in medicine. Furthering these ideals within the culture of medicine and across its diverse members may help to strengthen health care teams and improve health care system performance.

On behalf of the Collaborative for Healing and Renewal in Medicine (see acknowledgment), we set forth guiding principles and key commitments as a framework for key groups to address physician well-being from medical training through an entire career (Box).

Governing bodies and policy makers could use this charter to help advance a high-functioning health care system by ensuring that policies and regulations align with best practices that promote physician well-being. Organizations could use this charter to help identify strategic priorities and interventions that

may, in turn, provide better patient care and practice high-quality medicine.

#### Physician Well-being Is Related With the Well-being of All Members of the Health Care Team

Physicians practice within a matrix of important relationships with patients, members of an interprofessional team, administrative leaders, and in some settings, learners and educators. The entire team is affected by the health of each of its members. Approaches to address physician well-being are most effective when contextualized within efforts to enhance the well-being of all health care team members.

#### Physician Well-being Is a Quality Marker

Enhancing physician well-being likely benefits health systems seeking to provide high-value care.<sup>2</sup> For example, physician burnout has been estimated to contribute one-third of the cost of physician job turnover to the health care system.<sup>2</sup> The “Triple Aim” for health system improvement, optimizing the care experience and population health while reducing the cost of care, should be supplemented with physician well-being, the fourth component of a “Quadruple Aim” and an essential metric that should be tracked and included in organizational performance reports. Healthy organizations use systems improvement tools to identify factors associated with reduced well-being, including assessments of physician well-being in the planning stages of systems improvement initiatives.

#### Physician Well-being Is a Shared Responsibility

Physician well-being requires collaboration between in-



## Charter on Physician Well-Being

- Guiding Principles
  - 1. Effective patient care promotes and requires physician well-being
  - 2. Physician well-being is related with the well-being of all members of the health care team
  - 3. Physician well-being is a quality marker
  - 4. Physician well-being is a shared responsibility



45

## Charter on Physician Well-Being

- Key Commitments
  - 1. Foster a trustworthy and supportive culture in medicine
  - 2. Advocate for policies that enhance well-being
  - 3. Build supportive systems
  - 4. Develop engaged leadership
  - 5. Optimize highly functioning interprofessional teams
  - 6. Anticipate and respond to inherent emotional challenges of physician work
  - 7. Prioritize mental health care
  - 8. Practice and promote self-care



46

## Recommendations

- We have a professional obligation to act.
  - Physician distress is a threat to our profession
  - It is unprofessional to allow this to continue
    - Evolve definition of professionalism? (West 2007)
  - SHARED RESPONSIBILITY
- We must assess distress
  - Metric of institutional performance
    - Part of the “dashboard”
  - Can be both anonymous/confidential and actionable



47

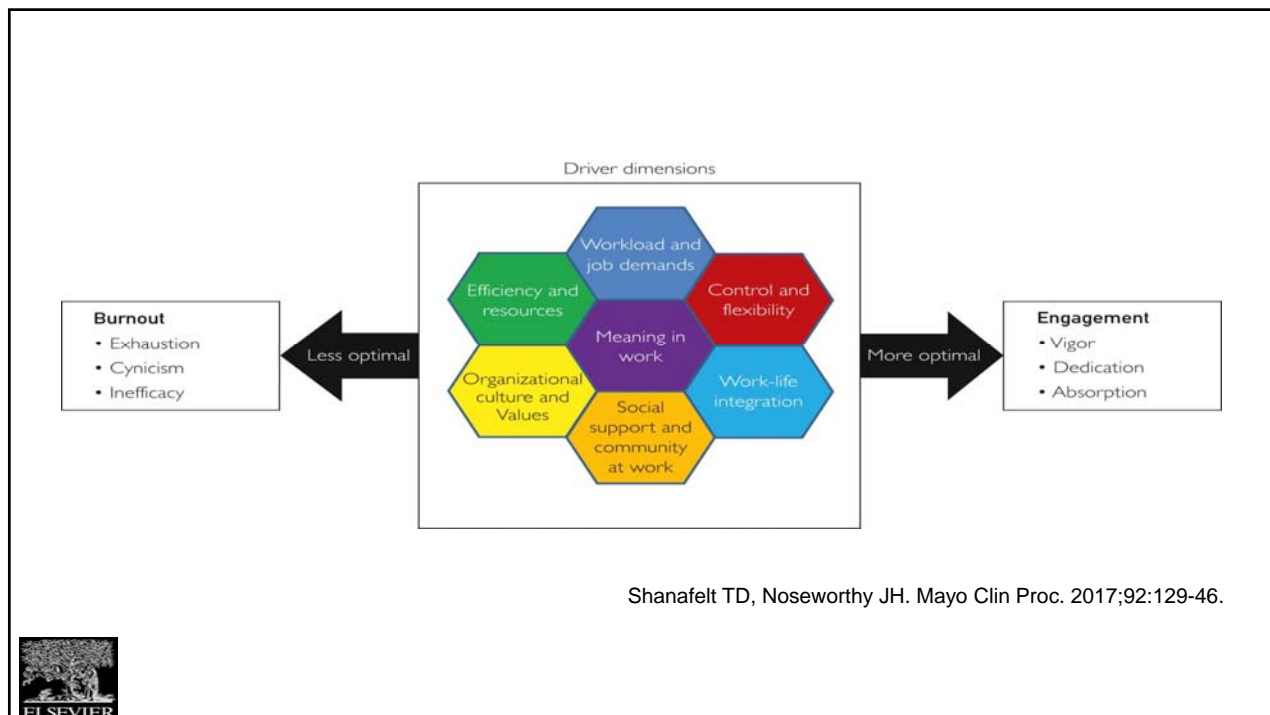
## Recommendations

- The toolkit for these issues will contain many different tools.
- There is no one solution ...
- ... but many approaches offer benefit!



48





49

## Thank You!

- Comments/questions
- Email: [west.colin@mayo.edu](mailto:west.colin@mayo.edu)
- Twitter: [@ColinWestMDPhD](https://twitter.com/ColinWestMDPhD)

50